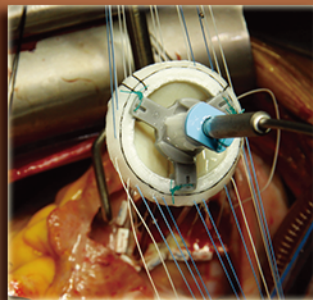


# Cardiac Surgery Biennial Report 2014-2015



## Department of Cardiothoracic Surgery



瑪麗醫院  
Queen Mary Hospital



醫院管理局  
HOSPITAL  
AUTHORITY



**Cardiac Surgery  
Biennial Report  
2014-2015**

**Department of  
Cardiothoracic Surgery**

## Abbreviations List

### Abbreviations

|                   |  |
|-------------------|--|
| ABC Level         | Aristotle Basic Complexity Level                                   |
| ABC Score         | Aristotle Basic Complexity Score                                   |
| ASD               | Atrial Septal Defect   |
| ASO               | Arterial Switch Operation  |
| AVR               | Aortic Valve Replacement   |
| AVSD              | Atrioventricular Septal Defects                                    |
| BDCPA             | Bidirectional Cavopulmonary Anastomosis                            |
| CABG              | Coronary Artery Bypass Grafting                                    |
| CAVSD             | Complete Atrioventricular Septal Defects                           |
| CHD               | Congenital Heart Disease   |
| CPB               | Cardiopulmonary Bypass   |
| CUSUM             | Cumulative sum   |
| DCRV              | Double-Chambered Right Ventricle                                   |
| DIRV              | Double Inlet Right Ventricle                                       |
| DORV              | Double Outlet Right Ventricle                                      |
| EACTS             | European Association for Cardio-Thoracic Surgery                   |
| ECHSA             | European Congenital Heart Surgeons Association                     |
| ECMO              | Extracorporeal Membrane Oxygenation                                |
| EuroSCORE         | European System for Cardiac Operative Risk Evaluation              |
| HLHS              | Hypoplastic Left Heart Syndrome                                    |
| HOCM              | Hypertrophic Obstructive Cardiomyopathy                            |
| IABP              | Intra-aortic Balloon Pump  |
| IPCCC             | International Pediatric and Congenital Cardiac Code                |
| IVS               | Intact Ventricular Septum  |
| LAD               | Left Anterior Descending Artery                                    |
| LIMA              | Left Internal Mammary Artery                                       |
| LV aneurysmectomy | Left Ventricular Aneurysmectomy                                    |
| LVAD              | Left Ventricular Assist Device                                     |
| LVEF              | Left Ventricular Ejection Fraction                                 |
| MBTS              | Modified Blalock-Taussig Shunt                                     |
| MVR               | Mitral Valve Replacement   |
| MICS              | Minimally Invasive Cardiac Surgery                                 |
| NACSD             | National Adult Cardiac Surgical Database                           |
| O/E Ratio         | Observer <i>versus</i> Expected ratio                              |
| PAB               | Pulmonary Artery Banding   |
| PAVSD             | Partial Atrioventricular Septal Defect                             |
| PCI               | Percutaneous Coronary Intervention                                 |
| PVR               | Pulmonic Valve Replacement   |
| QMH               | Queen Mary Hospital  |
| SCTS              | Society of Cardiothoracic Surgeons                                 |
| STS               | Society of Thoracic Surgeons                                       |
| TAPVC             | Total Anomalous Pulmonary Venous Connection                        |
| TAVI              | Trans-catheter Aortic Valve Implantation                           |
| TCPC              | Total Cavopulmonary Connection                                     |
| TEVAR             | Thoracic Endovascular Aortic Repair                                |
| TGA, IVS          | Transposition of the Great Arteries with Intact Ventricular Septum |
| TGA,VSD           | Transposition of the Great Arteries, Ventricular Septal Defect     |
| TOF               | Tetralogy of Fallot  |
| TOF,PA            | Tetralogy of Fallot, Pulmonary Atresia                             |
| VAD               | Ventricular Assist Device  |



|           |   |
|-----------|---|
| VA-ECMO   | VenoArterial Extracorporeal Membrane Oxygenation                        |
| VLAD      | Variable Life-adjusted Display  |
| VSD       | Ventricular Septal Defect   |
| VSD-MAPCA | Ventricular Septal Defect, and Major Aortopulmonary Collateral Arteries |
| VV-ECMO   | VenoVenous Extracorporeal Membrane Oxygenation                          |

Our Editorial and Surgical Team

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## Our Editorial and Surgical Team

### **Editors-in-Chief**

Dr. Li XIN, Research Manager, M.D, PhD (Fudan)

Dr. Inderjeet BHATIA, Research Assistant, MBBS (India), MPhil (HKU), PhD (HKU)

### **Surgeons**

#### **Department Chief and Consultant**

Dr. Timmy Wing-Kuk AU, MBBS(NSW), FRCSEd, FCSHK, FHKAM(Surgery)

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Dr. Cally Ka-Lai HO, MBBS(HK), FRCSEd(CTh), FCSHK, FHKAM(Surgery)

Dr. Daniel Tai-Leung CHAN, MBBS(HK), FRCSEd(CTh), FCSHK, FHKAM(Surgery)

Dr. Barnabe Antonio ROCHA, MBBS(HK), FRCSEd(CTh), FCSHK, FHKAM(Surgery), DipMed(CUHK)

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Dr. Michael Kuan-Yew HSIN, BA(Hons), Cantab(Path), MA(Cantab), MBB Chir,  
FRCS(Eng)(CTh), FRCSEd(CTh), FCSHK, FHKAM(Surgery)

Dr. Ko-Yung SIT, MBBS(HK), FRCSEd(CTh), FCSHK, FHKAM(Surgery)

Dr. Nicholson YAM, MBBS(HK), MRes(Med)(HK), FRCSEd(CTh), FCSHK, FHKAM(Surgery)

#### **Specialist**

Dr. Oswald Joseph On-Jing LEE, MBBS(HK), MRCSEd, FRCSEd(CTh), FCSHK, FHKAM(Surgery)

#### **Residents**

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Dr. Tsz-Him LING, MBBS(HK), MRCSEd

Dr. Lucius Kwok Fai LEE, MBBS(HK), MRCSEd

## Foreword

### Foreword

This is the 3<sup>rd</sup> biennial cardiac surgery audit report from Queen Mary Hospital, for the years 2014-2015. I am pleased to present the results, which demonstrate the high standard of care provided by the Department of Cardiothoracic Surgery of Queen Mary Hospital.

The health care system in Hong Kong has been facing numerous challenges over the years. We foresee that the pressure on the health care system would rise with the increase in the ageing population. This is projected to increase the burden of heart disease and a major responsibility falls on cardiac surgery for clinical management. I truly believe that surgical audit is the way to gain the trust of the public and at the same time it serves as a driving force for improving the services.

The volume of cardiac surgery performed has continuously and steadily increased, with an especially high demand for mechanical circulatory support in adult and pediatric patients. Besides increase in volume, the complexity of the surgeries has also increased, as reflected by the risk scoring system. Although the department is facing challenges and obstacles, the key performance index - the 30-day mortality rate for pediatric cardiac surgery and the in-hospital mortality rate for adult cardiac surgery is only 2.5% and 4.5% respectively. Given the complexity of the patients operated on, the observed number of surgical mortalities at QMH is less than the expected number of mortalities standing at 0.8 and 0.4. These results have demonstrated that our cardiac surgery service has kept up with the international standard, and even performed better in some areas.

The department does not only perform run-of-the-mill surgeries. Through continuous training, communication with international experts and careful step-by-step planning, innovative approaches to treatment were introduced. Robotic-assisted cardiac surgery and transcatheter aortic valve implantation are such examples in this report and it also showed an increased demand for minimally invasive surgery.

I would like to congratulate the Department of Cardiothoracic Surgery for its success in making new achievements and yet, maintaining the high standard of care. May I thank the dedicated staff and encourage them to continue striving for the best interests of Hong Kong cardiac patients.



**Dr CC Luk**  
**Hospital Chief Executive,**  
**Queen Mary Hospital**

## Introduction

Outcome audit is an important strategy in maintaining standards in surgical care. The publication of this 2014-2015 report is another step forward in our efforts in upholding and maintaining the highest standards of surgical care. I am pleased to share some of our key accomplishments over the past two years.

Our surgical volumes have grown steadily over the years. In the past 4 years, cardiac surgical procedures have grown 6-7% biennially. Part of the growth is attributed to advanced treatment programs of ECMO, VAD, Heart and Lung Transplantation. In addition, the use of minimally invasive techniques has grown substantially.

As well as looking at Minimally Invasive Surgery in more detail in this report, we have also looked in-depth at some procedures like TAVI and pediatric ECMO and reported their overall trends and outcomes over time. Also, in this report we present the results of the most recent risk-adjusted model, EuroSCORE-II which was applied to our patients from 2014 onwards.

This report contains analyses of data of 991 adult and 712 pediatric cardiac surgery cases during the period January 1, 2014 through December 31, 2015. Our Department collects, monitors and validates patient specific data. The data collection, verification and audit implemented in this report are comprehensive and reliable. Data are verified through a review of medical records for a randomly selected sample of cases by comparing the data entered into the databases with the actual patient records. Less than 10% (7.5%) had significant data entry errors.

I would like to thank all clinicians, nurses, administrative and support staff whose hard work and dedication contributed to the success of this report. I sincerely hope that this report provides valuable information leading to quality improvement and supports our commitment to transparency and accountability.



**Dr Timmy Wing Kuk Au**  
**Chief of Service,**  
**Department of Cardiothoracic Surgery,**  
**Queen Mary Hospital**





## **Part 1: Adult cardiac surgery**



## **Database overview**

## Database overview: Adult cardiac surgery

### The overall workload at QMH, Hong Kong for the calendar years 2014-2015

#### Procedure groupings

- All comparisons with the data from the United Kingdom come from results published by the Society for Cardiothoracic Surgeons in Great Britain & Ireland in their Sixth National Adult Cardiac Surgical Database (NACSD) Report 2008, and relate to the most up-to-date data in that document, from the financial year ending 2008.
- In total we have performed 991 Adult Cardiac Surgeries– defined as open heart surgeries in patients older than 18 years.
- During the last four years, QMH's adult cardiac surgery has seen a steady and continued growth in patient volumes (867 in 2010-2011, 922 in 2012-2013 and 991 in 2014-2015).
- Coronary Artery Bypass Graft (CABG) surgeries represent 25% of all surgery types which was lower than those in the UK (58%).
- There has been a decrease in the proportion of cardiac surgery workload that is Isolated CABG surgery from 31.8% (2012-2013) to 25.1%. There has been a corresponding increase in valve surgery, including both Isolated valves and Valve surgery in combination with other procedures from 39.9% (2012-2013) to 44%.
- The proportion of our valve surgeries was higher than in the UK (Isolated Valve + Valve & Others is 44% in QMH vs 23% in UK ).
- We also have a higher work load percentage of Adult Congenital Heart Surgery, Aortic Surgery and Heart Transplantation as compared to the UK data under the 'Other' category.

Overall workload at Queen Mary Hospital, Hong Kong: calendar years 2014-2015

|                    |                     | Data       |            |                        |
|--------------------|---------------------|------------|------------|------------------------|
|                    |                     | Count      | Proportion | Proportion in the UK * |
| Procedure grouping | Isolated valve      | 267        | 26.9%      | 18.9%                  |
|                    | Isolated CABG       | 249        | 25.1%      | 58.3%                  |
|                    | Other               | 189        | 19.1%      | 3.2%                   |
|                    | Valve & other       | 169        | 17.1%      | 4.5%                   |
|                    | CABG & valve        | 68         | 6.9%       | 11.5%                  |
|                    | CABG & other        | 28         | 2.8%       | 2.0%                   |
|                    | CABG, valve & other | 21         | 2.1%       | 1.6%                   |
|                    | <b>All</b>          | <b>991</b> |            |                        |

\* Data from financial year 2008; Sixth National Adult Cardiac Surgical Database Report 2008: Demonstrating quality. The Society for Cardiothoracic Surgery in Great Britain & Ireland.

## Procedure detail

- The category of *CABG, Valve & Other* refers to Atrial Septal Defect (ASD), Ventricular Septal Defect (VSD), Aortic Surgery, Radiofrequency Ablation Surgery (MAZE) and Thoracic Resection etc. (see Appendix 1, Adult cardiac database- Database form)
- 3 grafts or more were performed in 214 Isolated CABG surgeries, a figure which was higher than the UK data (86% in QMH vs 74% in UK).
- More detailed descriptions and explanations can be found in our CABG and Heart Valves sections.

### Procedure detail

|                  |               |                          | Procedure grouping |                |              |              |               |                     |       |     |
|------------------|---------------|--------------------------|--------------------|----------------|--------------|--------------|---------------|---------------------|-------|-----|
|                  |               |                          | Isolated CABG      | Isolated valve | CABG & valve | CABG & other | Valve & other | CABG, valve & other | Other | All |
| Procedure detail | CABG surgery  | 1 graft                  | 9                  | 0              | 29           | 9            | 0             | 10                  | 0     | 57  |
|                  |               | 2 grafts                 | 26                 | 0              | 18           | 4            | 0             | 7                   | 0     | 55  |
|                  |               | 3 grafts                 | 163                | 0              | 18           | 13           | 0             | 3                   | 0     | 197 |
|                  |               | 4 grafts                 | 50                 | 0              | 2            | 2            | 0             | 1                   | 0     | 55  |
|                  |               | >4 grafts                | 1                  | 0              | 0            | 0            | 0             | 0                   | 0     | 1   |
|                  | Valve surgery | Aortic alone             | 0                  | 76             | 31           | 0            | 43            | 4                   | 0     | 154 |
|                  |               | Mitral alone             | 0                  | 50             | 19           | 0            | 36            | 9                   | 0     | 114 |
|                  |               | Tricuspid alone          | 0                  | 21             | 0            | 0            | 19            | 0                   | 0     | 40  |
|                  |               | Pulmonary alone          | 0                  | 10             | 0            | 0            | 8             | 0                   | 0     | 18  |
|                  |               | Aortic & mitral          | 0                  | 28             | 4            | 0            | 13            | 0                   | 0     | 45  |
|                  |               | Mitral & tricuspid       | 0                  | 46             | 6            | 0            | 33            | 7                   | 0     | 92  |
|                  |               | Other valve combinations | 0                  | 36             | 8            | 0            | 17            | 1                   | 0     | 62  |

## Other procedure detail

- It is important to remember that the patient may have had more than one of the other procedures.
- Some of the more significant areas of case-volume growth compared to 2012-13 is surgery on the aorta (96 Vs. 131) and cardiac surgeries with Radio-frequency ablation (84 Vs.108).
- The group '*other procedures not listed above*' includes all those patients for whom there was another procedure of some kind recorded, but who do not fall into any of the categories listed above such as patients who underwent Hypertrophic Obstructive Cardiomyopathy (HOCM), Myomectomy Surgery, Thoracic Organ Resections, Atrial Reduction Plasty, concomitant peripheral vascular procedures and Thoracic Endovascular Aortic/Aneurysm Repair (TEVAR) under bypass.
- This category of '*other procedures not listed above*' also includes our advanced mechanical support program, Extracorporeal Membrane Oxygenation (ECMO) and Ventricular Assist Device (VAD) implantations, for patients who have severe heart failure.

## Other procedures performed

|                  |  | Data  |            |
|------------------|--|-------|------------|
|                  |  | Count | Proportion |
| Other procedures | No other procedures                    | 584   | 58.9%      |
|                  | All operations with an other component | 407   | 41.1%      |
|                  | Surgery on the aorta                   | 131   | 13.2%      |
|                  | Radio-frequency ablation               | 108   | 10.9%      |
|                  | Adult congenital surgery               | 46    | 4.6%       |
|                  | ASD                                    | 35    | 3.5%       |
|                  | Cardiac transplant                     | 22    | 2.2%       |
|                  | Pulmonary transplant                   | 17    | 1.7%       |
|                  | Atrial myxoma                          | 10    | 1.0%       |
|                  | LV aneurysmectomy                      | 9     | 0.9%       |
|                  | Pulmonary embolectomy                  | 7     | 0.7%       |
|                  | Epicardial pacemaker                   | 5     | 0.5%       |
|                  | Acquired VSD                           | 3     | 0.3%       |
|                  | Pericardiectomy                        | 1     | 0.1%       |
|                  |  |       |            |
|                  | ECMO                                   | 13    | 1.3%       |
|                  | Ventricular assist device              | 33    | 2.8%       |
|                  |  |       |            |
|                  | Other procedure not listed above       | 47    | 5.2%       |

## Previous cardiac surgery

- The proportion of Isolated CABG with previous cardiac surgery was 1.2% compared to 1.6% in UK.
- The complexity and risk associated with re-operations, are greater than with primary (first-time) operations.
- Patients with coronary artery disease with a history of previous cardiac surgery who then require further coronary intervention may now more frequently undergo Percutaneous Coronary Intervention (PCI) rather than surgery and the situation is similar in UK.
- The proportion of 'Isolated valve' surgery with previous cardiac surgery was 31.8% in QMH while 'Valve & other' surgery with previous cardiac surgery was 16.6%. In comparison, UK data shows 9% for isolated Aortic Valve Replacement (AVR) with previous cardiac surgery and 2% for isolated Mitral Valve Repair (MVR) with previous cardiac surgery.
- Overall 16.8% of our Adult Cardiac Surgery patients had previous cardiac surgery performed.

Previous surgery

|                    |                     | Previous cardiac surgery |            |                          |
|--------------------|---------------------|--------------------------|------------|--------------------------|
|                    |                     | No                       | Yes        | Proportion prior surgery |
| Procedure grouping | Isolated CABG       | 246                      | 3          | 1.2%                     |
|                    | Isolated valve      | 182                      | 85         | 31.8%                    |
|                    | CABG & valve        | 62                       | 6          | 8.8%                     |
|                    | CABG & other        | 27                       | 1          | 3.6%                     |
|                    | Valve & other       | 141                      | 28         | 16.6%                    |
|                    | CABG, valve & other | 20                       | 1          | 4.8%                     |
|                    | Other               | 146                      | 43         | 22.8%                    |
|                    | All                 | <b>824</b>               | <b>167</b> | <b>16.8%</b>             |



## In-hospital mortality

- In-hospital mortality was used as our primary outcome instead of 30 days mortality.
- Our isolated CABG crude mortality was at par with the UK data and European Association for Cardio-Thoracic Surgery (EACTS) database.
- The crude mortality rate of our Isolated valve surgery was at par with the UK data and the EACTS database whereas, valves combined with other surgeries was lower than the UK data and the EACTS database.
- However, the crude mortality rate of our CABG combined with other surgery groups was higher than the UK data and EACTS database. In 2014-2015 QMH database, the 'CABG & other' mortality was 14.2% vs 7.8% in UK and our 'CABG, valve & other' mortality was also 14.2% vs 11.5% in UK.
- The mortality rate for combined CABG with valve, CABG with other surgery and CABG with valve is higher than either Isolated CABG or Isolated valve. Combined procedures involve more than one procedure during surgery and are generally more complex than isolated procedures.
- Compared to our report of 2012-2013, mortality rate for Isolated CABG has fallen whereas the rate for combined CABG and valve surgery the rate has increased.

International comparison of in-hospital, post-operative mortality rates for each procedure group

|                    |                     | Mortality data |      |                          |                          |                                 |                              |
|--------------------|---------------------|----------------|------|--------------------------|--------------------------|---------------------------------|------------------------------|
|                    |                     | Alive          | Died | QMH<br>2014-2015         | QMH<br>2012-2013         | United Kingdom<br>NACSD<br>2008 | EACTS database<br>2006-2008  |
| Procedure grouping | Isolated CABG       | 244            | 5    | 2.0%<br>(249; 0.7-4.9%)  | 2.7%<br>(294; 1.3-5.5%)  | 1.5%<br>(22,808; 1.3-1.6%)      | 2.2%<br>(219,053; 2.2-2.3%)  |
|                    | Isolated valve      | 258            | 9    | 3.3%<br>(267; 1.7-6.7%)  | 3.5%<br>(229; 1.6-7.0%)  | 3.5%<br>(7,379; 3.1-4.0%)       | 3.4%<br>(75,247; 3.3-3.5%)   |
|                    | CABG & valve        | 62             | 6    | 8.8%<br>(68; 4.0-20%)    | 2.0%<br>(51; 0.1-11.8%)  | 6.1%<br>(4,508; 5.4-6.8%)       | 6.2%<br>(37,721; 6.0-6.5%)   |
|                    | CABG & other        | 24             | 4    | 14.2%<br>(28; 5.4-38.1%) | 13.8%<br>(29; 4.5-32.6%) | 7.8%<br>(766; 6.1-10.0%)        | 7.0%<br>(4,327; 6.3-7.8%)    |
|                    | Valve & other       | 164            | 5    | 2.9%<br>(169; 1.1-7.3%)  | 2.1%<br>(140; 0.6-6.6%)  | 5.5%<br>(1,780; 4.5-6.7%)       | 4.9%<br>(12,883; 4.5-5.3%)   |
|                    | CABG, valve & other | 18             | 3    | 14.2%<br>(21; 4.4-42.2%) | 17.6%<br>(17; 4.7-44.2%) | 11.5%<br>(617; 9.2-14.4%)       | 11.3%<br>(3,097; 10.2-12.5%) |
|                    | Other               | 176            | 13   | 6.8%<br>(189; 4.1-12.5%) | 9.9%<br>(162; 6.1-16.3%) | 7.9%<br>(1,271; 6.5-9.5%)       | 7.7%<br>(11,562; 7.2-8.2%)   |

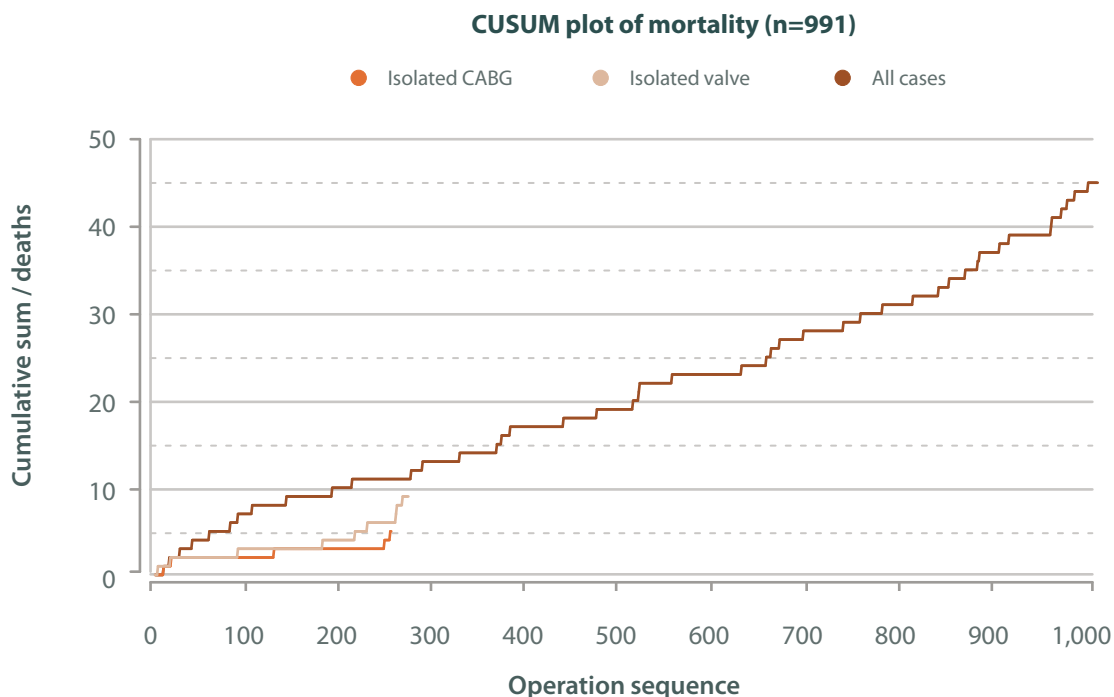


## Overall mortality and risk scores, 2014-2015

- Overall hospital mortality in this cohort was 4.5%.
- Risk stratification models, such as European System for Cardiac Operative Risk Evaluation (EuroSCORE)- Logistic EuroSCORE and EuroSCORE-II, were used to predict mortality.
- Logistic EuroSCORE predicted a mortality of 11.01%.
- EuroSCORE-II predicted a mortality of 5.72%.
- EuroSCORE-II yielded the higher predictive value in our patient population.

## CUSUM plots of in-hospital mortality

- The cumulative sum (CUSUM) technique is a method of graph plotting of an accumulation of events [in-hospital mortality] over time.
- Cumulative risk-adjusted mortality plot provides a visual representation of the performance against the expected outcome rate of a particular risk scoring protocol.
- Observed CUSUM mortality plot allows the detection of trends and corrective actions and it provides an excellent audit to surgeons and hospital administrators.
- There were no indications of odd results in the CUSUM plot for Queen Mary Hospital.







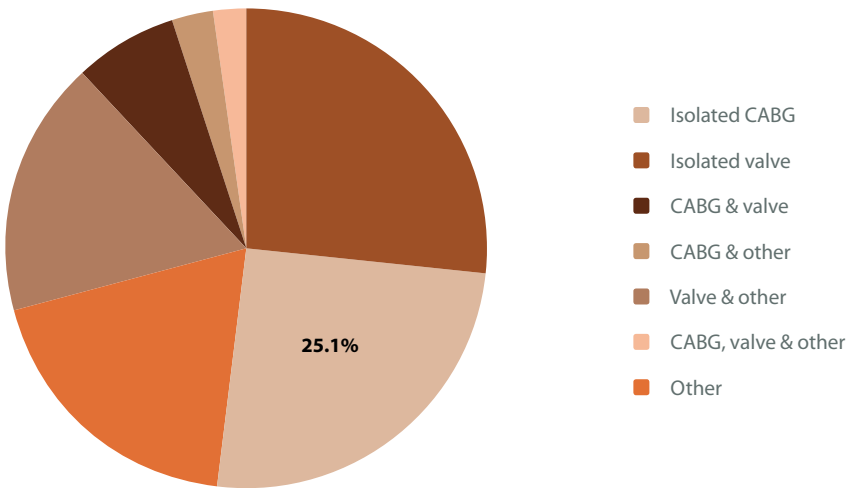
## **Isolated CABG surgery**

Isolated CABG surgery

CABG in the context of overall workload

- Total 249 patients had isolated CABG in the year 2014 to 2015.
- Coronary surgery contributed to 25.1% of the workload (adult cardiac surgery) in our department, there has been a steady biennial decrease (31.9% in 2012-2013, and 33.0% in 2010-2011).
- This distribution was different from Western countries where CABG is usually 70% of the case load.

Workload overview (n=991)



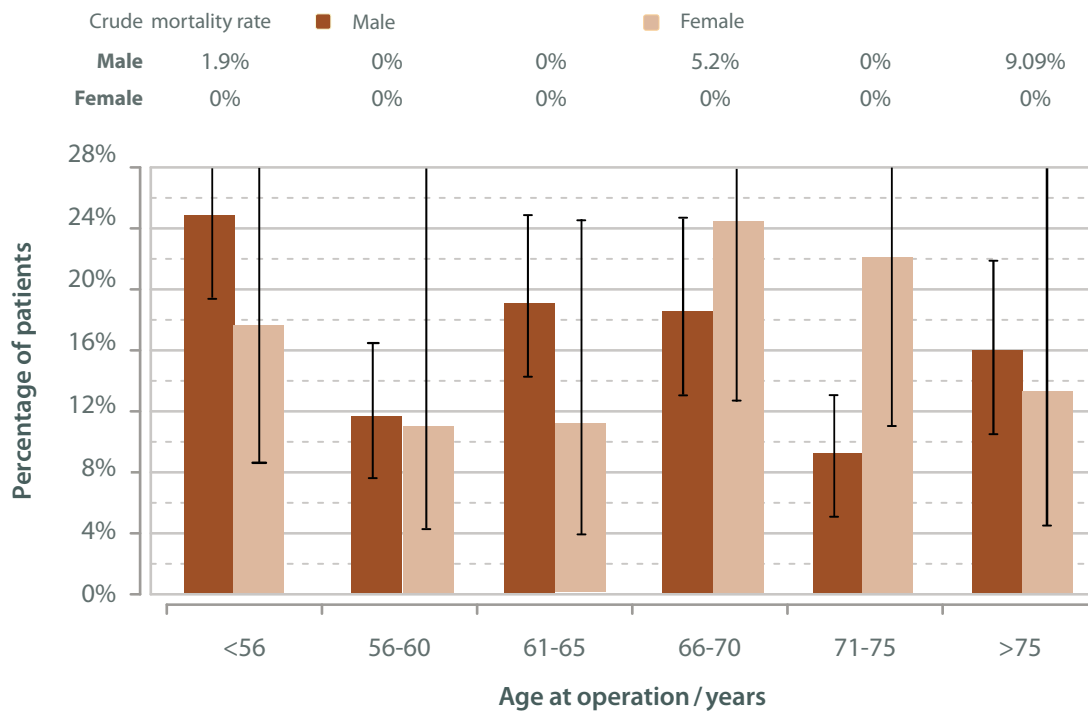
Isolated CABG surgery

## Pre-operative risk factors

### Age and gender

- More male patients (204) underwent CABG compared to female (45) patients. A similar trend was also seen in our previous two reports.
- Old age and female gender are considered as risk factors for CABG in general.
- Our crude mortality figures showed that older men (>75 years old) had a higher mortality.
- No mortality was seen in females in this cohort although it is considered as a risk factor.

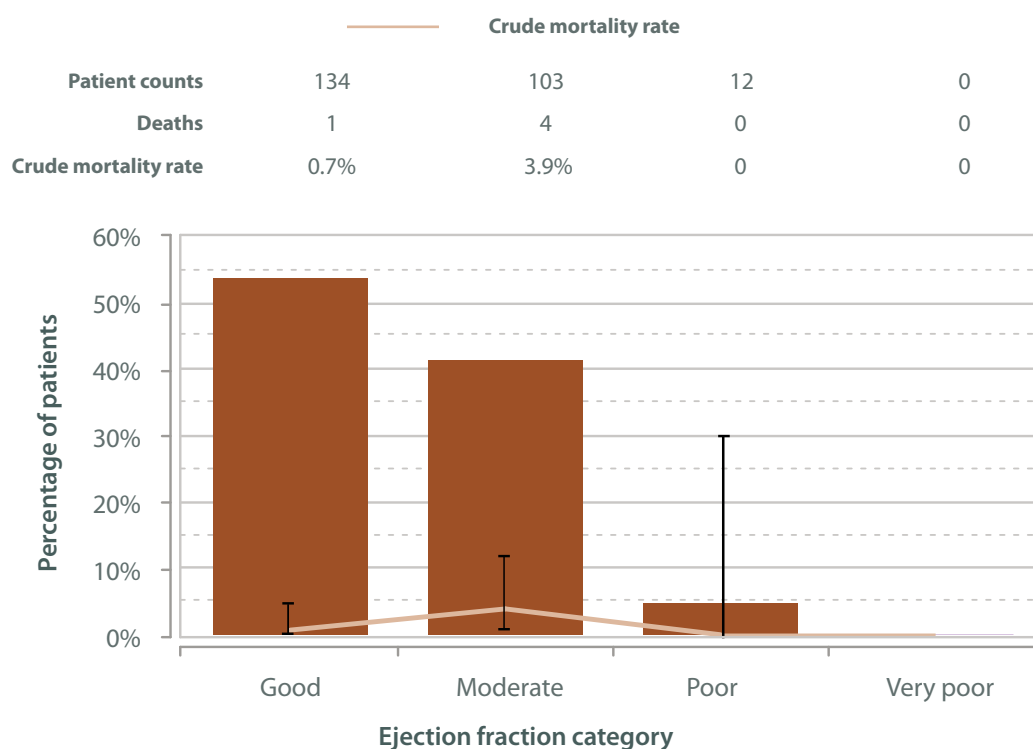
Isolated CABG: Age, gender and crude mortality (n=249)



## Left ventricular ejection fraction and crude mortality distribution

- Ventricular function is mainly assessed by an echocardiogram and expressed as Left Ventricular Ejection Fraction (LVEF).
- Poor left ventricular function is a well known risk factor in re-vascularization surgery.
- European System for Cardiac Operative Risk Evaluation (**EuroSCORE II**) has four categories of Left Ventricular Ejection fraction- Good (LVEF>50%), Moderate (LVEF 31-50%), Poor (LVEF 21-30%), Very poor (LVEF 20% or less).
- The proportion of patients with good ejection fraction was 53.8% .
- Moderate ejection fraction group mortality was 3.9%
- There were no patients with 'Very poor' ejection fraction and only 4.8% with 'Poor' ejection fraction in this cohort.

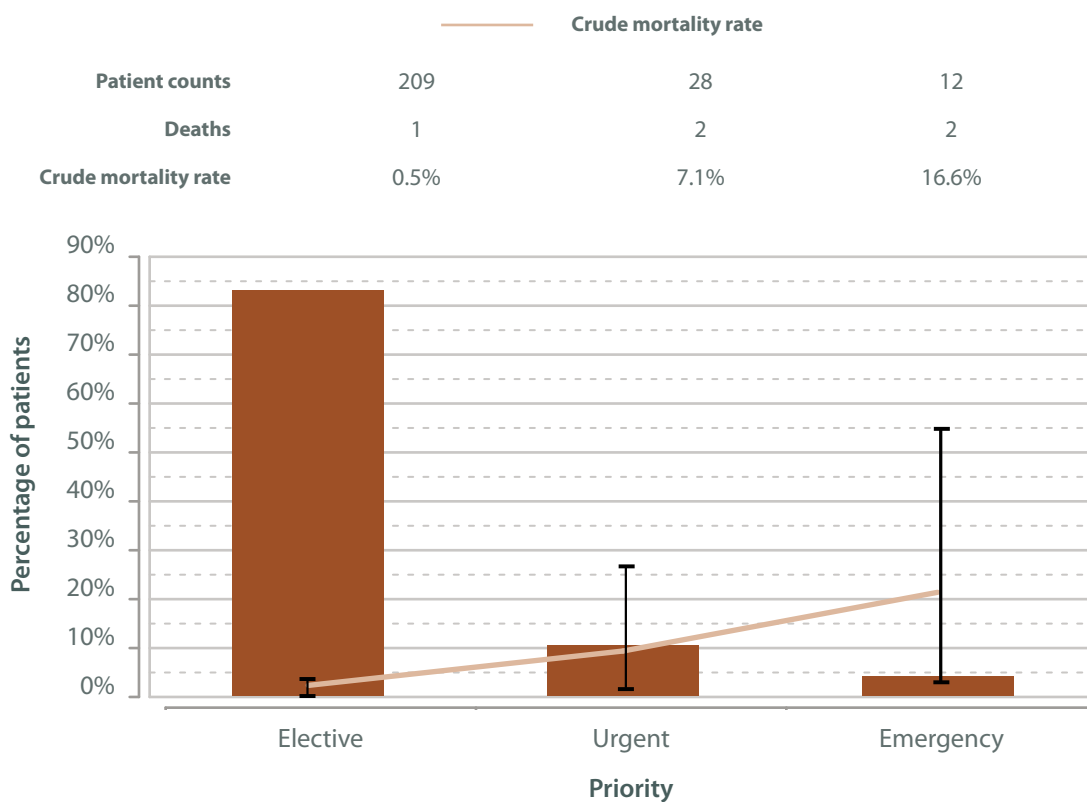
Isolated CABG: Ejection fraction and crude mortality (n=249)



## Priority distribution and mortality

- As shown in the chart, most of the patients underwent CABG on an elective basis (83.9%).
- Urgent CABG is defined as operation on the next available working day while emergent CABG (including salvaging surgery) indicates patients need surgery the same day because of their clinical situation. These represent 11.2% and 4.8% of all coronary operations respectively.
- This report showed a higher rate of urgent and emergency operations compared the United Kingdom NACSD report 2008 (2.5% of all cases 560/22831).
- Operative priority is generally associated with in-hospital mortality. Patients in urgent or emergency situations might have on-going ischemia of the myocardium, frequent malignant arrhythmia or even unstable haemodynamics.
- Mortality was 7.1% in urgent situations and 16.6% in emergency settings compared to 0.5% in those performed electively.
- According to the United Kingdom NACSD Report 2008 the mortality rate was 10.5% (59/560).

Isolated CABG: Priority and crude mortality (n=249)





### Mortality and other risk factors

- The table below shows certain pre-operative risk factors affecting surgical outcome in coronary surgery.
- The proportion of patients with hypertension and pre-op Intra-aortic Balloon Pump (IABP) insertion in isolated CABG was 87.9%, and 5.6% respectively.
- Similar to our report of 2012-2013, the risk factors for coronary artery surgery remain nearly the same in this cohort as well. Mortality for patients with left main disease, hypertension, pre-op IABP insertion is higher than those without.
- Among all the risk factors, the mortality rate for pre-op IABP usage is the highest, 7.1%.

Isolated CABG surgery: In-hospital, post-operative mortality rates for various risk factors

|              |                                  |                        | Mortality |      |      |
|--------------|----------------------------------|------------------------|-----------|------|------|
|              |                                  |                        | Alive     | Dead | Rate |
| Risk factors | Gender                           | Male                   | 199       | 5    | 2.5% |
|              |                                  | Female                 | 45        | 0    | 0.0% |
|              | Body mass index                  | ≥25 kg m <sup>-2</sup> | 112       | 2    | 1.8% |
|              |                                  | <25 kg m <sup>-2</sup> | 132       | 3    | 2.2% |
|              | Left main stem disease           | No                     | 116       | 2    | 1.7% |
|              |                                  | Yes                    | 128       | 3    | 2.3% |
|              | Previous cardiac surgery         | No                     | 241       | 5    | 2.0% |
|              |                                  | Yes                    | 3         | 0    | 0.0% |
|              | Diabetes                         | No                     | 124       | 3    | 2.4% |
|              |                                  | Yes                    | 120       | 2    | 1.6% |
|              | Hypertension                     | No                     | 30        | 0    | 0.0% |
|              |                                  | Yes                    | 214       | 5    | 2.3% |
|              | Extra-cardiac arteriopathy       | No                     | 223       | 5    | 2.2% |
|              |                                  | Yes                    | 21        | 0    | 0.0% |
|              | Previous CVA                     | No                     | 214       | 5    | 2.3% |
|              |                                  | Yes                    | 30        | 0    | 0.0% |
|              | Pre-op IABP insertion            | No                     | 231       | 4    | 1.7% |
|              |                                  | Yes                    | 13        | 1    | 7.1% |
|              | Renal failure requiring dialysis | No                     | 229       | 5    | 2.1% |
|              |                                  | Yes                    | 15        | 0    | 0.0% |

## The grafting process

### Arterial grafting

Total number of grafts = 755 in 249 patients.

Average number of grafts = 2.95

Patients with LIMA graft = 236/249 (94.7%)

Patients with LIMA and Radial Artery graft = 38/249 (15.2%)

#### Arterial grafting

- There were 755 distal anastomoses made in 249 patients. On average, each patient received 3.0 grafts in isolated CABG.
- Arterial grafts, especially the Left Internal Mammary Artery (LIMA), are considered as better conduits in CABG. Younger patients may benefit from a second arterial graft, usually the radial artery or the right internal mammary artery.
- Arterial grafting was performed in 94.7% of 249 Isolated CABG. 236 patients had the LIMA grafted to the Left Anterior Descending (LAD) artery in isolated CABG.
- 3 grafts or more were performed in 214 Isolated CABG surgeries which was higher than the UK data (85.9 % in QMH vs 74% in UK).
- In addition to internal thoracic arteries, other arteries are available for use as conduits. Radial arteries were the second most common conduit used for arterial grafts (38/249).

### Endoscopic harvest of conduits

- Our department began to use endoscopic vein harvesting techniques in 2005 and endoscopic radial artery harvesting in 2007. This has now become the standard and preferred way of harvesting these conduits in our practice.
- As seen from the table below, the usage rate of the endoscopic method was 100% for the radial artery and increased from 88.9% to 92.0% for vein graft harvest when compared to the previous report.

Isolated CABG surgery: endoscopic conduit harvest for patients where the named conduit was used in the CABG

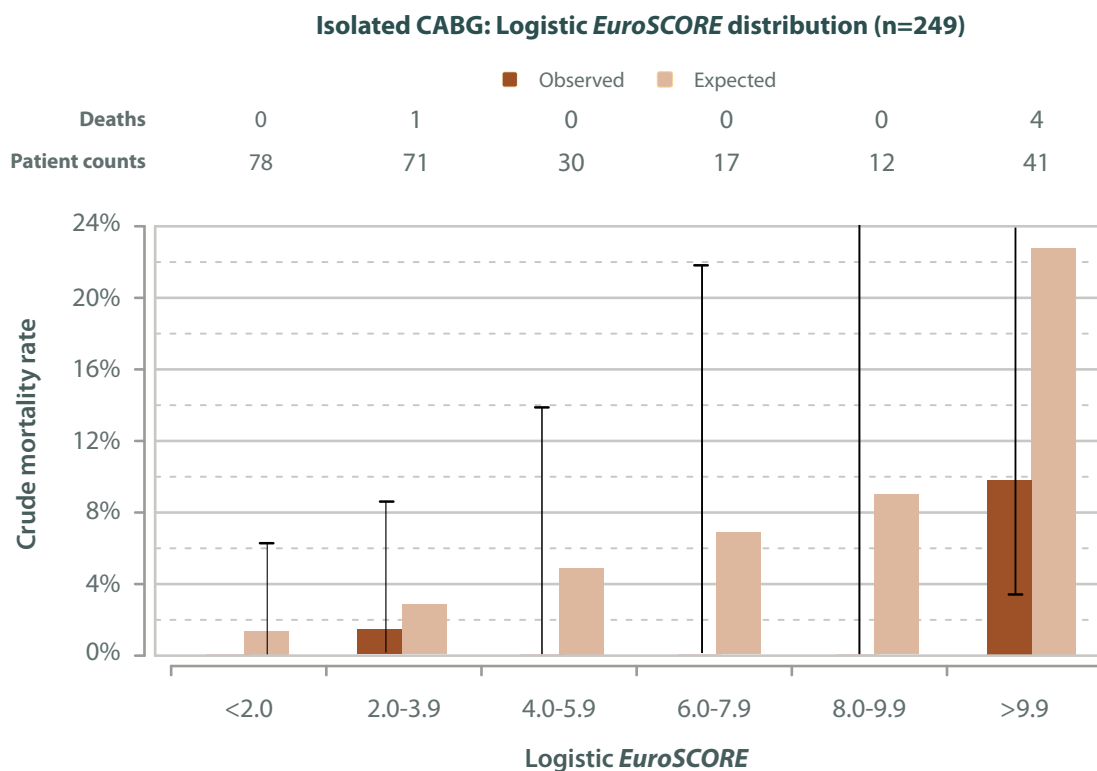
|         |                    | Endoscopic harvest of the names conduit |     |                         |
|---------|--------------------|---|-----|-------------------------|
|         |                    | No                                      | Yes | Endoscopic harvest rate |
| Conduit | Radial artery used | 0                                       | 38  | 100.0%                  |
|         | Any vein used      | 16                                      | 185 | 92.0%                   |

Isolated CABG: Radial artery graft usage at each of the coronary artery sites treated (n=38 grafts)

|               |           | Data  |            |
|---------------|-----------|-------|------------|
|               |           | Count | Proportion |
| Coronary site | Diag 1    | 2     | 5.3%       |
|               | Distal Cx | 2     | 5.3%       |
|               | Int       | 4     | 10.5%      |
|               | OM1       | 23    | 60.5%      |
|               | OM2       | 6     | 15.8%      |
|               | RCA-PDA   | 1     | 2.6%       |
|               | All       | 38    |            |

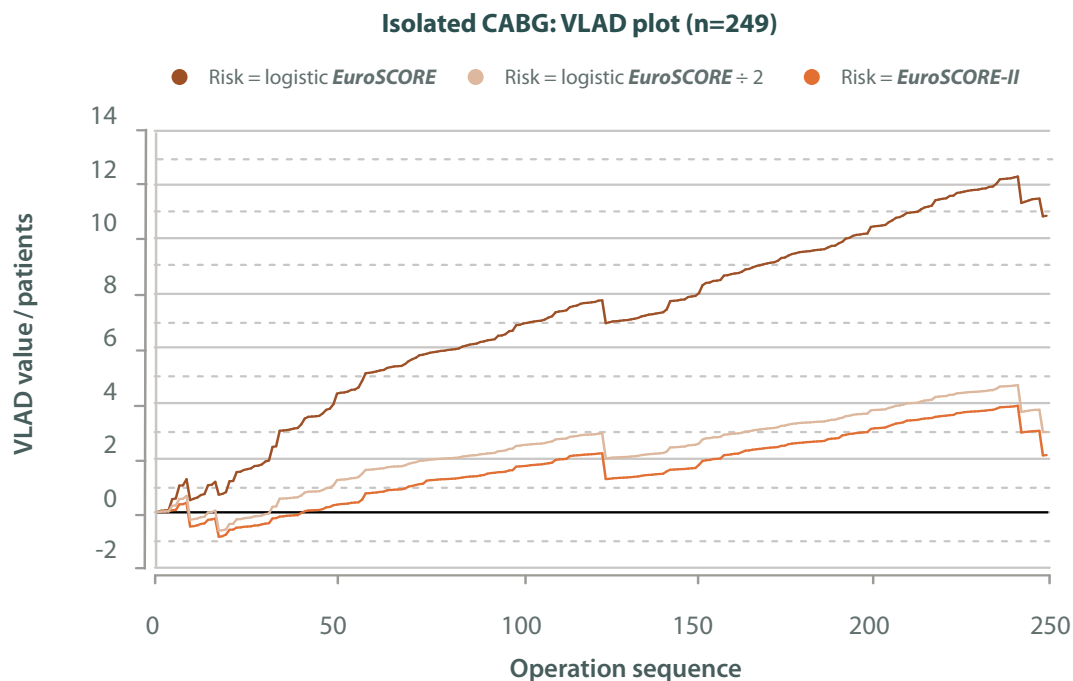
### Logistic EuroSCORE, EuroSCORE II and mortality

- Logistic EuroSCORE is a commonly used risk stratification and prediction method in cardiac surgery. The value equals to the expected mortality risk for a particular patient.
- At QMH, the patient risk profile was higher since a EuroSCORE higher than 9.9 contributes 16.5% of patients, which was only 13.6% in the last report. We performed more high risk coronary surgeries as compared to UK data, 8.1% of their patients fell into EuroSCORE >9.9 group.
- Most of the mortality was associated with the higher-risk groups.
- The overall expected mortality in isolated CABG group of patients was 6.32% (16 patients). While the observed mortality was 2.0% (5 patients). Thus, the observed *versus* expected mortality ratio was 0.31 for isolated CABG. In 2012-2013 we reported observed *versus* expected mortality ratio of 0.47. EuroSCORE II predicted an overall mortality of 2.86% (7 patients).



### VLAD plot for isolated CABG

- The following Variable Life-Adjusted Display (VLAD) graph covers all risk-scored isolated CABG procedures performed during 2014 and 2015.
- The plot is risk adjusted and performance as predicted should run approximately around the horizontal zero line (the heavy black line).
- The plotted line goes up for each survival and down for each death. The degree of rise and fall is determined by the predicted risk associated with the case.
- The upslope of the curve demonstrated a net gain of patients' life and that the performance was better than expected. At the end of the curve, almost 11 extra lives had been saved at Queen Mary Hospital.
- A Logistic EuroSCORE divided by 2 is also shown in the graph. This graph demonstrates performance very close to the expected value.

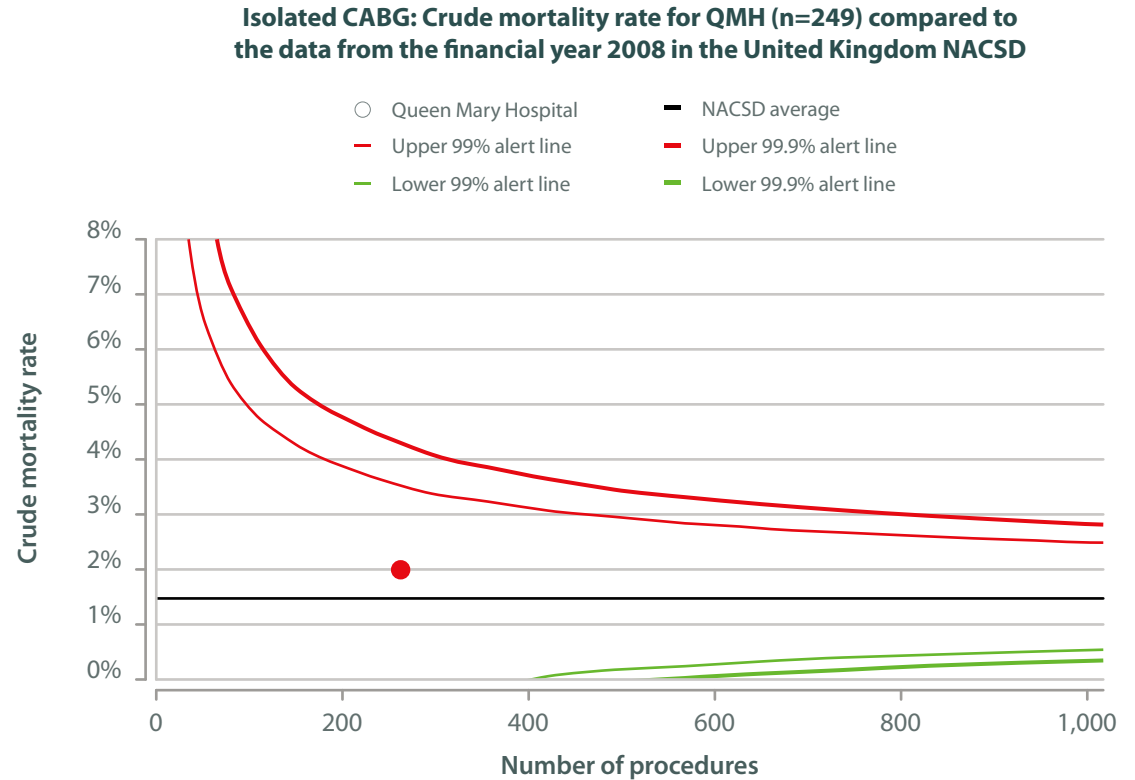


### International benchmarking of results

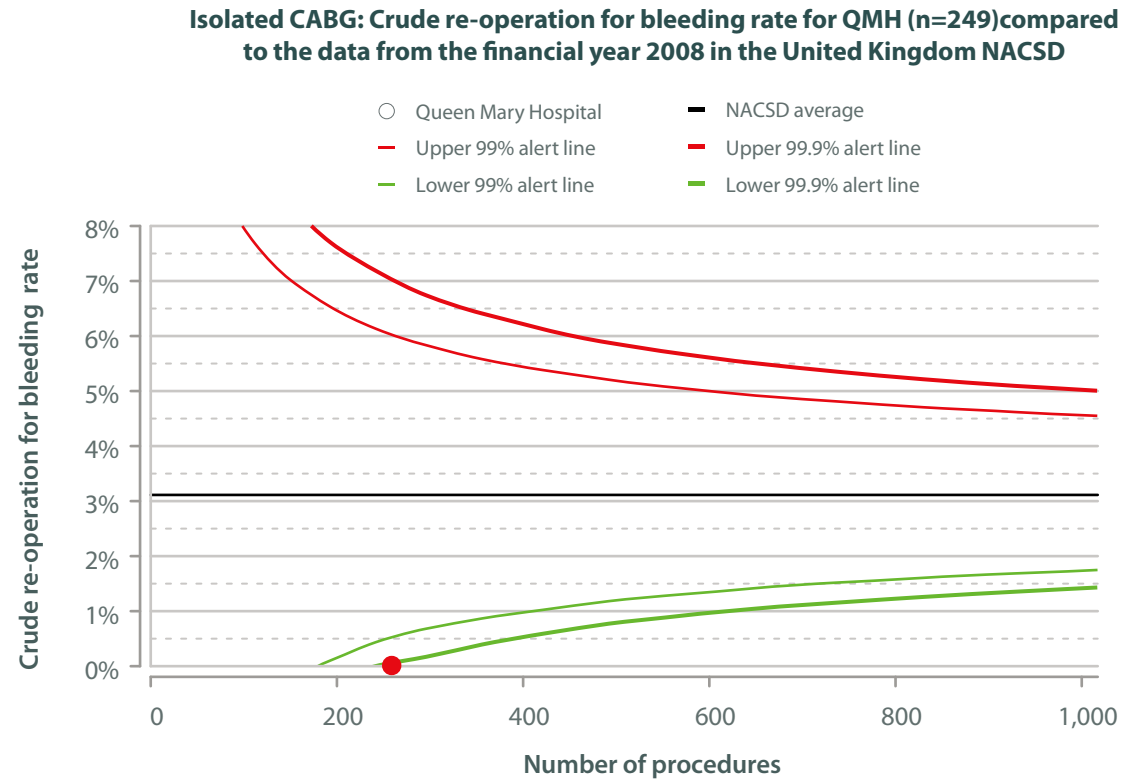
- Funnel plots are a graphical means of displaying outcomes compared to a given standard, with upper and lower control limits to define a range of acceptable results.
- The following pages show funnel plots for the outcomes:
  - Crude mortality
  - Re-operation for bleeding
  - Post-operative stroke
  - New haemofiltration / dialysis
- All three of the following charts compare the results at Queen Mary Hospital against the 2008 results from the United Kingdom NACSD Report, with alert and alarm lines set at 99.0% and 99.9% respectively.
- The first plot shows that the crude mortality at Queen Mary Hospital rate (2.0%) fell well within the alert lines. Although it was higher than the average from United Kingdom, it is not risk adjusted. The higher number of emergency CABG and higher risk profile of patients could account for the higher mortality.
- Queen Mary Hospital did not have any re-operation for bleeding in this group of patients.
- The third and fourth charts represent the crude stroke rate and the proportion of patients that need haemofiltration / dialysis for acute renal failure; the rates for both of these outcomes at Queen Mary Hospital again fell well within the funnel plot alert lines, and QMH has a much lower dialysis rate compared to United Kingdom data.
- The results of these four key outcomes at Queen Mary Hospital demonstrated that the performance is at par with the internationally-published results from the United Kingdom.

In-hospital mortality

Isolated CABG surgery



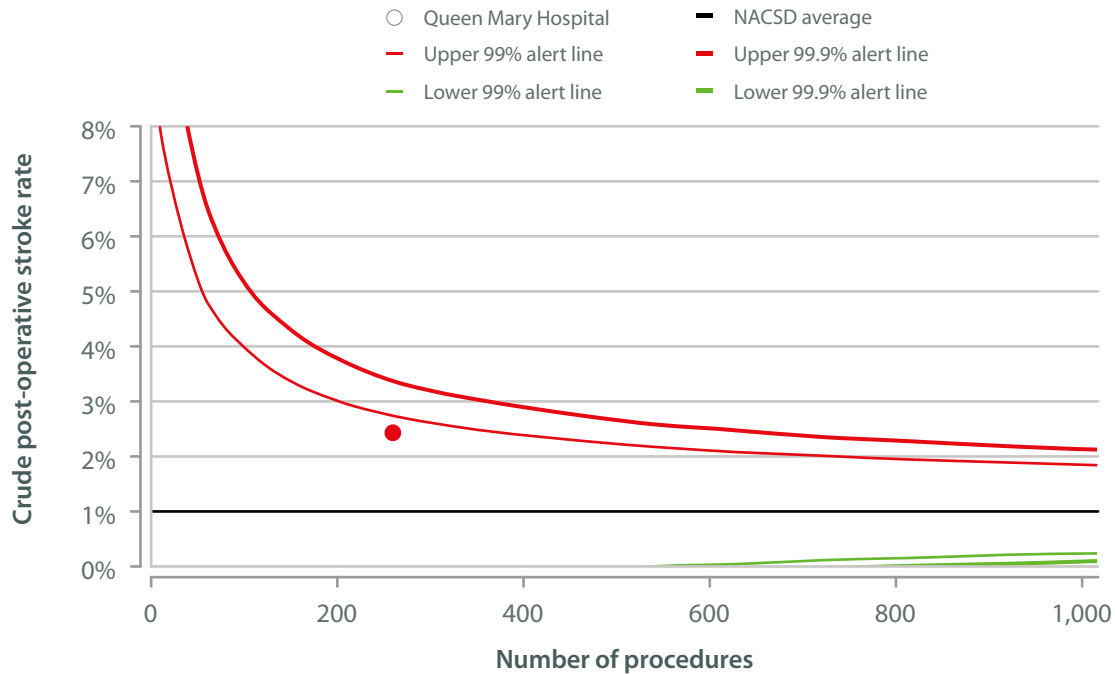
Re-operation for bleeding





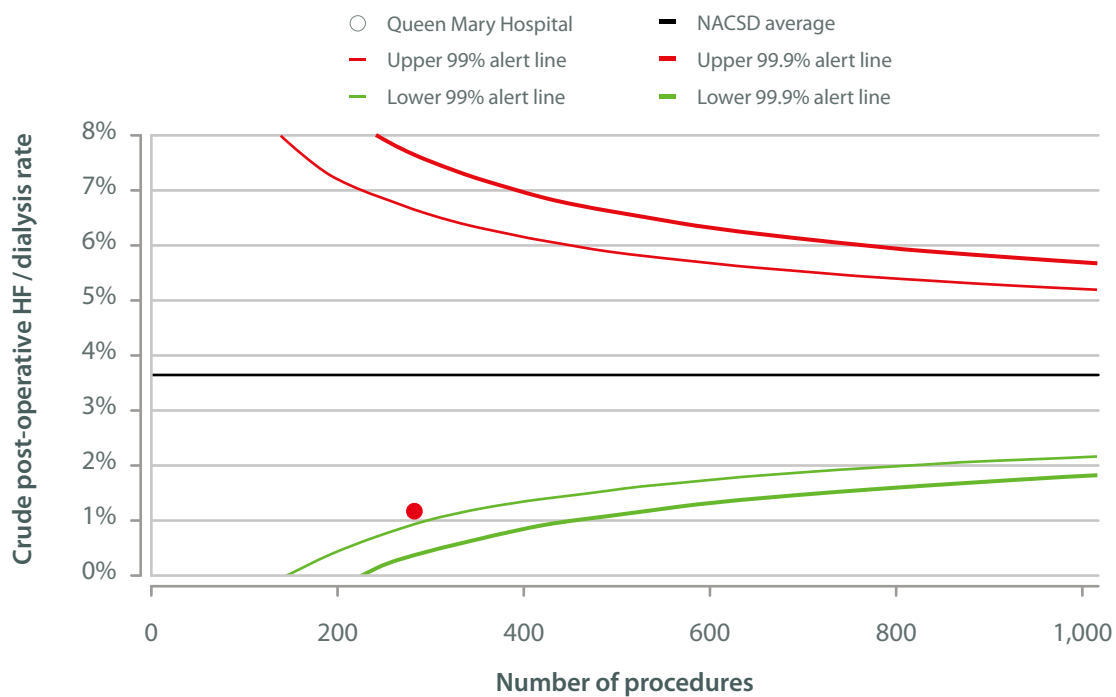
## Post-operative stroke

Isolated CABG: Crude post-operative stroke rate for QMH (n=249) compared to the data from the financial year 2008 in the United Kingdom NACSD



## Post-operative HF / dialysis

Isolated CABG: Crude post-operative HF / dialysis rate for QMH (n=249) compared to the data from the financial year 2008 in the UK NACSD







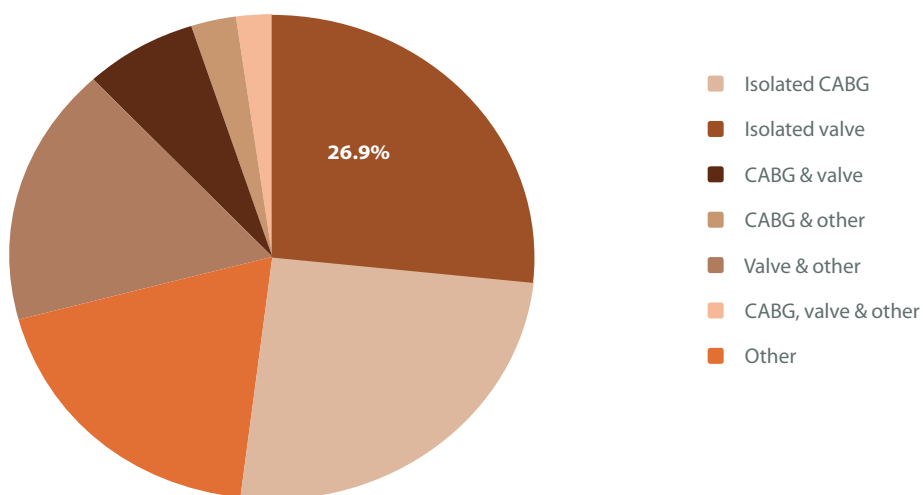
## **Isolated valve surgery**

## Isolated valve surgery

### Isolated valve surgery in the context of overall workload

- During the year 2014 & 2015, there were 267 isolated valve operations performed at Queen Mary Hospital, contributing to 26.9 % of the overall adult cardiac surgery workload.
- Other than that, there were 258 (26%) valvular operations performed in combination with the other procedures like CABG, radiofrequency atrial ablation, aortic surgery, septal defect repair, etc.

Workload overview (n=991)



### Priority

- There were 157 isolated single valve operations performed during 2014-2015.
- 144 out of 157 (92.3%) isolated single valve operations were performed electively.
- The remaining urgent or emergency operations were carried out for infection(7/13), or acute pulmonary edema (5/13).

Isolated single valve surgery: operative urgency

|          |           | Valve treated |              |               |
|----------|-----------|---------------|--------------|---------------|
|          |           | Aortic alone  | Mitral alone | Other singles |
| Priority | Elective  | 66            | 47           | 31            |
|          | Urgent    | 6             | 2            | 0             |
|          | Emergency | 4             | 1            | 0             |
|          | All       | 76            | 50           | 31            |

### Previous cardiac surgery

- Redo operations contributed a significant workload in the isolated valve operation group. Out of 267 isolated valve surgeries in the years 2014 & 2015, 85 (31.8%) operations were redo cardiac operations.
- The majority of isolated single valve procedures (69.4%) at QMH were first-time operations.
- Among all isolated single valve operations, 48 (30.5%) had previous cardiac operations.
- Among those redo cardiac operations, some of them were second or more redo operations.

Isolated single valve surgery: prior cardiac surgery

|                  |                             | Valve treated |              |               |
|------------------|-----------------------------|---------------|--------------|---------------|
|                  |                             | Aortic alone  | Mitral alone | Other singles |
| Previous surgery | No previous cardiac surgery | 64            | 41           | 4             |
|                  | Previous cardiac surgery    | 12            | 9            | 27            |
|                  | All                         | 76            | 50           | 31            |

Haemodynamic pathology

- More than half of the isolated aortic valve operations, 41/76 (53.9%), were for patients with aortic stenosis.
- Among all patients who had isolated mitral valve surgery, 37/50 (74.0%) had mitral regurgitation.

Isolated single valve surgery: haemodynamic pathology

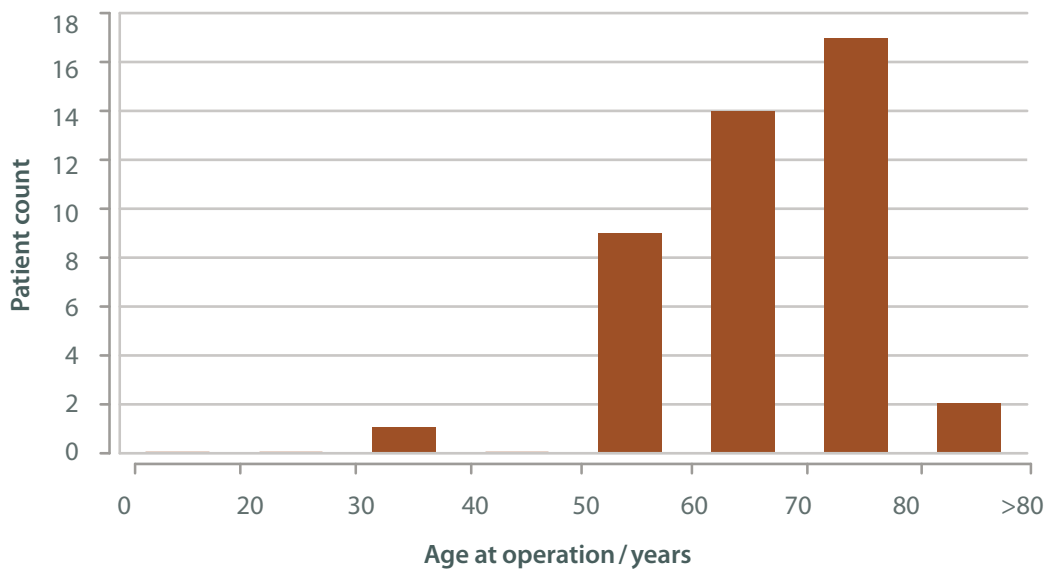
|                        |               | Valve treated |              |               |
|------------------------|---------------|---------------|--------------|---------------|
|                        |               | Aortic alone  | Mitral alone | Other singles |
| Haemodynamic pathology | Stenosis      | 41            | 8            | 1             |
|                        | Regurgitation | 24            | 37           | 30            |
|                        | Mixed         | 11            | 5            | 0             |
|                        | All           | 76            | 50           | 31            |



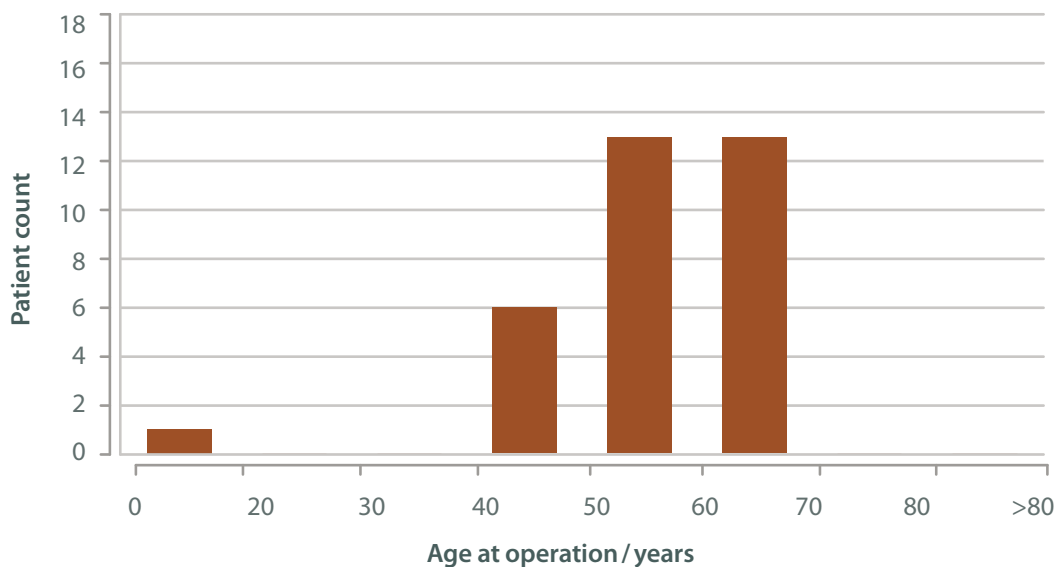
### Aortic valve implants

- Most of the biological prostheses were implanted in patients above 65 years of age, however since the last few years, younger patients (<65 years) also received biological aortic valve prostheses.
- A majority of the prostheses for all AVR were biological, 43 (56.5%) received biological valves while the remaining 33 (43.4%) received mechanical prostheses.
- Compared to the previous reports (42.6% in 2012-2013, 31.3% in 2011-2012) we used more biological prostheses during 2014-2015 (56.5%). Over time, there has been a trend of using less and less mechanical prostheses.

**Aortic valve implants: Biological (n=43)**



**Aortic valve implants: Mechanical (n=33)**





**Native valve pathology**

- Majority of aortic valve pathology was calcific degeneration.
- Dominant pathology for mitral valve surgery was degenerative valve disease .
- Chronic rheumatic changes of mitral and tricuspid valves also accounted for one of the major cause of valvular heart disease.
- In the 'Other singles' group, tricuspid valve pathology was all rheumatic. Pathology of the pulmonary valve was all congenital, all patients had previous congenital cardiac surgery and now presented with pulmonary regurgitation.

Isolated single valve surgery: native valve pathology

|                        |                                 | Valve treated |              |               |
|------------------------|---------------------------------|---------------|--------------|---------------|
|                        |                                 | Aortic alone  | Mitral alone | Other singles |
| Native valve pathology | Calcific degeneration           | 32            | 3            | 0             |
|                        | Degenerative                    | 26            | 23           | 0             |
|                        | Congenital                      | 20            | 0            | 10            |
|                        | Rheumatic                       | 11            | 10           | 11            |
|                        | Native valve not present        | 4             | 2            | 0             |
|                        | Active infective endocarditis   | 3             | 5            | 2             |
|                        | Previous infective endocarditis | 3             | 8            | 0             |
|                        | Annuloaortic ectasia            | 2             | 1            | 1             |
|                        | Other native valve pathology    | 2             | 4            | 2             |
|                        | Ischaemic                       | 0             | 0            | 0             |
|                        | Functional regurgitation        | 0             | 0            | 8             |

## Mitral valve surgery

### Mitral valve repair and replacement in the context of all mitral valve surgeries

- Majority of mitral valve repairs were for mitral regurgitation. Regurgitation was mainly due to degenerative valve disease (39/65). 65 out of 85 native regurgitant valves were successfully repaired (76.40%), which is slightly lower compared to our rate reported in the previous two reports (81.1% in 2010-2011 and 87.5% in 2012-2013).
- Mitral valve replacement was most performed for mitral stenosis which was mainly of rheumatic aetiology (31/41). Replacement was also performed for mitral regurgitation caused by paravalvular leakage at old prostheses, infective endocarditis and rheumatic aetiologies.
- Based on The Society for Cardiothoracic Surgery (SCTS) in Great Britain & Ireland, 6<sup>th</sup> NACSD Report, in 2008, 67% underwent mitral valve repair for degenerative mitral valve disease.

Isolated mitral valve surgery: haemodynamic pathology and valve procedure

|                 |             | Haemodynamic pathology |               |           |
|-----------------|-------------|------------------------|---------------|-----------|
|                 |             | Stenosis               | Regurgitation | Mixed     |
| Valve procedure | Replacement | 41                     | 18            | 18        |
|                 | Repair      | 0                      | 65            | 2         |
|                 | <b>All</b>  | <b>41</b>              | <b>85</b>     | <b>20</b> |

### Type of mitral valve repair

- Most of the isolated mitral valve repair operations were complex repairs, involving two or more repair procedures.
- Most (76.1%) of mitral valve repair operations had ring annuloplasty.
- Artificial chordal implantation and leaflet resection were the two most commonly performed techniques in mitral valve repair surgery following annuloplasty.

Isolated valve repair involving the mitral valve: type of valve repair

|                             |                                | Data  |            |
|-----------------------------|--------------------------------|-------|------------|
|                             |                                | Count | Proportion |
| Type of mitral valve repair | Annuloplasty (ring)            | 51    | 76.1%      |
|                             | Leaflet resection              | 22    | 32.8%      |
|                             | Artificial chord               | 18    | 26.8%      |
|                             | Other                          | 11    | 16.4%      |
|                             | Annuloplasty (suture)          | 4     | 5.9%       |
|                             | Commisurotomy                  | 3     | 4.4%       |
|                             | Leaflet extension              | 3     | 4.4%       |
|                             | Chordal transfer               | 3     | 4.4%       |
|                             | Subvalvar release              | 3     | 4.4%       |
|                             | Decalcification / debridement  | 2     | 2.9%       |
|                             | Leaflet patch                  | 2     | 2.9%       |
|                             | Resuspension                   | 2     | 2.9%       |
|                             | Chordal shortening             | 0     | 0.0%       |
|                             | Papillary muscle repositioning | 0     | 0.0%       |

## Tricuspid valve surgery

### Tricuspid valve repair in the context of all tricuspid valve surgeries

- 103/267, (38.5%) of all isolated valve(s) surgeries involved tricuspid valve procedures.
- Isolated single valve tricuspid surgery was rare, only 21 patients during the year 2014-2015 had the isolated single valve tricuspid operation.
- Majority of isolated single valve tricuspid repairs were for regurgitation (95.2%) and rheumatic disease was the most common pathology (52.3%). Tricuspid valve repair using an annuloplasty ring was the preferred surgical approach (61.9%).

Isolated valve surgery involving the tricuspid valve: type of valve procedure

| Valve treated |   | Tricuspid valve procedure |           |            |
|---------------|---|---------------------------|-----------|------------|
|               |   | Replacement               | Repair    | All        |
|               | Tricuspid alone                                 | 4                         | 17        | <b>21</b>  |
|               | Tricuspid plus another valve                    | 4                         | 78        | <b>82</b>  |
|               | <b>All that include tricuspid valve surgery</b> | <b>8</b>                  | <b>95</b> | <b>103</b> |

### Details of Isolated tricuspid valve surgery

|                        |                         | Data      |            |
|------------------------|-------------------------|-----------|------------|
|                        |                         | Count     | Percentage |
| Haemodynamic pathology | Regurgitation           | 20        | 95.2%      |
|                        | Stenosis (previous TVR) | 1         | 4.76%      |
|                        | <b>All</b>              | <b>21</b> |            |

|                          |                              |           |        |
|--------------------------|------------------------------|-----------|--------|
| Previous cardiac surgery | No previous cardiac surgery  | 4         | 19.04% |
|                          | 1 previous cardiac surgery   | 13        | 61.9%  |
|                          | 2 previous cardiac surgeries | 4         | 19.04% |
|                          | <b>All</b>                   | <b>21</b> |        |

|                  |                     |    |       |
|------------------|---------------------|----|-------|
| Repair technique | Annuloplasty ring   | 13 | 61.9% |
|                  | Annuloplasty suture | 1  | 4.76% |

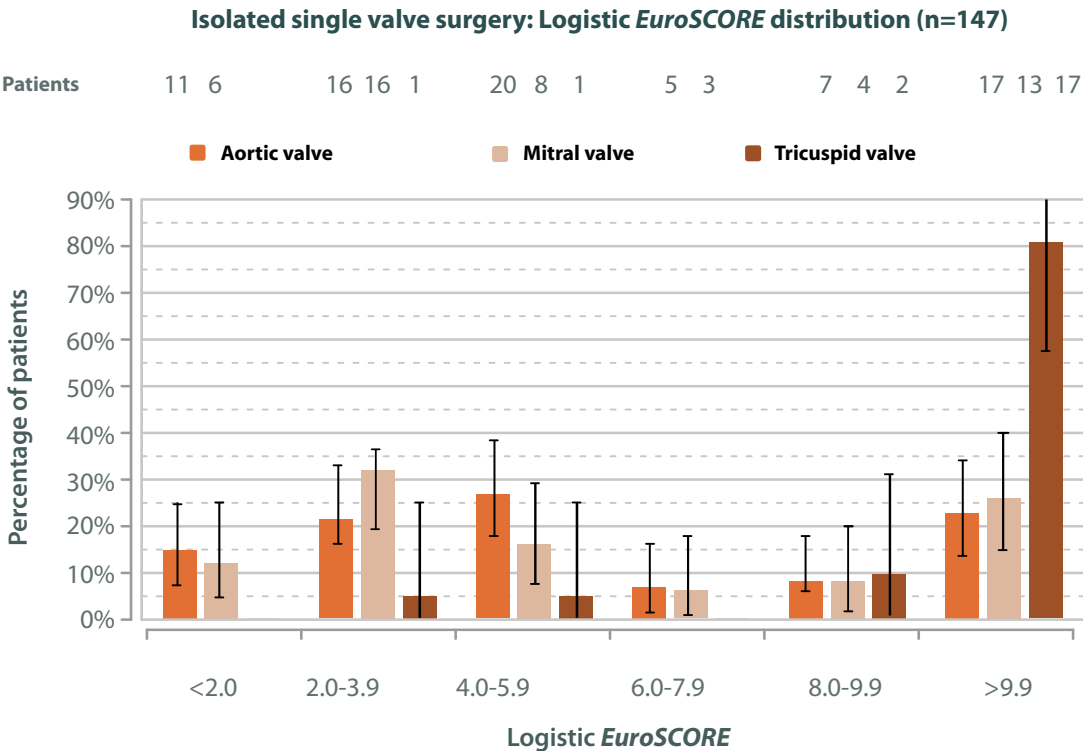
|                 |                          |           |       |
|-----------------|--------------------------|-----------|-------|
| Valve pathology | Rheumatic                | 11        | 52.3% |
|                 | Infective (endocarditis) | 2         | 9.5%  |
|                 | Functional Regurgitation | 8         | 38.0% |
|                 | <b>All</b>               | <b>21</b> |       |

Logistic *EuroSCORE* and *EuroSCORE II*

*EuroSCORE* distributions

- The expected mortality risk for isolated aortic valve surgery alone as predicted by Logistic *EuroSCORE* and *EuroSCORE II* was 9.36% and 3.61% respectively.
- The expected mortality risk for isolated mitral valve surgery alone as predicted by Logistic *EuroSCORE* and *EuroSCORE II* was 7.17 % and 2.36% respectively.
- The expected mortality risk for isolated tricuspid valve surgery alone as predicted by Logistic *EuroSCORE* and *EuroSCORE II* was 13.5% and 5.48% respectively.

Isolated valve surgery



### Logistic EuroSCORE and mortality

- There was no observed mortality for isolated aortic and mitral valve surgery whereas isolated tricuspid valve surgery had a mortality rate of 9.5 % which was lower than the expected mortality, resulting in low O/E mortality ratio.
- Predominant multiple-valve surgery at QMH was combined mitral and tricuspid valve surgery. This is usually undertaken for a combination of primary mitral pathology with associated tricuspid regurgitation.
- Mortality for combined mitral and tricuspid valve surgery was 6.52%, which was the highest among all multiple-valve surgeries.
- Despite the increased complexity QMH had a low O/E mortality ratio for multiple-valve surgery.

### Isolated single valve surgery: EuroSCORE and mortality

|               |                 | Count |        | Mortality   |             |             |
|---------------|-----------------|-------|--------|-------------|-------------|-------------|
|               |                 | All   | Deaths | Observed(O) | Expected(E) | O/E Ratio   |
| Valve treated | Aortic alone    | 76    | 0      | 0           | 0.093       | <b>0</b>    |
|               | Mitral alone    | 50    | 0      | 0           | 0.071       | <b>0</b>    |
|               | Tricuspid alone | 21    | 2      | 0.095       | 0.135       | <b>0.70</b> |

### Isolated multiple valve surgery: EuroSCORE and mortality

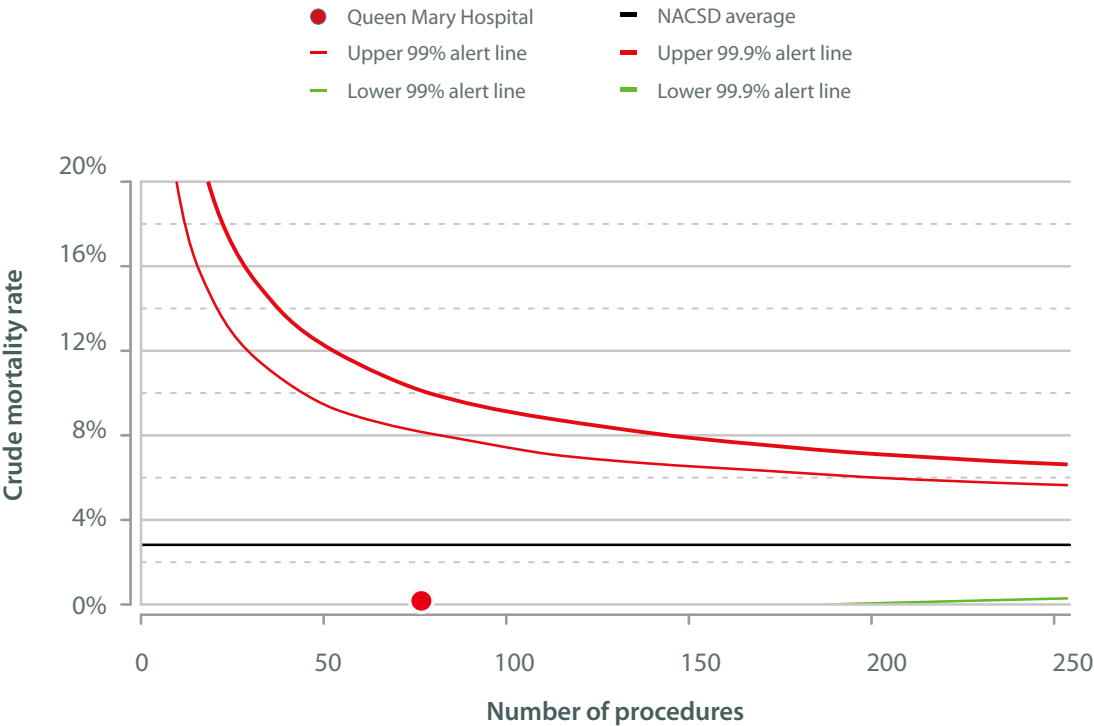
|               |                            | Count |        | Mortality   |             |             |
|---------------|----------------------------|-------|--------|-------------|-------------|-------------|
|               |                            | All   | Deaths | Observed(O) | Expected(E) | O/E Ratio   |
| Valve treated | Aortic & mitral            | 28    | 1      | 0.035       | 0.101       | <b>0.34</b> |
|               | Mitral & tricuspid         | 46    | 3      | 0.065       | 0.132       | <b>0.49</b> |
|               | Aortic, mitral & tricuspid | 21    | 1      | 0.047       | 0.108       | <b>0.43</b> |

International benchmarking of mortality

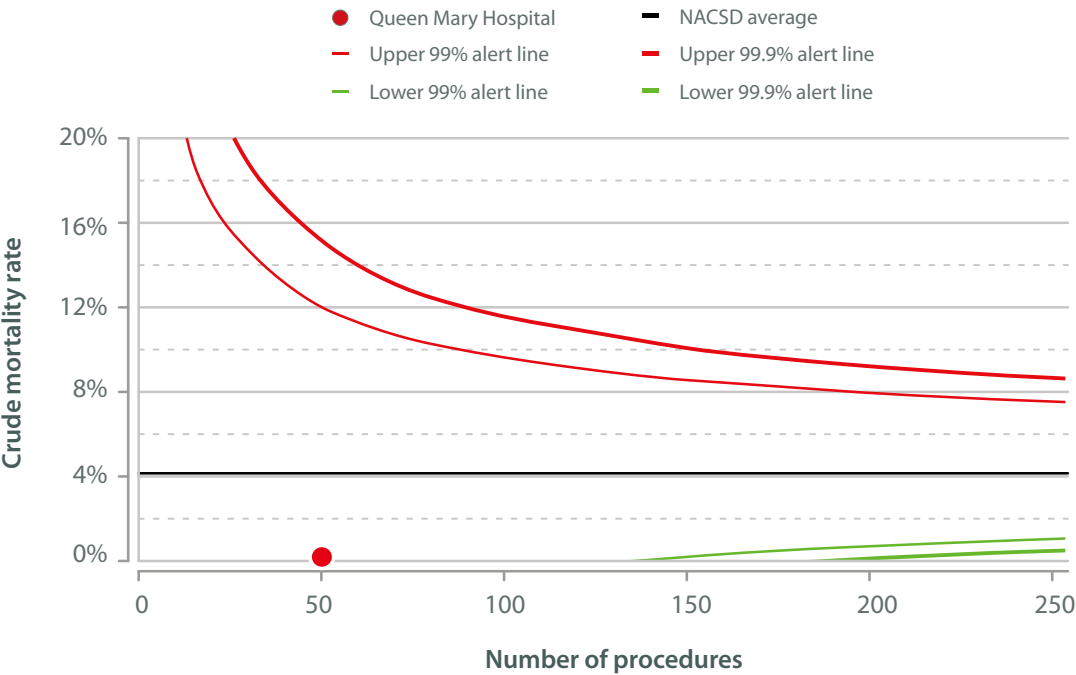
- The graph here is a funnel plot of in-hospital crude mortality for isolated aortic valve and isolated mitral valve surgery . In 2014-2015 there was no mortality for isolated aortic valve and isolated mitral valve surgery.

Isolated valve surgery

Isolated aortic valve surgery: No mortality for QMH (n=76) compared to the data from the financial years 2004-2008 in the United Kingdom NACSD



Isolated mitral valve surgery: No mortality for QMH (n=50) compared to the data from the financial years 2004-2008 in the United Kingdom NACSD



## Minimally Invasive Cardiac Surgery (MICS)

### Developments and workload in QMH

- Our department started performing minimally invasive cardiac surgery since 2007.
- In 2014-2015, about 11.4% of cardiac surgical procedures were performed using a minimally invasive approach.
- MICS is associated with decreased mortality as well as less postoperative pain, reduced risk of stroke, avoidance of blood products, quicker return to daily activities, and improved quality of life.
- Robotic-assisted procedures undertaken so far were mainly for mitral valve annuloplasty and an atrial septal defect closure.

MICS approach

|                       |                        | Data       |             |
|-----------------------|------------------------|------------|-------------|
|                       |                        | Count      | Proportion  |
| Type of MICS approach | Mini-thoracotomy       | 62         | 54.9%       |
|                       | Hemi-sternotomy        | 44         | 38.9%       |
|                       | Robot-assisted surgery | 5          | 4.4%        |
|                       | Parasternal approach   | 2          | 1.8%        |
|                       | <b>Total</b>           | <b>113</b> | <b>100%</b> |

### Procedures performed using MICS

- A wide range of MICS procedures for heart conditions were performed.
- In 2014-2015, more than 25.09% (67/113) of isolated valve procedures were performed using a MICS technique.

Procedures performed using MICS

|                    |                                   | Data       |            |
|--------------------|-----------------------------------|------------|------------|
|                    |                                   | Count      | Proportion |
| Procedure grouping | MICS for valve alone              | 67         | 59.3%      |
|                    | MICS for valve and other surgery  | 28         | 24.8%      |
|                    | MICS for surgery other than valve | 18         | 15.9%      |
|                    | <b>Total</b>                      | <b>113</b> |            |



### Other surgeries with valve surgery using MICS approach

- It was not uncommon to correct more than one heart problem during a minimally invasive procedure.
- Along with the valve surgery following procedures were done during the same operation without opening the chest.

Other surgeries with valve using MICS

|               |                                  | Data      |            |
|---------------|----------------------------------|-----------|------------|
|               |                                  | Count     | Proportion |
| Other surgery | Atrial Ablation                  | 20        | 71.4%      |
|               | ASD closure                      | 3         | 10.7%      |
|               | ASD closure, Atrial Ablation     | 3         | 10.7%      |
|               | Other procedure not listed above | 2         | 7.1%       |
|               | <b>Total</b>                     | <b>28</b> |            |

Procedures other than valve surgery using MICS

|                  |   | Data      |            |
|------------------|---|-----------|------------|
|                  |   | Count     | Proportion |
| Other procedures | ASD closure                                   | 6         | 33.3%      |
|                  | Other procedure for congenital condition      | 6         | 33.3%      |
|                  | ASD closure, Atrial Ablation                  | 1         | 5.6%       |
|                  | ASD closure, Other procedure not listed above | 1         | 5.6%       |
|                  | Atrial myxoma                                 | 1         | 5.6%       |
|                  | Atrial myxoma, ASD closure                    | 1         | 5.6%       |
|                  | Ascending aorta replacement                   | 1         | 5.6%       |
|                  | Ventricular assist device- LVAD CentriMag     | 1         | 5.6%       |
|                  | <b>Total</b>                                  | <b>18</b> |            |

### MICS and mortality

- There was no in-hospital mortality in this group of patients. There were no major complications except 4 patients (3.5%) had re-operation due to bleeding and 1 patient had transient stroke (0.9%).



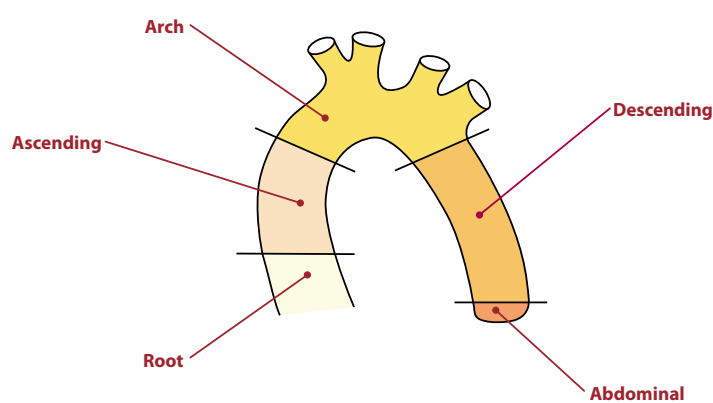


# **Surgery on the aorta and Other cardiac procedures**

## Surgery on the aorta and other cardiac procedures

### Surgery on the aorta

- The number of thoracic aortic surgeries increased from 96 (2012-2013) to 131 (2014-2015).
- Between 2014-2015 there were 50 (38.1%) patients who had aortic surgery at more than one location.
- Aortic surgery at one location was most commonly performed (61.8%).
- The ascending aorta was the most common site for aortic surgery (44.2%).
- Involvement of ascending aorta frequently occurs with the involvement of other parts of the aorta too (35.8%).



Surgery on the aorta: number and details of segments treated

|                  |   |                                    | Cardiac procedure group |               |                     |       |     |
|------------------|---|------------------------------------|-------------------------|---------------|---------------------|-------|-----|
|                  |   |                                    | CABG & other            | Valve & other | CABG, valve & other | Other | All |
| Segments treated | 1 | Root                               | 0                       | 0             | 0                   | 7     | 7   |
|                  |   | Ascending                          | 6                       | 20            | 0                   | 32    | 58  |
|                  |   | Arch                               | 1                       | 4             | 0                   | 4     | 9   |
|                  |   | Descending                         | 0                       | 0             | 0                   | 7     | 7   |
|                  | 2 | Root & ascending                   | 2                       | 8             | 5                   | 11    | 26  |
|                  |   | Ascending & arch                   | 0                       | 0             | 0                   | 8     | 8   |
|                  |   | Descending & arch                  | 0                       | 0             | 0                   | 1     | 1   |
|                  |   | Descending & abdominal             | 0                       | 0             | 0                   | 2     | 2   |
|                  | 3 | Root, ascending & arch             | 0                       | 0             | 0                   | 1     | 1   |
|                  |   | Ascending, arch & descending       | 1                       | 0             | 0                   | 11    | 12  |
|                  | 4 | Root, ascending, arch & descending | 0                       | 0             | 0                   | 0     | 0   |
|                  |   | All                                | 10                      | 32            | 5                   | 84    | 131 |

## Pathology and Surgical technique

- The most common pathology that affected the aorta was aneurysm with dissection. Aneurysms may affect any part of the aorta. Operations for thoracic aortic dissection constituted the main workload 84/131 (64.1%) followed by surgery for a non-dissected thoracic aneurysm 37/131 (28.2%).
- The most common aortic root procedure performed was Bentall 29/38 (root replacement with composite graft and coronary re-implantation), only 1/38 underwent root replacement with preservation of valve, 3 underwent repair of Sinus Valsalva Aneurysm.

Surgery on the aorta: pathophysiology

|                                      | Count      |
|--------------------------------------|------------|
| Aneurysm                             | 37         |
| Aneurysm with dissection             |            |
| Acute                                | 58         |
| Chronic                              | 25         |
| Subacute                             | 1          |
| Infection                            | 3          |
| Aortic valve prosthesis complication | 3          |
| Congenital                           | 2          |
| Aortic valve stenosis                | 2          |
| <b>Patient count</b>                 | <b>131</b> |

Surgery on the aorta: root

|                                   | Count     |
|-----------------------------------|-----------|
| Bentall                           | 29        |
| Valve-sparing                     | 1         |
| Sinus of valsalva aneurysm repair | 3         |
| Konno procedure                   | 2         |
| Repair of root abscess            | 1         |
| Ascending reduction aortoplasty   | 1         |
| Other                             | 1         |
| <b>Patient count</b>              | <b>38</b> |

- Axillary/subclavian and ascending aorta cannulation for arterial inflow were the most commonly used cannulation strategies in QMH (113/131).
- Femoral cannulation is particularly useful in emergency situations with haemodynamically unstable patients (28/131).
- Antegrade cerebral perfusion is beneficial for reducing neurologic injury during hypothermic circulatory arrest and was used in 50/65 patients.

Surgery on the aorta: cerebral perfusion

|            | Count     |
|------------|-----------|
| None       | 12        |
| Antegrade  | 50        |
| Retrograde | 3         |
| <b>All</b> | <b>65</b> |

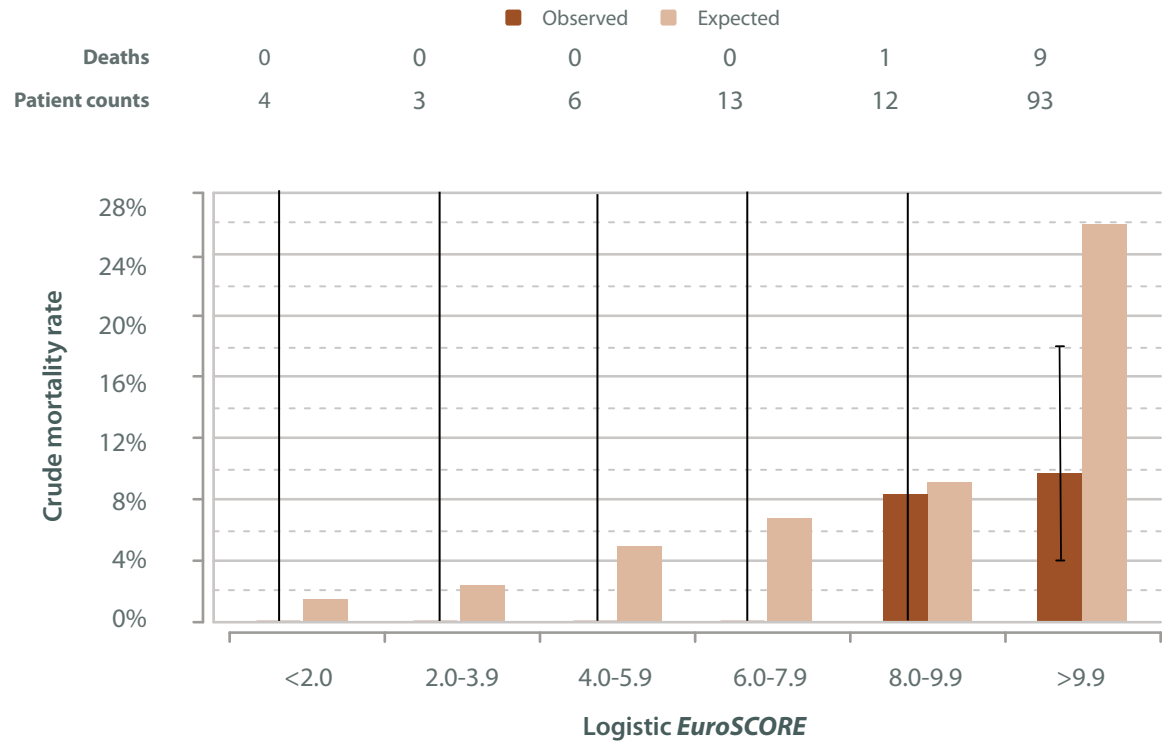
Surgery on the aorta: cannulation

|                      | Count      |
|----------------------|------------|
| Ascending aorta      | 43         |
| Arch                 | 3          |
| Axillary/subclavian  | 70         |
| Femoral              | 28         |
| Other                | 0          |
| <b>Patient count</b> | <b>131</b> |

Mortality and morbidity

- Overall expected and observed mortality for aortic surgery was 20.3% and 7.6% respectively with O/E ratio of 0.374 indicating better than expected performance.
- Highest mortality was observed in the high-risk Logistic EuroSCORE group (>9.9). This group had the most challenging patients with acute dissection (4/9 had previous aorta replacement surgery).
- In spite of these high-risk group procedures being particularly challenging, QMH observed mortality rate (9.6%) in this group was less than the expected mortality rate (26%).

Surgery on the aorta: Logistic *EuroSCORE* and mortality (n=131)



## Other cardiac procedures

### Other procedures

- 'Other procedures for congenital condition' performed mainly included VSD closure (10/22), Fontan conversion(5/22) and Pulmonary valve replacement (4/22).
- VAD are used in patients with heart failure. Most of the implantable VAD (HeartMate II® & HeartWare®, 15/33) were performed for the intention of bridging to heart transplantation.
- External VAD (18/33) were used until myocardial recovery in 3/18, as a bridge to transplant in 4/18 and as bridge to implantable VAD in 1/18. 10/18 died while on support.
- ECMO completely takes over for the heart and lungs with isolated pulmonary failure or combined cardiopulmonary failure. Unlike the previous report, only post-cardiotomy ECMO are reported here.
- The number of lung transplants increased from 7 to 17 whereas the number of cardiac transplants decreased from 28 to 22 when compared to our report of 2012-2013.
- The number of atrial ablations performed have steadily increased biennially, 51 in 2010-2011, 84 in 2012-2013 and 108 in 2014-2015.

#### Procedure detail

|                          |  | Procedure grouping |             |              |              |               |                     |       |     |
|--------------------------|--|--------------------|-------------|--------------|--------------|---------------|---------------------|-------|-----|
|                          |  | CABG alone         | Valve alone | CABG & valve | CABG & other | Valve & other | CABG, valve & other | Other | All |
| Other cardiac procedures | None                                     | 249                | 267         | 68           | 7            | 28            | 4                   | 76    | 699 |
|                          | LV aneurysmectomy                        | 0                  | 0           | 0            | 3            | 1             | 5                   | 0     | 9   |
|                          | Acquired VSD                             | 0                  | 0           | 0            | 2            | 0             | 0                   | 1     | 3   |
|                          | Atrial myxoma                            | 0                  | 0           | 0            | 0            | 2             | 0                   | 8     | 10  |
|                          | Pulmonary embolectomy                    | 0                  | 0           | 0            | 0            | 1             | 0                   | 6     | 7   |
|                          | Cardiac transplant                       | 0                  | 0           | 0            | 0            | 0             | 0                   | 22    | 22  |
|                          | Pulmonary transplant                     | 0                  | 0           | 0            | 0            | 3             | 0                   | 14    | 17  |
|                          | Cardiac trauma                           | 0                  | 0           | 0            | 0            | 0             | 0                   | 0     | 0   |
|                          | Epicardial pacemaker                     | 0                  | 0           | 0            | 0            | 0             | 0                   | 5     | 5   |
|                          | Pericardiectomy                          | 0                  | 0           | 0            | 0            | 1             | 0                   | 0     | 1   |
|                          | ASD closure                              | 0                  | 0           | 0            | 1            | 17            | 0                   | 17    | 35  |
|                          | Other procedure for congenital condition | 0                  | 0           | 0            | 1            | 22            | 1                   | 22    | 46  |
|                          | Atrial Ablation                          | 0                  | 0           | 0            | 10           | 83            | 9                   | 6     | 108 |
|                          | Ventricular assist devise                | 0                  | 0           | 0            | 0            | 9             | 0                   | 24    | 33  |
|                          | ECMO                                     | 0                  | 0           | 0            | 1            | 2             | 2                   | 8     | 13  |
|                          | Other procedure not listed above         | 0                  | 0           | 0            | 6            | 27            | 2                   | 12    | 47  |
| Patient count            |  | 249                | 267         | 68           | 28           | 169           | 21                  | 189   | 991 |



## Atrial ablation

### Patients and procedure

- In 2014-2015, 108 atrial ablation procedures were performed to treat atrial fibrillation.
- In all cases, the surgery included treatment for atrial fibrillation and another cardiac procedure.
- In 89.2% of atrial ablation procedures another cardiac procedure was valve surgery.
- There has been an increase in number of atrial ablation procedures from 84 in 2012-2013 to 108 in 2014-2015.
- Most of the patients undergoing this procedure were relatively young patients <65 years of age (64.8%). Atrial ablation procedures were also performed on the elderly, including septuagenarians (35.1%).

### Atrial ablation age and concomitant procedures

|                        | Concomitant procedures |              |               |                     |             |            | Percentage    |
|------------------------|------------------------|--------------|---------------|---------------------|-------------|------------|---------------|
|                        |                        | CABG & other | Valve & other | CABG, valve & other | Other       | All        |               |
| Age at surgery / years | <56                    | 1            | 29            | 1                   | 4           | 35         | 32.4%         |
|                        | 56-60                  | 1            | 14            | 0                   | 1           | 16         | 14.8%         |
|                        | 61-65                  | 0            | 14            | 4                   | 1           | 19         | 17.6%         |
|                        | 66-70                  | 6            | 16            | 2                   | 0           | 24         | 22.2%         |
|                        | 71-75                  | 2            | 9             | 2                   | 0           | 13         | 12.0%         |
|                        | >75                    | 0            | 1             | 0                   | 0           | 1          | 0.9%          |
|                        | <b>All</b>             | <b>10</b>    | <b>83</b>     | <b>9</b>            | <b>6</b>    | <b>108</b> | <b>100.0%</b> |
|                        | <b>Percentage</b>      | <b>10.8%</b> | <b>89.2%</b>  | <b>9.7%</b>         | <b>6.5%</b> |            |               |

## TAVI (Transcatheter Aortic Valve Implantation)

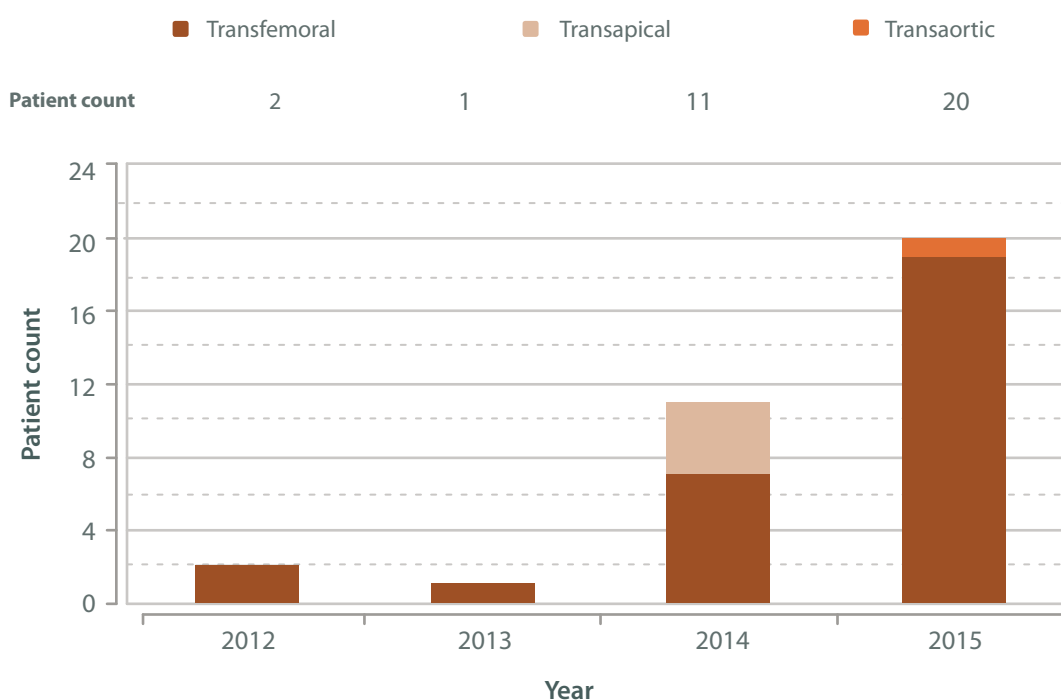
### Overview

- TAVI is part of a growing trend in the field of minimally-invasive surgeries.
- TAVI is restricted to those patients who can't tolerate the traditional approach or they are deemed to be at high risk of suffering serious complications from open-heart surgery.
- Recovery time is much faster for TAVI patients.
- The TAVI procedure is more expensive than open-heart surgery because of the extra cost of these specialized valves.
- TAVI was a relatively new procedure during the time period in question. We began performing the procedure during 2012.

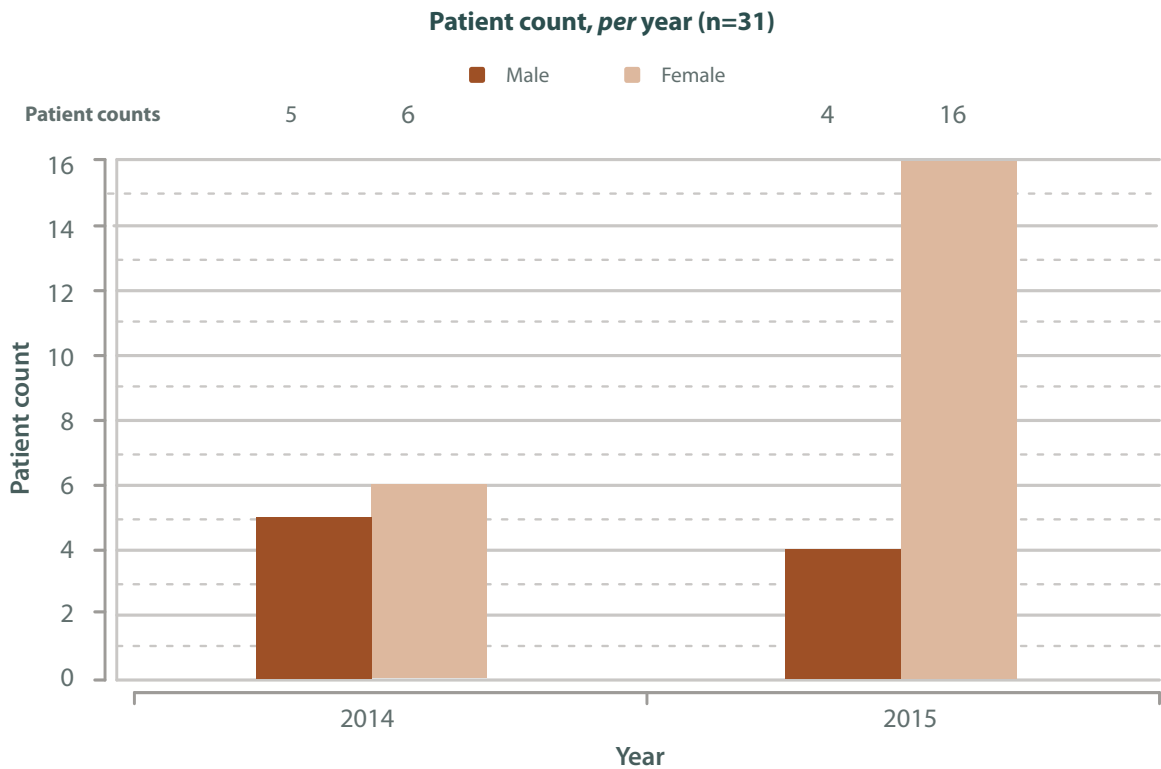
### Number of TAVI procedures, per access type and year

- The transfemoral access route is the predominant choice .
- Alternative (non-femoral) access routes include transapical, subclavian, and transaortic access.
- Non-femoral access usually requires general anaesthesia, while most transfemoral cases are performed under local anaesthesia only.

Number of TAVI procedures, per access type and year (n=34)

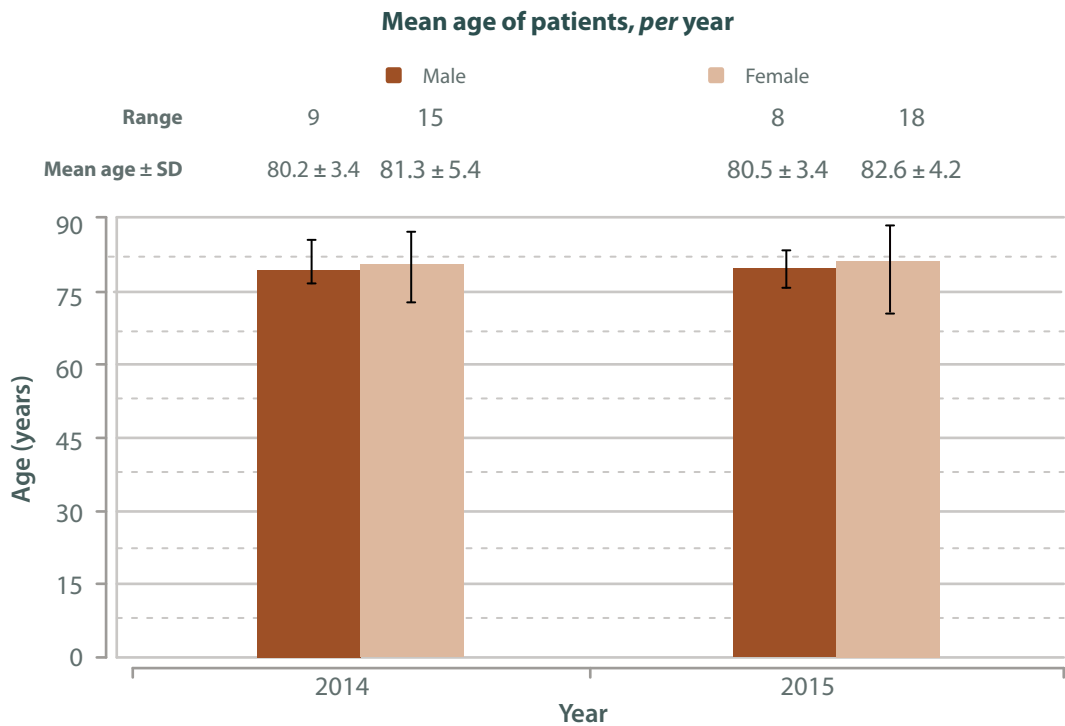


Patient count, per year, 2014–2015



Mean age of patients, per year, 2014–2015

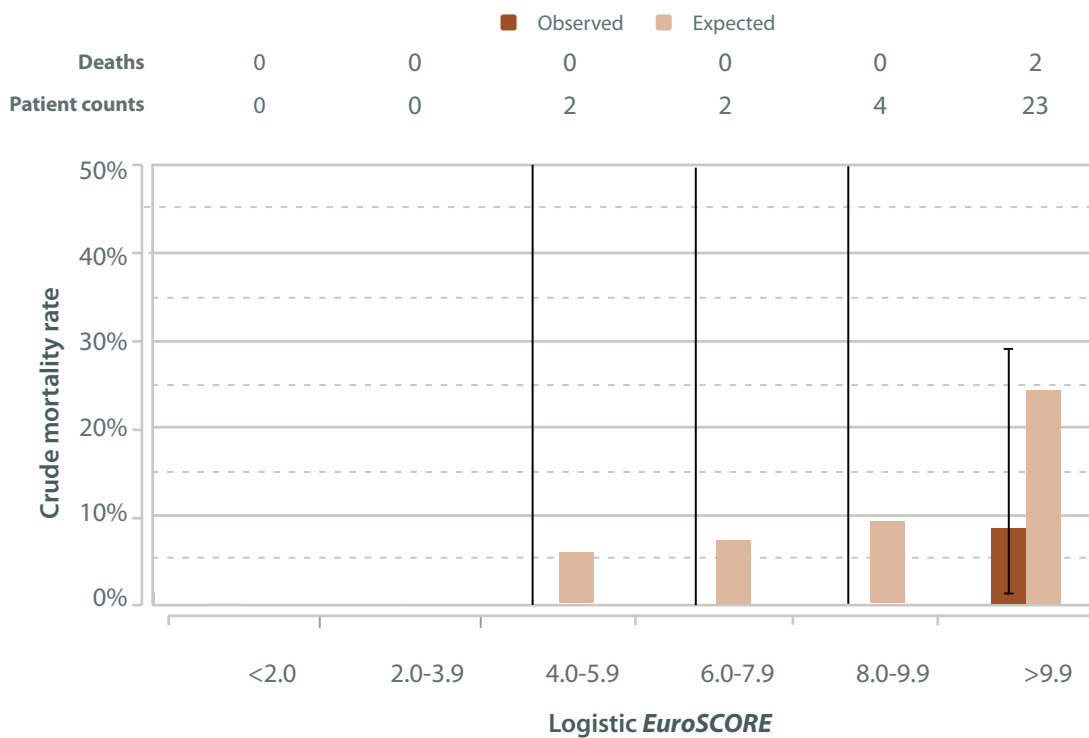
- The mean age of the patients is over 80 years, with no major change over the two years.
- This indicates that there has been no major change in the selection of patients between TAVI and surgical aortic valve replacement.



### Mortality and morbidity

- Logistic EuroSCORE was high in a greater proportion of patients.
- This implies that among aortic stenosis patients, TAVI is offered mostly to high-risk patients, whereas the lower risk patients are still treated surgically.
- The rate of cerebrovascular accident was 6.4% (2/31), cardiac tamponade was 3.2%(1/31)
- Overall mean Logistic EuroSCORE for this group was  $20.18 \pm 13.0$ .
- Overall in-hospital mortality was 6.4% (2/31).

TAVI: Logistic EuroSCORE and mortality (n=31)





## **Part 2: Congenital cardiac surgery**



## **Congenital cardiac surgery**

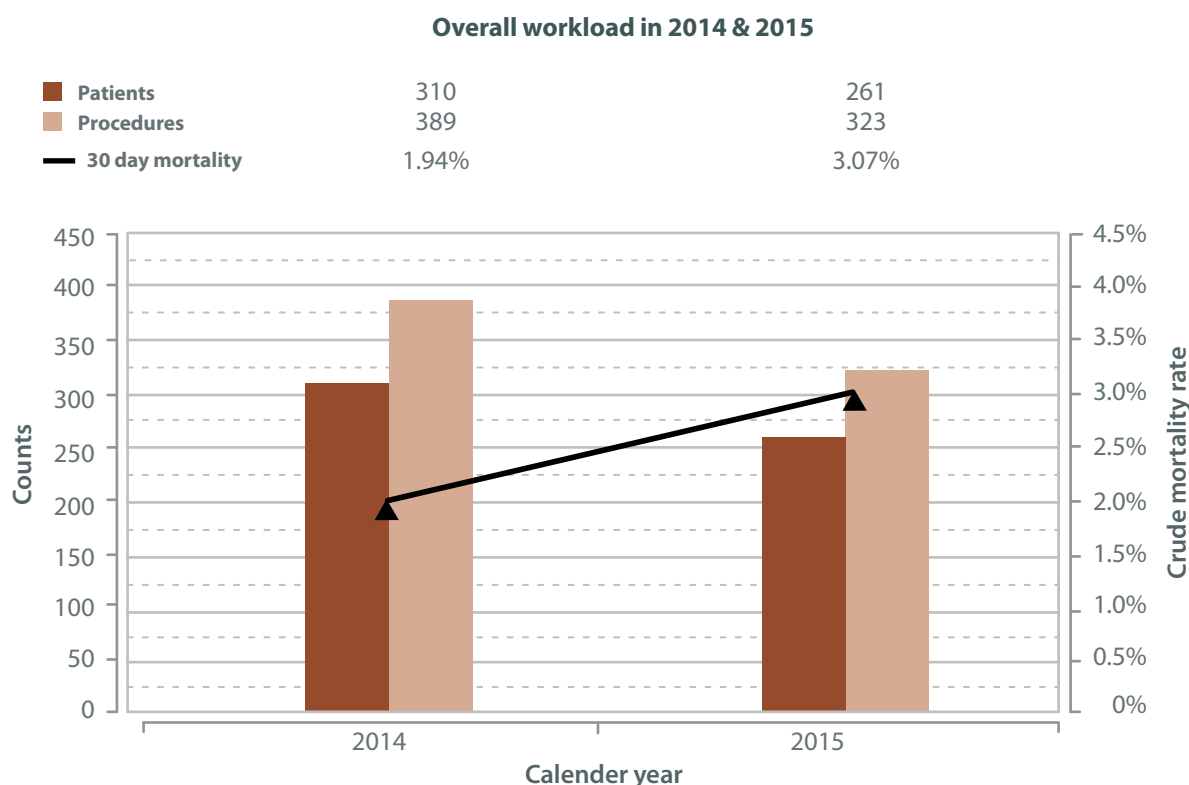
## Database overview: Congenital cardiac surgery

- The paediatric and congenital cardiac surgical programme started in 1967 in this unit. It is an important proportion of work at the Department of Cardiothoracic Surgery, Queen Mary Hospital now.
- We have always strived to improve patient safety and outcomes. One way we achieved this is by participating in the EACTS Congenital Heart Database, now under the name and under the flag of ECHSA, the organization that has been behind the database over the years.
- This database, one of the largest congenital heart databases in the world, offers an excellent platform for systematic data collection under a standard nomenclature (International Paediatric and Congenital Cardiac Code, IPCCC), and provides risk stratification tools (e.g. Aristotle Basic Complexity Score and Level) for benchmarking.
- This database provides a detailed report of our heart surgery program and compares our performance to other programs in the world. The data presented in this section is obtained directly from the data reports provided by the ECHSA Congenital Heart Surgery Database.
- Paediatric and adult patients undergoing congenital cardiac surgery in Queen Mary Hospital between 2014-2015 are included in this report.

## The Overall Workload

### Workload by year

- Overall 712 procedures were performed on 563 patients in the year 2014 and 2015 at QMH with a mean 30-day mortality of 2.49%. Total volumes of congenital cardiac surgery decreased by approximately 11% compared to the previous two years.



### Workload by procedure category

- Open heart surgery accounted for about 2/3 of the workload in QMH. This is consistent with our previous report. The proportion was lower than that in the EACTS database.

Workload by procedure category

|                    |                        | Data       |            |                                  |
|--------------------|------------------------|------------|------------|----------------------------------|
|                    |                        | Count      | Proportion | Proportion in the EACTS Database |
| Procedure Category | Open heart surgery     | 427        | 60.0%      | 74.2%                            |
|                    | Closed heart surgery   | 223        | 31.3%      | 19.0%                            |
|                    | Thoracic surgery       | 43         | 6.0%       | 3.2%                             |
|                    | ECMO (post-cardiotomy) | 18         | 2.5%       | 2.0%                             |
|                    | VAD                    | 1          | 0.1%       | 0.1%                             |
|                    | <b>All</b>             | <b>712</b> |            |                                  |



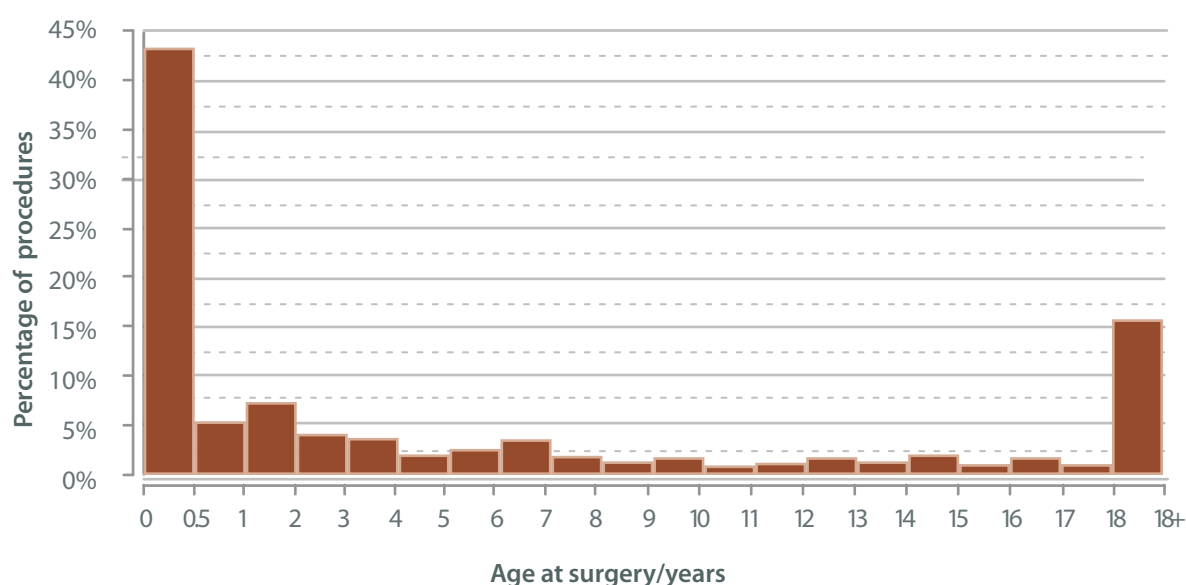
## Workload by age Group

- Patients with congenital heart disease from birth through adulthood are operated upon in QMH.
- The most common age of congenital heart surgery is at age level 1-18 year (39.1%).
- Nearly 50% of the operations were performed on patients below 1 year of age.
- The proportion of the number of neonatal surgeries at QMH (21.3%) was higher than that in the EACTS database (15.3%).
- Adult congenital heart surgery contributed a considerable proportion (15.6%) of the workload at QMH.

Workload By age group

|           |                       | Data  |            |                                  |
|-----------|-----------------------|-------|------------|----------------------------------|
|           |                       | Count | Proportion | Proportion in the EACTS Database |
| Age group | Neonates (0-30 days)  | 152   | 21.3%      | 15.3%                            |
|           | Infants (31-365 days) | 193   | 27.1%      | 35.2%                            |
|           | Children (1-18 years) | 256   | 36.0%      | 39.1%                            |
|           | Adults (>18 years)    | 111   | 15.6%      | 10.4%                            |

Histogram of age at operation



## Demographic and perioperative data

- In QMH, the average post-operative ventilation support time was 41 hours (1.7 days), which was much shorter than that in the EACTS Database (68.79 hours or 2.8 days). This is consistent with our previous report.

Demographic and perioperative data and data by procedure category

|            |                                     | Overall |        |
|------------|-------------------------------------|---------|--------|
|            |                                     | QMH     | EACTS  |
| Mean value | Age at operation (months)           | 98.73   | 78.02  |
|            | Weight at operation (kg)            | 18.93   | 17.71  |
|            | Post-op ventilation time (hours)    | 41.01   | 68.79  |
|            | Length of stay (days)               | 16.24   | 14.04  |
|            | Total CPB time (min)                | 117.54  | 104.76 |
|            | Total aortic cross-clamp time (min) | 74.5    | 60.67  |

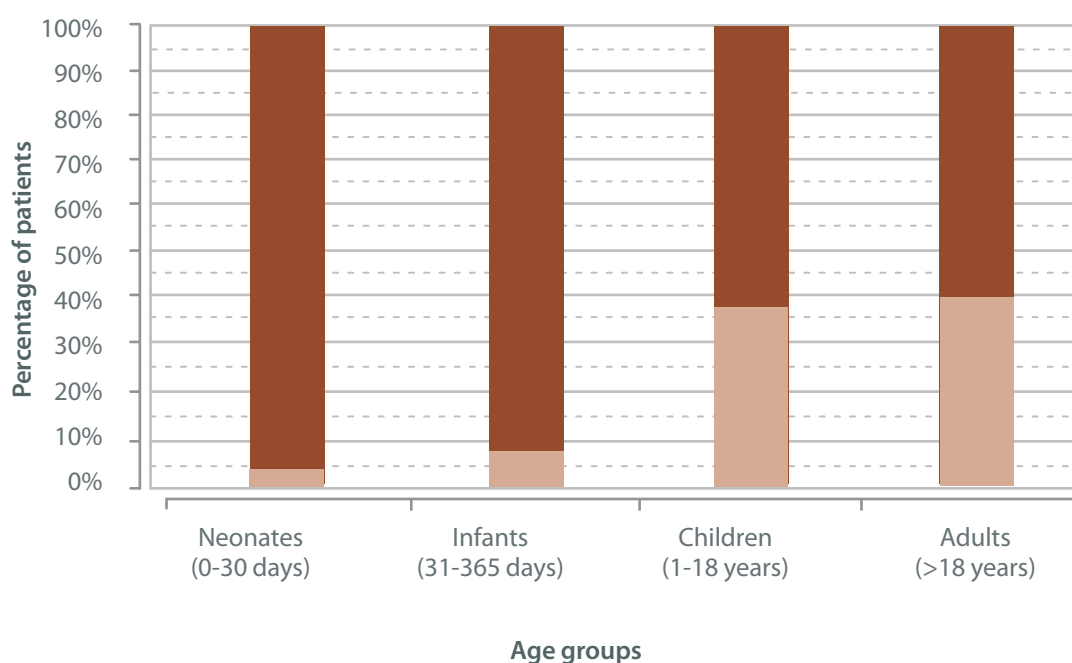
## Previous cardiac surgery

### Previous cardiac surgery within age groups

- Overall 23.6% of the patients had undergone previous cardiac surgery.
- In the under 1 year age group treated between 2012 and 2013, 26% had previously undergone surgery compared to 11.6% seen in this report.
- In adult congenital heart surgery about 41% of the patients had previous cardiac surgery done signifying that most of the adult congenital heart surgeries are related to surgeries performed in early life.
- For children aged between 1 and 18 years, 39.4% had undergone at least one cardiac surgical procedure which is consistent with the previous report (40.8%).

Previous cardiac surgery: Age groups

|                  |      |      |       |       |
|------------------|------|------|-------|-------|
| Previous surgery | 6    | 15   | 101   | 46    |
| Total procedures | 152  | 193  | 256   | 111   |
| Rate             | 3.9% | 7.7% | 39.4% | 41.4% |



## List of primary diagnosis, primary procedures and complications in QMH, 2014-2015

### Primary diagnosis

- To get a better understanding of the variety of diagnoses present in congenital cardiac surgery in QMH, the table lists the most frequent primary diagnoses with the number of cases and their proportion.
- The diagnosis listed in the table was the patient's most important or the primary diagnosis.
- Consistent with the previous report Patent ductus arteriosus, VSD (Perimembranous) and ASD (Secundum) were the three most frequent congenital heart defects at QMH.

25 most frequent primary cardiac diagnosis in QMH , 2014-2015

| Primary diagnosis   | Count | Proportion |
|---|-------|------------|
| Patent ductus arteriosus  | 95    | 16.8%      |
| VSD, Type 2 (Perimembranous) (Paramembranous) (Conoventricular)               | 81    | 14.4%      |
| ASD, Secundum   | 50    | 8.8%       |
| VSD, Type 1 (Subarterial) (Supracristal) (Conal septal defect) (Infundibular) | 38    | 6.7%       |
| TOF, Pulmonary stenosis   | 29    | 5.1%       |
| Coarctation of aorta  | 24    | 4.3%       |
| Pulmonary insufficiency   | 15    | 2.6%       |
| AVC (AVSD), Partial (incomplete) (PAVSD) (ASD, primum)                        | 12    | 2.1%       |
| Pulmonary atresia, VSD (Including TOF, PA)                                    | 13    | 2.3%       |
| TGA, IVS  | 11    | 2.0%       |
| Pulmonary stenosis, Valvar  | 9     | 1.5%       |
| Pulmonary atresia, IVS  | 9     | 1.6%       |
| Pulmonary atresia, VSD-MAPCA (pseudotruncus)                                  | 7     | 1.2%       |
| Ebstein's anomaly   | 7     | 1.2%       |
| Partial anomalous pulmonary venous connection (PAPVC)                         | 7     | 1.2%       |
| Single ventricle, Tricuspid atresia   | 6     | 1.1%       |
| Cardiac tumor   | 6     | 1.1%       |
| Aortic stenosis, Supravalvar  | 6     | 1.1%       |
| AVC (AVSD), Complete (CAVSD)  | 5     | 0.8%       |
| TGA, VSD  | 5     | 0.8%       |
| Total anomalous pulmonary venous connection (TAPVC), Type 1 (supracardiac)    | 4     | 0.7%       |
| Arrhythmia, Heart block, Acquired   | 3     | 0.5%       |
| Interrupted aortic arch   | 3     | 0.5%       |
| Coronary artery anomaly, Anomalous pulmonary origin (includes ALCAPA)         | 2     | 0.4%       |
| Diaphragm disease, Other  | 1     | 0.1%       |

## Primary procedures

- The following table lists the top 25 procedures by quantity undertaken for congenital heart disease between 2014-2015.
- It does not equate to the number of patients, as a proportion of patients will have had more than one procedure during this two year period.
- The top 25 procedures accounted for 72% of the workload.
- VSD repair and PDA closure remain the most frequent procedures consistently over past four years.

25 most frequent primary cardiac procedures in QMH, 2014-2015

| Primary procedure   | Count | Proportion | ABC score |
|---|-------|------------|-----------|
| VSD repair, Patch   | 118   | 16.5%      | 6.0       |
| PDA closure, Surgical   | 97    | 13.6%      | 3.0       |
| Mediastinal exploration   | 40    | 5.6%       | 1.5       |
| ASD repair, Patch   | 33    | 4.6%       | 3.0       |
| Valvuloplasty, Mitral   | 20    | 2.8%       | 8.0       |
| Fontan, TCPC, External conduit, Fenestrated                         | 18    | 2.5%       | 9.0       |
| PA, reconstruction (plasty), Branch, Peripheral                     | 15    | 2.1%       | 8.8       |
| TOF repair, Ventriculotomy, Transanular patch                       | 14    | 2.0%       | 8.0       |
| Valve replacement, Pulmonic (PVR)                                   | 14    | 2.0%       | 6.5       |
| Shunt, Systemic to pulmonary, Modified Blalock-Taussig Shunt (MBTS) | 13    | 1.8%       | 6.3       |
| ECMO cannulation  | 13    | 1.8%       | 6.0       |
| ECMO decannulation  | 12    | 1.7%       | 6.0       |
| Pericardial drainage procedure                                      | 12    | 1.7%       | 3.0       |
| Arterial switch operation (ASO)                                     | 11    | 1.5%       | 10.0      |
| ASD repair, Primary closure   | 11    | 1.5%       | 3.0       |
| Coarctation repair, End to end                                      | 11    | 1.5%       | 6.0       |
| RVOT procedure  | 11    | 1.5%       | 6.5       |
| Coarctation repair, End to end, Extended                            | 10    | 1.4%       | 8.0       |
| Thoracic and/or mediastinal procedure, Other                        | 10    | 1.4%       | -         |
| Valve replacement, Aortic (AVR), Mechanical                         | 9     | 1.3%       | 7.0       |
| TAPVC repair  | 8     | 1.1%       | 9.0       |
| Fontan revision or conversion (Re-do Fontan)                        | 7     | 1.0%       | 12.5      |
| TOF repair, Ventriculotomy, Nontransanular patch                    | 7     | 1.0%       | 7.5       |
| Valvuloplasty, Tricuspid  | 6     | 0.8%       | 7.0       |
| Conduit placement, RV to PA   | 6     | 0.8%       | 7.5       |

## Common post operative events/ major complications

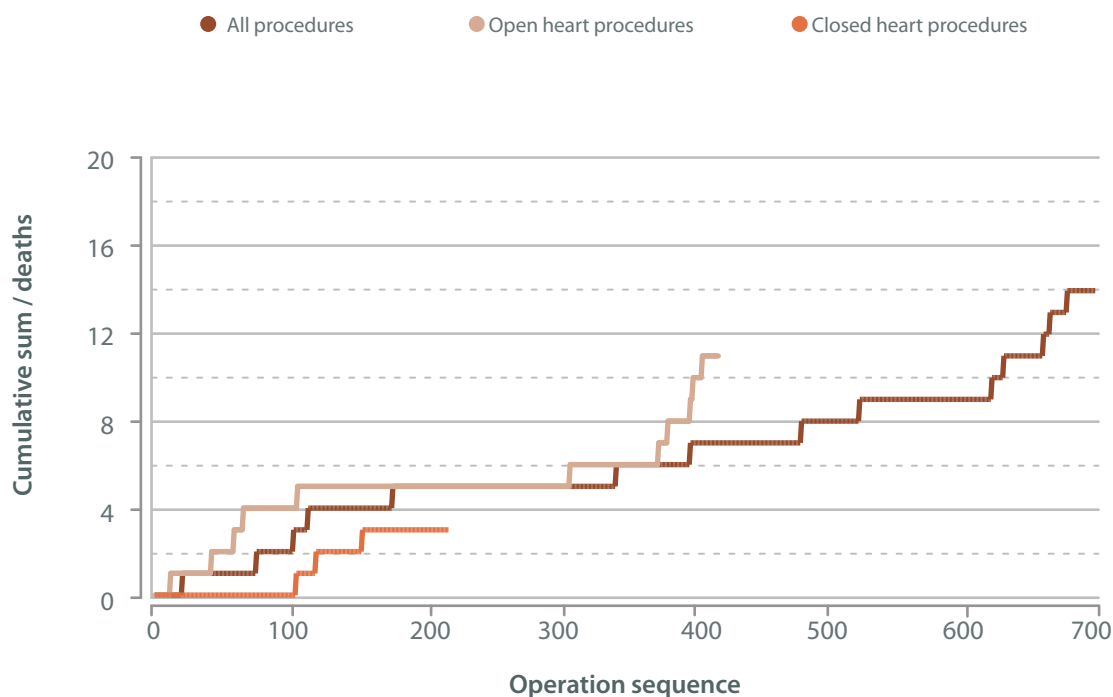
- The following table lists the common post-operative events and major complications.
- A total of 419 complications were observed in 161 patients. The most frequent complication was a sternum which was left open in 11.4 % of the patients (n=48).
- Mechanical circulatory support, such as ECMO, is another vital component when attempting to reduce mortality in patients undergoing congenital heart surgery. In QMH 15 patients needed ECMO in the early post-operative period due to cardiac arrest or low cardiac output. One patient was bridged from ECMO to Berlin Heart EXCOR®, Pediatric Ventricular Assist Device.
- Other frequent complications are listed below.

Postoperative event/complication details

|   | Data  |                             |
|---|---|-----------------------------|
|   | Count   | Event/<br>Complication rate |
| Post operative event/major complication | Sternum left open, Planned  | 48<br>11.4%                 |
|   | Acute renal failure requiring temporary dialysis                                | 43<br>10.2%                 |
|   | Cardiac dysfunction resulting in low cardiac output                             | 38<br>9.0%                  |
|   | Pneumonia   | 36<br>8.5%                  |
|   | Bleeding requiring re-operation   | 33<br>7.8%                  |
|   | Arrhythmia necessitating temporary pacemaker                                    | 19<br>4.5%                  |
|   | Sepsis  | 19<br>4.5%                  |
|   | Pericardial effusion requiring drainage   | 17<br>4.0%                  |
|   | Postoperative/Post-procedural mechanical circulatory support (IABP, VAD, ECMO)  | 15<br>3.5%                  |
|   | Pneumothorax requiring intervention   | 14<br>3.3%                  |
|   | Neurological deficit  | 14<br>3.3%                  |
|   | Pleural effusion requiring drainage   | 12<br>2.8%                  |
|   | Arrhythmia requiring drug therapy   | 10<br>2.3%                  |
|   | Cardiac arrest (MI) during or following procedure                               | 9<br>2.1%                   |
|   | Postoperative/Post-procedural respiratory insufficiency requiring re-intubation | 9<br>2.1%                   |
|   | Pulmonary hypertensive crisis   | 9<br>2.1%                   |
|   | Arrhythmia  | 8<br>1.9%                   |
|   | Vocal cord dysfunction  | 8<br>1.9%                   |

**Cumulative sum(CUSUM ) plot of mortality**

- The cumulative sum (CUSUM) technique is a method of graph plotting of an accumulation of events [in-hospital mortality] over time.
- Cumulative risk-adjusted mortality plot provides a visual representation of the performance against the expected outcome rate of a particular risk scoring protocol.
- Observed CUSUM mortality plot allows the detection of trends and corrective actions and it provides an excellent audit to surgeons and hospital administrators.
- There were no indications of odd results in the CUSUM plot for Queen Mary Hospital.

**CUSUM plot of mortality (n=712, All)**

## Risk stratification

### Complexity Score benchmarking

- To compare performance of congenital heart surgery, the Society of Thoracic Surgeons (STS) assigned scores for each surgical procedure based on the potential for mortality, the potential for morbidity, and surgical difficulty.
- The mean ABC score in QMH was lower than that in the EACTS database; the overall mortality at QMH in eligible patients was also lower than that in the EACTS database.

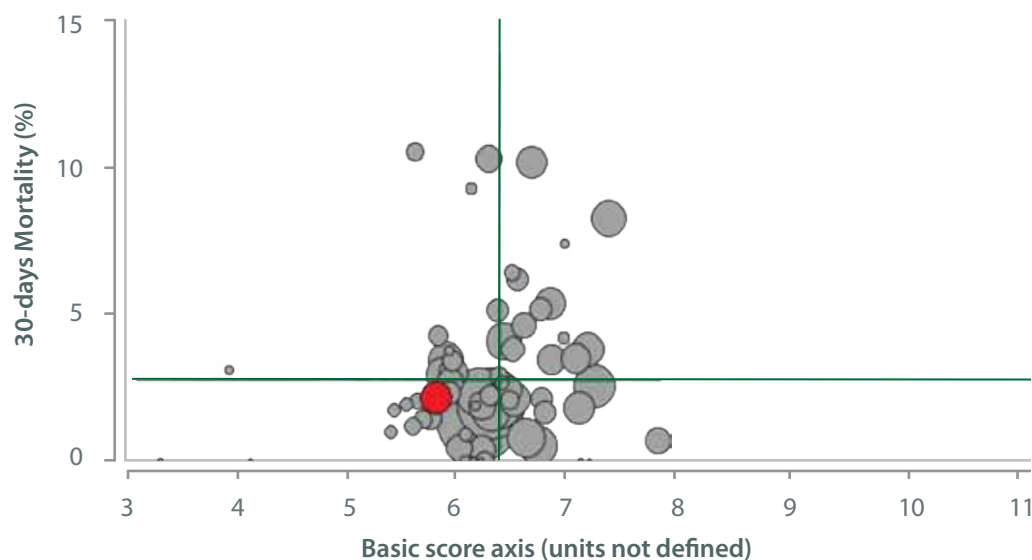
Complexity score and mortality 2014-2015

|      |      | Procedure count |           | Mortality |       | ABC score |       |
|------|------|-----------------|-----------|-----------|-------|-----------|-------|
|      |      | All             | Eligible* | QMH       | EACTS | QMH       | EACTS |
| Year | 2014 | 389             | 337       | 1.9%      | 3.2%  | 5.8       | 6.9   |
|      | 2015 | 323             | 280       | 3.0%      | 2.3%  | 5.9       | 6.8   |
|      | All  | 712             | 617       | 2.4%      | 2.8%  | 5.9       | 6.9   |

\* Eligible procedures refer to the procedures with ABC scores.

- The bubble chart is a scatter chart showing a centre's performance in terms of mortality of the EACTS database.
- Every bubble represents one centre, with larger bubbles indicating a larger volume of the centre. The size of the QMH bubble suggests that the population is of considerable size in comparison to other centres.
- The green lines show the mean values in the EACTS database.
- The red bubbles relating to the QMH performances of each year located in the left lower quadrant, show a satisfactory performance. This figure reveals that the QMH population has an below average mortality and patient and procedural complexity.

International comparison of mortality rate and complexity score





### Observed versus expected (O/E) mortality

- The overall mortality O/E ratio in QMH, 2014-2015 was 0.80, indicating a better outcome than expected.
- Operations were analysed taking into account the complexity of the patients. This tells us how well we are performing as compared to other hospitals by reporting whether our patient survival is better or worse than expected given how complex the patients are at QMH.
- The observed number of surgical mortalities at QMH is less than the expected number of mortalities given the complexity of the patients we are operating on.

Mortality O/E ratio by year

|      |      | Count          |                      |                   | O/E ratio calculation |                    |           |
|------|------|----------------|----------------------|-------------------|-----------------------|--------------------|-----------|
|      |      | All procedures | Eligible procedures* | Eligible deaths** | Observed mortality    | Expected mortality | O/E Ratio |
| Year | 2014 | 389            | 337                  | 6                 | 1.9%                  | 2.9%               | 0.65      |
|      | 2015 | 323            | 280                  | 7                 | 3.0%                  | 3.2%               | 0.90      |
|      | All  | 712            | 617                  | 13                | 2.4%                  | 3.0%               | 0.80      |

\* Eligible procedures refer to procedures with expected mortalities.

\*\*Eligible deaths refer to deaths occurring within the eligible procedures.

Mortality O/E ratio by age group

|           |                       | Count          |                      |                   | O/E ratio calculation |                    |           |
|-----------|-----------------------|----------------|----------------------|-------------------|-----------------------|--------------------|-----------|
|           |                       | All procedures | Eligible procedures* | Eligible deaths** | Observed mortality    | Expected mortality | O/E Ratio |
| Age group | Neonates (0-30 days)  | 152            | 122                  | 7                 | 6.41%                 | 3.08%              | 2.0       |
|           | Infants (31-365 days) | 193            | 173                  | 3                 | 1.92%                 | 2.57%              | 0.74      |
|           | Children (1-18 years) | 256            | 225                  | 3                 | 1.32%                 | 3.30%              | 0.40      |
|           | Adults (>18 years)    | 111            | 97                   | 1                 | 1.10%                 | 3.40%              | 0.32      |

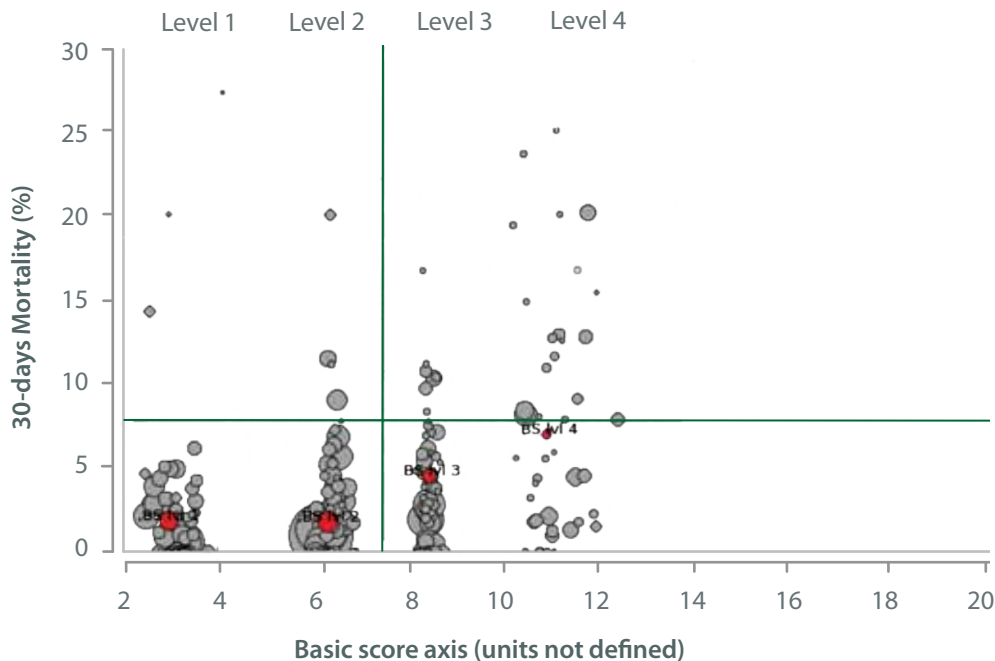
\*Eligible procedures refer to procedures with expected mortalities.

\*\*Eligible deaths refer to deaths occurring within the eligible procedures

### Risk adjusted mortality

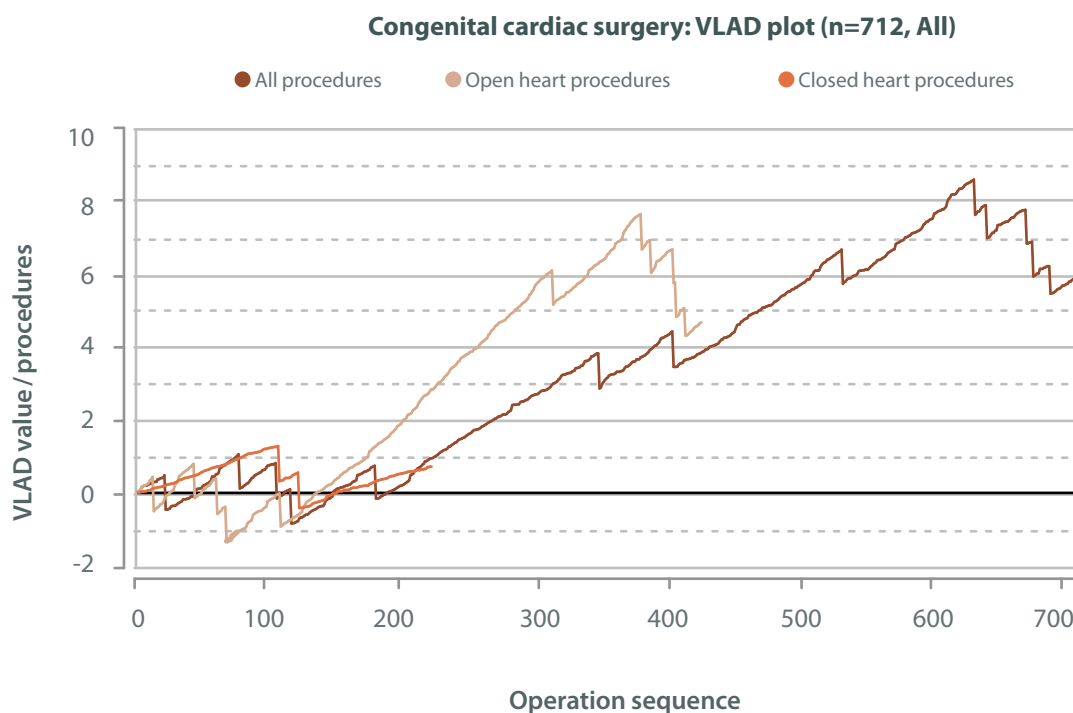
- This bubble chart shows the performances of the procedures with different risk levels according to the ABC scores.
- The ABC score allocates a basic score to each operation varying from 1.5-15, in addition each procedure is also assigned an ABC level, an integer from 1 to 4 (see appendix).
- ABC level 1 and level 2 procedures contributed to 68% of congenital cardiac procedures at QMH.
- ABC level 3 and level 4 procedures contributed to 22% of congenital cardiac procedures at QMH.
- Procedures like heart transplantation, VAD implantation, re-exploration for bleeding *etc.* which formed 10% of the procedures could not be assigned ABC score and ABC level.
- At QMH the mortality for all the four ABC levels was well below the EACTS mean mortality rate. In 2012 QMH mortality rate for ABC level 4 was higher than the EACTS mean rate.

### International comparison of mortality rate and procedures by Basic Score Levels



### Variable Life-Adjusted Display (VLAD) plot of risk adjusted mortality

- The following Variable Life-Adjusted Display (VLAD) graph covers all risk-scored procedures performed during 2014 and 2015.
- If the 30-day outcome is a survival then the VLAD plot goes up and if it is a death the VLAD plot goes down.
- A run of survivors will cause the VLAD plot to go up and a run of deaths will cause it to go down.
- Overall 6 extra lives had been saved at Queen Mary Hospital in 2014-2015 compared to 9 lives saved in 2012-2013.



## Age group- Volume and Outcomes

### Neonates (0-30 days)

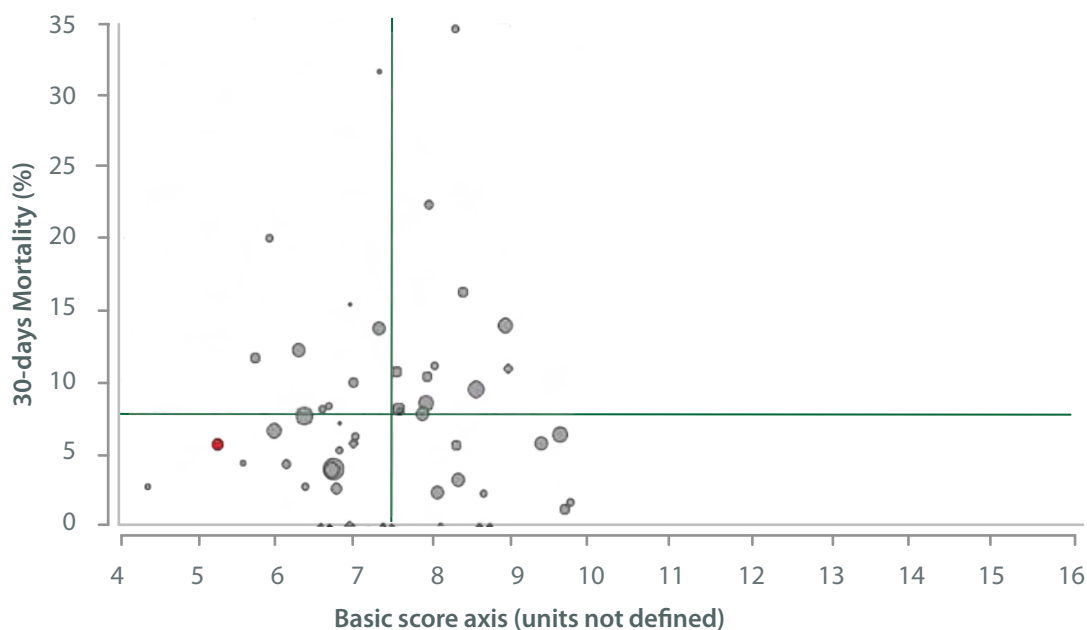
5 most frequent primary diagnosis in neonates

|           |  | Data  |            |
|-----------|--|-------|------------|
|           |  | Count | Proportion |
| Diagnosis | Patent ductus arteriosus   | 49    | 45.3%      |
|           | Coarctation of aorta   | 17    | 15.7%      |
|           | TGA, IVS   | 10    | 9.2%       |
|           | Total anomalous pulmonary venous connection (TAPVC), Type 3 (infracardiac) | 3     | 2.7%       |
|           | TOF, Pulmonary stenosis  | 3     | 2.7%       |

5 most frequent primary procedures in neonates

|            |   | Data  |            |           |
|------------|---|-------|------------|-----------|
|            |   | Count | Proportion | ABC score |
| Procedures | PDA closure, Surgical   | 52    | 34.2%      | 3         |
|            | Coarctation repair, End to end                                      | 19    | 12.5%      | 8         |
|            | Mediastinal exploration   | 16    | 10.5%      | -         |
|            | Arterial switch operation (ASO)                                     | 11    | 7.2%       | 10        |
|            | Shunt, Systemic to pulmonary, Modified Blalock-Taussig Shunt (MBTS) | 7     | 4.6%       | 6.3       |

### Mortality and complexity benchmarking in neonates



Infants ( 31-365 days)

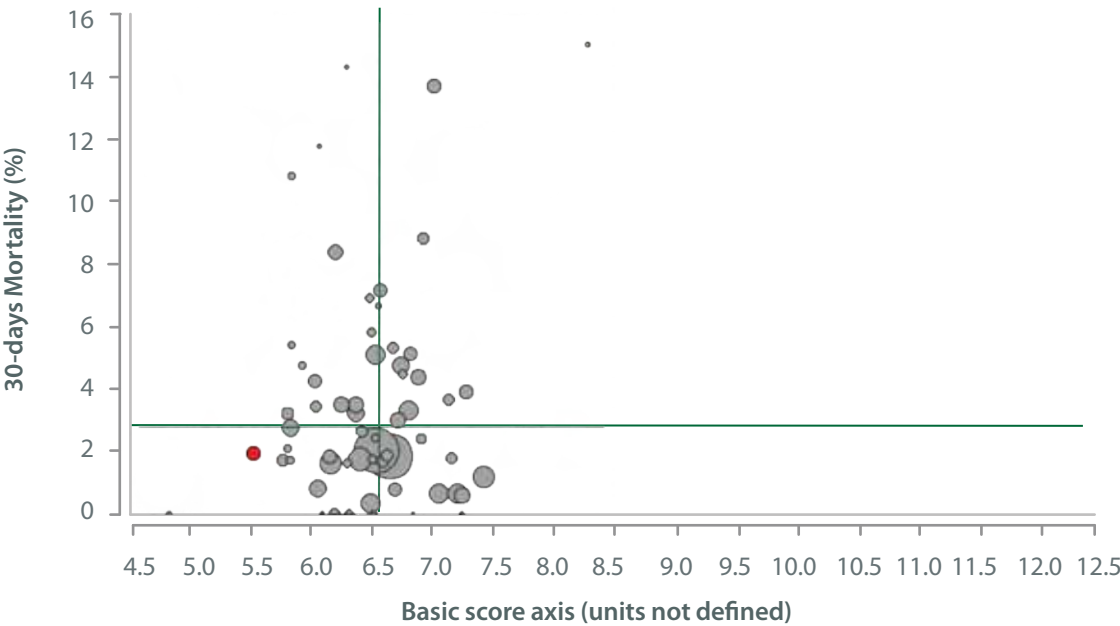
5 most frequent primary diagnosis in infants

|           |   | Data  |            |
|-----------|---|-------|------------|
|           |   | Count | Proportion |
| Diagnosis | VSD, Type 2 (Perimembranous) (Paramembranous) | 54    | 34.6%      |
|           | Patent ductus arteriosus                      | 42    | 26.9%      |
|           | Coarctation of aorta                          | 9     | 5.7%       |
|           | TOF, Pulmonary stenosis                       | 7     | 4.4%       |
|           | AVC (AVSD), Complete (CAVSD)                  | 5     | 3.2%       |

5 most frequent primary procedures in infants

|            |                                       | Data  |            |           |
|------------|---------------------------------------|-------|------------|-----------|
|            |                                       | Count | Proportion | ABC score |
| Procedures | VSD repair, Patch                     | 69    | 35.7%      | 6         |
|            | PDA closure, Surgical                 | 42    | 21.7%      | 3         |
|            | Mediastinal exploration               | 8     | 4.1%       | -         |
|            | Valvuloplasty, Mitral                 | 8     | 4.1%       | 8         |
|            | Modified Blalock-Taussig Shunt (MBTS) | 5     | 2.5%       | 6.3       |

Mortality and complexity benchmarking in infants



## Children (1-18 years)

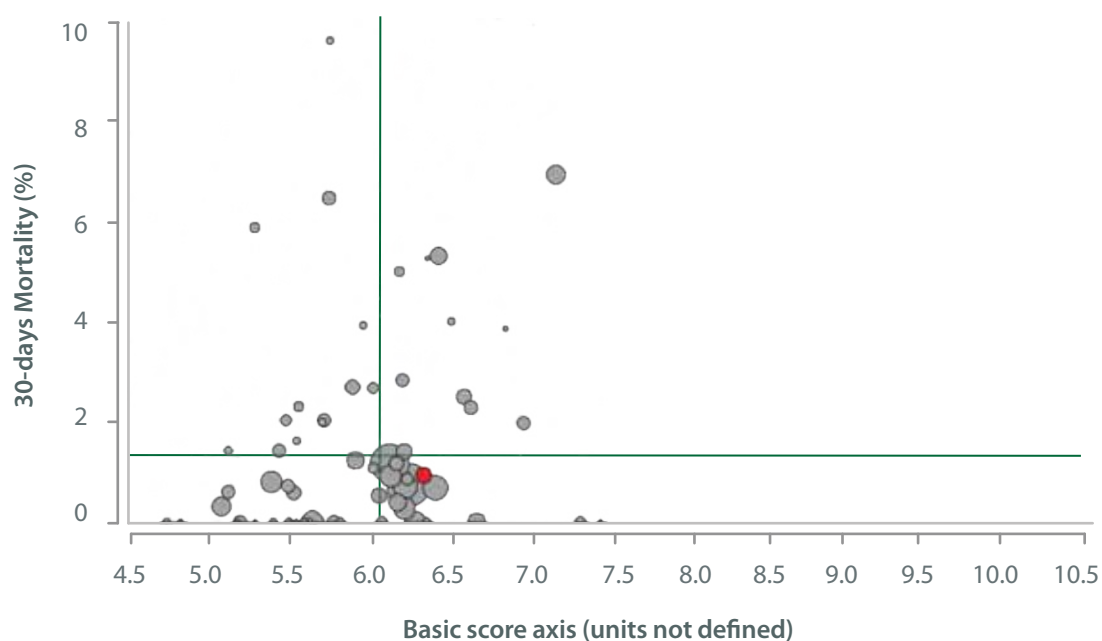
5 most frequent primary diagnosis in children

|           |   | Data  |            |
|-----------|---|-------|------------|
|           |   | Count | Proportion |
| Diagnosis | ASD, Secundum   | 35    | 13.6%      |
|           | VSD, Type 1 (Subarterial) (Supracristal) (Conal septal defect) (Infundibular) | 22    | 9.7%       |
|           | VSD, Type 2 (Perimembranous) (Paramembranous) (Conoventricular)               | 21    | 9.2%       |
|           | TOF, Pulmonary stenosis   | 18    | 7.0%       |
|           | Pulmonary atresia, VSD (Including TOF, PA)                                    | 8     | 3.5%       |

5 most frequent primary procedures in children

|           |   | Data  |            |           |
|-----------|---|-------|------------|-----------|
|           |   | Count | Proportion | ABC score |
| Procedure | VSD repair, Patch                               | 39    | 15.2%      | 6.0       |
|           | ASD repair, Patch                               | 26    | 10.1%      | 3.0       |
|           | Fontan, TCPC, External conduit, Fenestrated     | 18    | 7.0%       | 9.0       |
|           | TOF repair, Ventriculotomy, Transanular patch   | 12    | 4.6%       | 8.0       |
|           | PA, reconstruction (plasty), Branch, Peripheral | 10    | 3.9%       | 8.8       |

## Mortality and complexity benchmarking in children



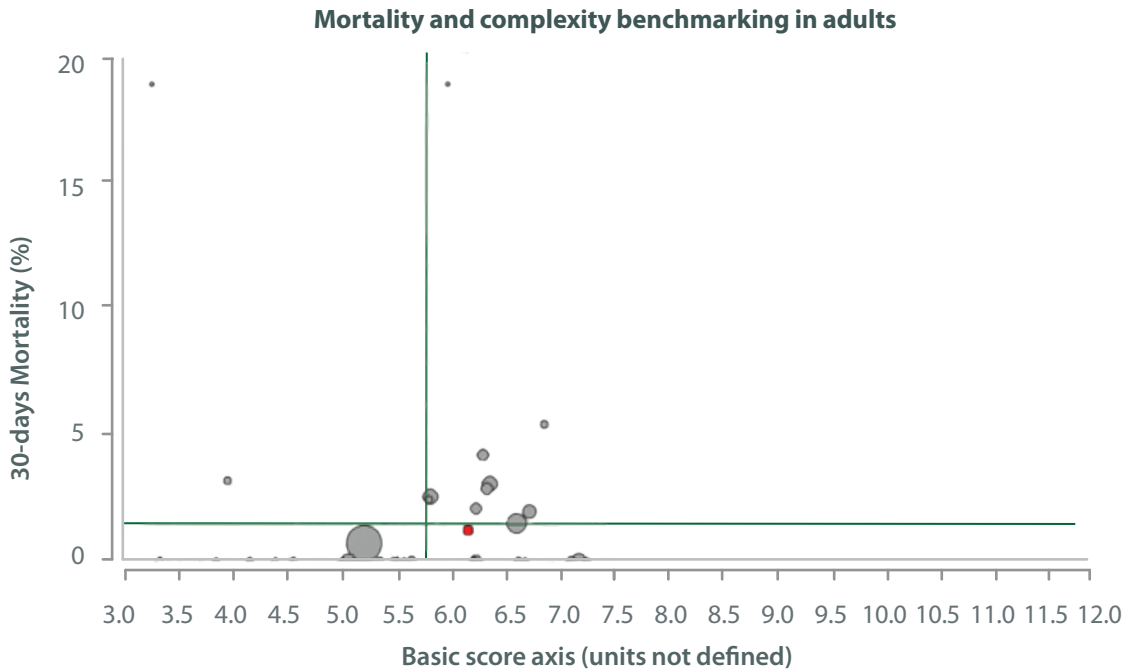
Adults (18 years or above)

5 most frequent primary diagnosis in adults

|           |  | Data  |            |
|-----------|--|-------|------------|
|           |  | Count | Proportion |
| Diagnosis | Pulmonary insufficiency  | 15    | 17.6%      |
|           | ASD, Secundum  | 14    | 16.4%      |
|           | VSD, Type 1 (Subarterial) (Supracristal) (Conal septal defect) | 7     | 8.2%       |
|           | AVC (AVSD), Partial (incomplete) (PAVSD) (ASD, primum)         | 5     | 5.8%       |
|           | VSD, Type 2 (Perimembranous) (Paramembranous)                  | 4     | 4.7%       |

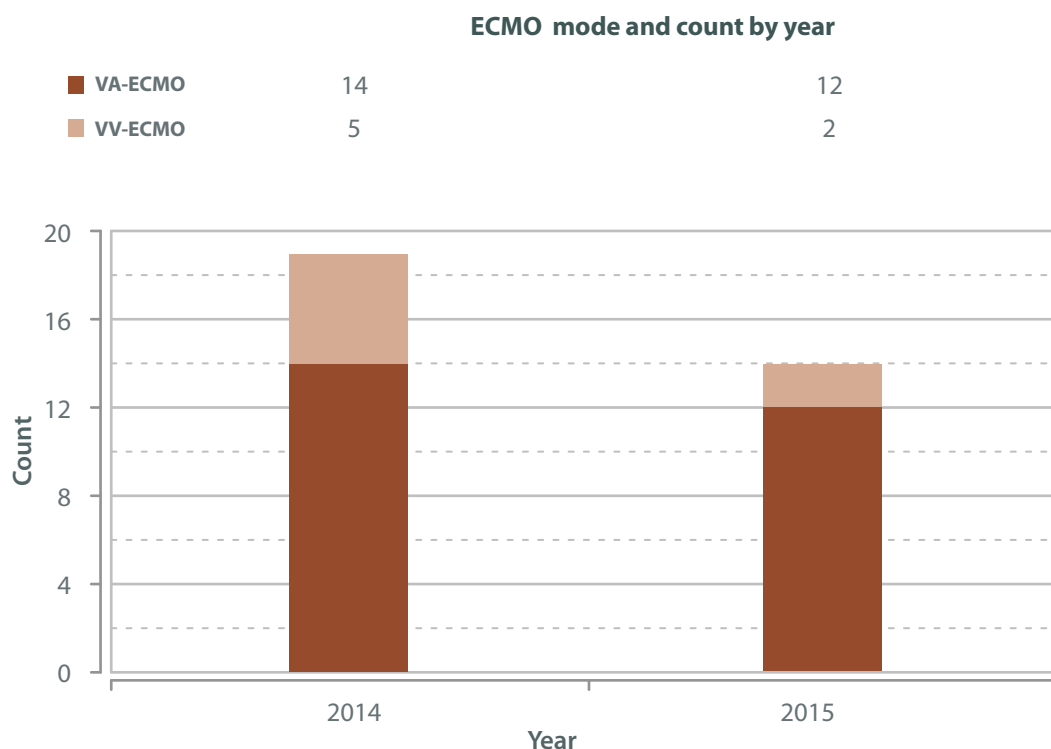
5 most frequent primary procedures in adults

|           |   | Data  |            |           |
|-----------|---|-------|------------|-----------|
|           |   | Count | Proportion | ABC score |
| Procedure | Valve replacement, Pulmonic (PVR)           | 14    | 12.6%      | 6.5       |
|           | Mediastinal exploration                     | 8     | 7.2%       | -         |
|           | ASD repair, Patch                           | 7     | 6.3%       | 3         |
|           | Valve replacement, Aortic (AVR), Mechanical | 7     | 6.3%       | 7         |
|           | VSD repair, Patch                           | 6     | 5.4%       | 6         |



## Paediatric Extracorporeal Membrane Oxygenation Program in QMH

- ECMO has been developed for use in patients with respiratory or cardiac failure.
- ECMO program started with treating post-cardiotomy, low cardiac output patients in 2000 at Grantham Hospital.
- In line with provision of ECMO becoming standard for centres performing paediatric cardiac surgery, use of ECMO in this cardiac surgical population has increased in QMH.
- Paediatric ECMO program includes patients  $\leq 18$  yrs of age supported with extracorporeal membrane oxygenation.
- During 2014-2015, 33 ECMO runs were provided to 26 children. Seven children (28 %) had  $>1$  ECMO runs.
- ECMO was provided with a goal of bridging these children to recovery or to other implantable device or heart transplantation.
- Severe cardiorespiratory failure associated with a diagnosis of myocarditis, sepsis, post-cardiotomy or cardiac medical conditions with hemodynamic instability were supported with venoarterial ECMO (VA-ECMO)(26/33).
- Severe respiratory failure due to respiratory infection were supported with venovenous ECMO (VV-ECMO)(7/33) except for one case of pneumonia with cardiac arrest which was supported with VA-ECMO and was later changed to VV-ECMO.

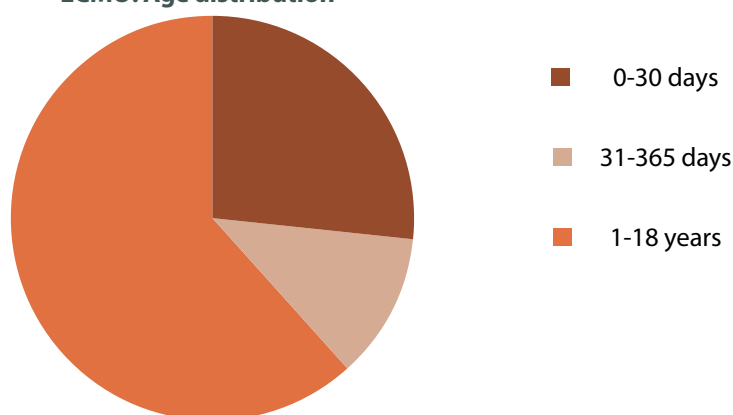




## Age distribution

- 61.5% (16/26) of the paediatric cases supported with ECMO were between 1-18 years. Acute myocarditis, severe respiratory failure due to pneumonia and cardiac condition resulting in hemodynamic instability were the main etiologies for ECMO support. 13 received VA-ECMO and 3 VV-ECMO.
- 26.9% (7/26) were neonates and all received VA-ECMO support for post cardiectomy hemodynamic instability or for failure to wean off Cardiopulmonary Bypass (CPB).
- 11.5% (3/26) were infants . 2 were post cardiectomy with hemodynamic instability supported with VA-ECMO and 1 was respiratory failure due to pneumonia provided with VV-ECMO.

ECMO: Age distribution



## Indication for ECMO support

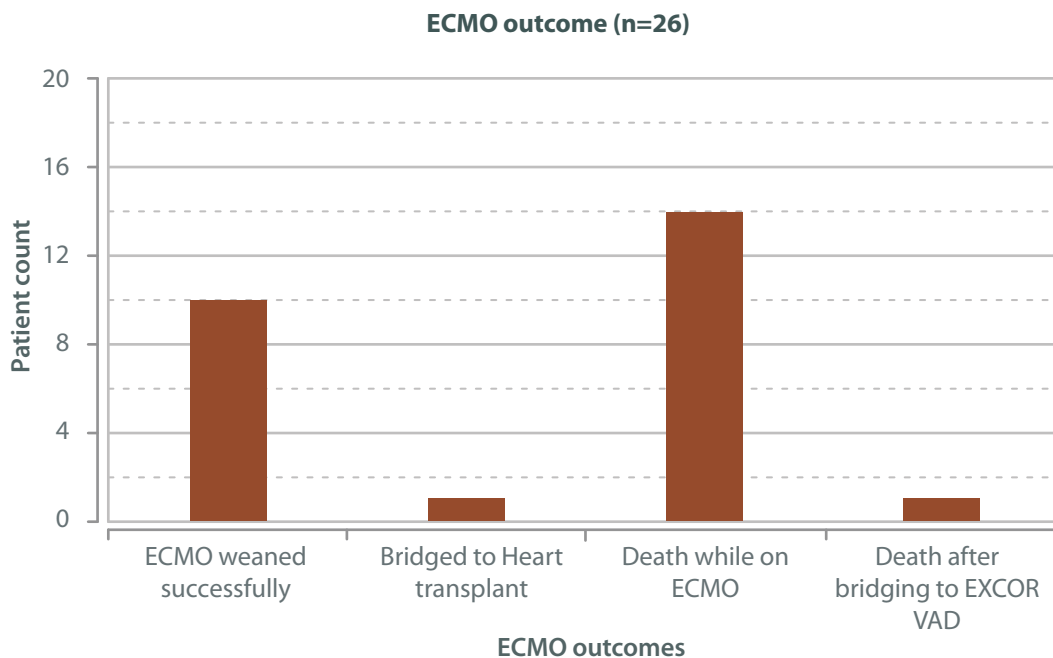
- In QMH cardiogenic shock of all etiologies for which stable hemodynamics could not be maintained by conventional therapy and severe respiratory failure for which arterial oxygen saturation could not be maintained by mechanical ventilatory support were the main indications for ECMO support.
- ECMO was used for children in QMH for wide spectrum of different etiologies.

Indication for ECMO

|            |   | Data  |            |           |
|------------|---|-------|------------|-----------|
|            |   | Count | Proportion | Mortality |
| Indication | Post-cardiotomy                                     | 14    | 48%        | 71.4%     |
|            | Hemodynamic instability                             | 12    |            |           |
|            | Failure weaning from cardiopulmonary bypass         | 2     |            |           |
|            | Acute myocarditis                                   | 3     | 16%        | 0%        |
|            | Respiratory failure due to infection                | 5     | 20%        | 40%       |
|            | Cardiac medical condition with hemodynamic collapse | 2     | 8%         | 50%       |
|            | Septic shock  | 1     | 4%         | 100%      |
|            | Congenital Diaphragmatic Hernia                     | 1     | 4%         | 0%        |

## ECMO outcomes

- Overall 10 (38.4%) were successfully weaned off ECMO.
- One (3.8%) was successfully bridged to heart transplant.
- One (3.8%) was unsuccessfully bridged to Berlin Heart EXCOR®, Pediatric Ventricular Assist Device.
- Fourteen patients died (53.8%) (13 died while on ECMO and 1 died after successful weaning off ECMO).
- No mortality was seen for patients with acute fulminant myocarditis requiring ECMO.
- Viral pneumonia associated respiratory failure requiring ECMO showed a mortality of 7.6% (2/26).
- For postcardiotomy cardiogenic shock patients supported with ECMO mortality rate was 38.4% (10/26).
- Cardiac medical condition with hemodynamic collapse had a mortality rate of 3.8% (1/26, Dysplastic mitral valve with mitral regurgitation resulting in heart failure).
- Overall survival rate for Paediatric ECMO was 46.1% (12/26).



5

C翼

Wing C5

A5, B5翼

Wings A5 & B5

C5心肺外科病房

C5 Cardiothoracic Surgical Ward

D5心肺外科病房

D5 Cardiothoracic Surgical Ward

E5心肺外科深切治療部

E5 Cardiothoracic Surgical Intensive Care Unit

F5手術大樓

Wing F5 Operating Theatres

降機



## Appendices

## Appendices

### Appendix 1

#### Adult cardiac database- Database form

Queen Mary Hospital, Hong Kong  
**Adult Cardiac Surgical Database**  
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#### Patient identification and demographics

|                 |                      |                          |   |
|-----------------|----------------------|--------------------------|---|
| Hospital number | <input type="text"/> | Date of birth            | <input type="text" value="dd / mm / yyyy"/>                   |
| Given name      | <input type="text"/> | Date / time of operation | <input type="text" value="dd / mm / yyyy hh:mm"/>             |
| Family name     | <input type="text"/> | Gender                   | <input type="radio"/> 1. Male <input type="radio"/> 2. Female |

#### Admission details & cardiac history

|                                      |   |                   |   |
|--------------------------------------|---|-------------------|---|
| Date of referral                     | <input type="text" value="dd / mm / yyyy"/>   | Outpatient clinic | <input type="text" value="select from list"/> |
| Date of admission                    | <input type="text" value="dd / mm / yyyy"/>   |                   |   |
| Admission category                   | <input type="radio"/> 1. Health Authority <input type="radio"/> 2. Private  |                   |   |
| Mode of admission                    | <input type="radio"/> 1. Elective<br><input type="radio"/> 2. Planned inpatient transfer <input type="radio"/> 3. Emergency   |                   |   |
| Angina status pre-surgery            | <input type="radio"/> 0. No angina<br><input type="radio"/> 1. No limitation of physical activity<br><input type="radio"/> 2. Slight limitation of ordinary activity<br><input type="radio"/> 3. Marked limitation of ordinary physical activity<br><input type="radio"/> 4. Symptoms at rest or minimal activity |                   |   |
| Dyspnoea status pre-surgery          | <input type="radio"/> 1. No limitation of physical activity<br><input type="radio"/> 2. Slight limitation of ordinary activity<br><input type="radio"/> 3. Marked limitation of ordinary physical activity<br><input type="radio"/> 4. Symptoms at rest or minimal activity                                       |                   |   |
| Congestive cardiac failure           | <input type="radio"/> 0. Never<br><input type="radio"/> 1. In the past <input type="radio"/> 2. Now   |                   |   |
| Symptom status                       | <input type="radio"/> 1. Stable <input type="radio"/> 2. Unstable / recent deterioration  |                   |   |
| Number of previous MIs               | <input type="radio"/> 0. None <input type="radio"/> 2. Two or more<br><input type="radio"/> 1. One <input type="radio"/> 9. Unknown   |                   |   |
| Interval between surgery and last MI | <input type="radio"/> 0. No previous MI<br><input type="radio"/> 1. MI < 6 hours <input type="radio"/> 4. MI 2-30 days<br><input type="radio"/> 2. MI 6-24 hours <input type="radio"/> 5. MI 31-90 days<br><input type="radio"/> 3. MI 25-48 hours <input type="radio"/> 6. MI > 90 days                          |                   |   |

#### Previous interventions

|                                |   |  |
|--------------------------------|---|--|
| Previous PCI                   | <input type="radio"/> 0. No previous PCI<br><input type="radio"/> 1. PCI < 24 hours before surgery<br><input type="radio"/> 2. PCI > 24 hours before surgery; same admission<br><input type="radio"/> 3. PCI > 24 hours before surgery; previous admission  |  |
| Date of last PCI               | <input type="text" value="dd / mm / yyyy"/>   |  |
| Previous cardiac surgery       | <input type="radio"/> 0. No previous cardiac surgery<br><input type="checkbox"/> 1. CABG<br><input type="checkbox"/> 2. Valve<br><input type="checkbox"/> 3. Congenital cardiac<br><input type="checkbox"/> 4. Other cardiac<br><input type="checkbox"/> 5. Aortic - ascending / arch<br><input type="checkbox"/> 6. Aortic - descending / abdominal<br><input type="checkbox"/> 7. Other thoracic<br><input type="checkbox"/> 8. Carotid endarterectomy<br><input type="checkbox"/> 9. Other peripheral vascular |  |
| Date of last cardiac operation | <input type="text" value="dd / mm / yyyy"/>   |  |

This form is designed so that questions requiring a single response-option are identified with round radio-buttons next to the options, whereas questions where more than one response option may be selected are identified by square tick boxes next to the options

Queen Mary Hospital, Hong Kong  
Adult Cardiac Surgical Database

Page 2; Version 1.1



Hospital number

Date of surgery

Previous interventions

- Previous PCI
- ☐ 0. No previous PCI
  - ☐ 1. PCI < 24 hours before surgery
  - ☐ 2. PCI > 24 hours before surgery; same admission
  - ☐ 3. PCI > 24 hours before surgery; previous admission

Date of last PCI

- Previous cardiac surgery
- ☐ 0. No previous cardiac surgery
  - ☐ 1. CABG
  - ☐ 2. Valve
  - ☐ 3. Congenital cardiac
  - ☐ 4. Other cardiac
  - ☐ 5. Aortic - ascending / arch
  - ☐ 6. Aortic - descending / abdominal
  - ☐ 7. Other thoracic
  - ☐ 8. Carotid endarterectomy
  - ☐ 9. Other peripheral vascular

Date of last cardiac operation

Risk factors for acquisition of coronary disease

- Diabetes
- ☐ 0. Not diabetic
  - ☐ 1. Diet
  - ☐ 2. Oral therapy
  - ☐ 3. Insulin
- Cigarette smoking history
- ☐ 0. Never smoked
  - ☐ 1. Ex smoker
  - ☐ 2. Current smoker
- Hypercholesterolaemia
- ☐ 0. No
  - ☐ 1. Yes
- History of hypertension
- ☐ 0. No hypertension
  - ☐ 1. Treated or BP > 140 / 90 on > 1 occasion prior to admission
  - ☐ 9. Unknown
- Family history of IHD
- ☐ 0. No
  - ☐ 1. Yes
- Renal function / dialysis
- ☐ 0. None
  - ☐ 1. Functioning transplant
  - ☐ 2. Creatinine > 200  $\mu\text{mol/L}$
  - ☐ 3. Dialysis for acute renal failure; onset within 6 weeks of cardiac surgery
  - ☐ 4. Dialysis for chronic renal failure; onset more than 6 weeks prior to cardiac surgery
  - ☐ 5. Unknown
- Hyperthyroidism
- ☐ 0. No
  - ☐ 1. Yes
- History of pulmonary disease
- ☐ 0. No pulmonary disease
  - ☐ 1. COAD / emphysema
  - ☐ 2. Asthma
  - ☐ 3. Neoplasm
  - ☐ 4. Infective lung disease
  - ☐ 9. Other
- Neurological dysfunction
- ☐ 0. No
  - ☐ 1. Yes
- Extra-cardiac arteriopathy
- ☐ 0. No
  - ☐ 1. Yes

This form is designed so that questions requiring a single response-option are identified with round radio-buttons next to the options, whereas questions where more than one response option may be selected are identified by square tick boxes next to the options

Queen Mary Hospital, Hong Kong  
Adult Cardiac Surgical Database

Page 3; Version 1.1



Hospital number











Date of surgery

dd / mm / yyyy

## Additional medical history and risk factors

|                                      |  |  |
|--------------------------------------|--|--|
| History of gastrointestinal disease  | <input type="radio"/> 0. None                        | <input type="checkbox"/> 3. Inflammatory bowel disease     |
|                                      | <input type="checkbox"/> 1. Peptic ulcer disease     | <input type="checkbox"/> 4. Major abdominal surgery        |
|                                      | <input type="checkbox"/> 2. Malignancy               | <input type="checkbox"/> 5. Other                          |
| Major abdominal surgery              | <input type="radio"/> 0. No                          | <input type="radio"/> 1. Yes                               |
| Pre-operative heart rhythm           | <input type="radio"/> 0. Sinus rhythm                | <input type="radio"/> 3. VF/VT                             |
|                                      | <input type="radio"/> 1. Atrial fibrillation/flutter | <input type="radio"/> 4. Other abnormal rhythm             |
|                                      | <input type="radio"/> 2. Complete heart block/pacing |  |
| Saphenous vein                       | <input type="radio"/> 0. Normal                      | <input type="checkbox"/> 3. Previous varicose vein surgery |
|                                      | <input type="checkbox"/> 1. Minor varicosities       | <input type="checkbox"/> 4. Previous DVT                   |
|                                      | <input type="checkbox"/> 2. Major varicosities       |  |
| Capillary refill (non-dominant hand) | <input type="radio"/> 0. <5 seconds                  | <input type="radio"/> 2. >10 seconds                       |
|                                      | <input type="radio"/> 1. 5-10 seconds                |  |
| Pre-operative haemoglobin            | <input type="text"/>                                 | g dL <sup>-1</sup>   |
| Pre-operative creatinine             | <input type="text"/>                                 | μmol L <sup>-1</sup>                                       |

## Cardiac investigations

|                                       |   |  |
|---------------------------------------|---|--|
| Left- or right-heart catheterisation  | <input type="radio"/> 0. Normal   | <input type="checkbox"/> 3. Previous varicose vein surgery |
|                                       | <input type="checkbox"/> 1. Minor varicosities                                  |  |
| Date of last catheterisation          | <input type="text"/>  | dd / mm / yyyy   |
| Extent of coronary vessel disease     | <input type="radio"/> 0. No vessel with >50% diameter stenosis                  |  |
|                                       | <input type="radio"/> 1. One vessel with >50% diameter stenosis                 |  |
|                                       | <input type="radio"/> 2. Two vessels with >50% diameter stenosis                |  |
|                                       | <input type="radio"/> 3. Three vessels with >50% diameter stenosis              |  |
|                                       | <input type="radio"/> 9. Not investigated                                       |  |
| Left main stem disease                | <input type="radio"/> 0. No LMS disease or LMS disease <= 50% diameter stenosis |  |
|                                       | <input type="radio"/> 1. LMS >50% diameter stenosis                             |  |
|                                       | <input type="radio"/> 9. Not investigated                                       |  |
| Left ventricular function             | <input type="text"/>  | %  |
| Ejection fraction category            | <input type="radio"/> 1. Good (LVEF > 50%)                                      | <input type="radio"/> 3. Poor (LVEF < 30%)                 |
|                                       | <input type="radio"/> 2. Fair (LVEF 30-50%)                                     | <input type="radio"/> 9. Not measured                      |
| Ejection fraction estimate based upon | <input type="checkbox"/> 1. Left ventriculogram                                 | <input type="checkbox"/> 3. MR scan                        |
|                                       | <input type="checkbox"/> 2. Echocardiogram                                      | <input type="checkbox"/> 3. Other investigation            |
| PA systolic                           | <input type="text"/>  | mm Hg  |
| AV gradient                           | <input type="text"/>  | mm Hg  |
| LVEDP                                 | <input type="text"/>  | mm Hg  |
| Mean PAWP LA                          | <input type="text"/>  | mm Hg  |

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Hospital number

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Pre-operative status and support

|  |  |   |
|--|--|---|
| IV nitrates or any heparin             | <input type="radio"/> 0. Never smoked    | <input type="radio"/> 2. Within one week of surgery |
|  | <input type="radio"/> 1. Until operation |   |
| Pre-operative aspirin <sup>1</sup>     | <input type="radio"/> 0. No              | <input type="radio"/> 1. Yes                        |
| Pre-operative clopidogrel <sup>1</sup> | <input type="radio"/> 0. No              | <input type="radio"/> 1. Yes                        |
| Other anticoagulant                    | <input type="radio"/> 0. No              | <input type="radio"/> 1. Yes                        |
| IV inotropes prior to anaesthesia      | <input type="radio"/> 0. No              | <input type="radio"/> 1. Yes                        |
| Ventilated                             | <input type="radio"/> 0. No              | <input type="radio"/> 1. Yes                        |
| Cardiogenic shock                      | <input type="radio"/> 0. No              | <input type="radio"/> 1. Yes                        |

Operation data

|                                     |  |  |
|-------------------------------------|--|--|
| Operative urgency                   | <input type="radio"/> 1. Elective  | <input type="radio"/> 3. Emergency   |
|                                     | <input type="radio"/> 2. Urgent  | <input type="radio"/> 4. Salvage   |
| Number of previous heart operations | <input type="text"/>   |  |
| Responsible consultant anaesthetist | <input type="text"/> select from list  |  |
| First operator                      | <input type="text"/> select from list  |  |
| First operator: grade               | <input type="radio"/> 1. Consultant<br><input type="radio"/> 2. Professor<br><input type="radio"/> 3. Associate professor<br><input type="radio"/> 4. Specialist | <input type="radio"/> 5. Associate consultant<br><input type="radio"/> 6. HST<br><input type="radio"/> 9. Other                                  |
| First operator: year of HST         | <input type="radio"/> 1. Year 1<br><input type="radio"/> 2. Year 2<br><input type="radio"/> 3. Year 3  | <input type="radio"/> 4. Year 4<br><input type="radio"/> 5. Year 5<br><input type="radio"/> 6. Year 6<br><input type="radio"/> 8. Not applicable |
| First assistant                     | <input type="text"/> select from list  |  |
| First assistant: grade              | <input type="radio"/> 1. Consultant<br><input type="radio"/> 2. Professor<br><input type="radio"/> 3. Associate professor<br><input type="radio"/> 4. Specialist | <input type="radio"/> 5. Associate consultant<br><input type="radio"/> 6. HST<br><input type="radio"/> 9. Other                                  |
| First assistant: year of HST        | <input type="radio"/> 1. Year 1<br><input type="radio"/> 2. Year 2<br><input type="radio"/> 3. Year 3  | <input type="radio"/> 4. Year 4<br><input type="radio"/> 5. Year 5<br><input type="radio"/> 6. Year 6<br><input type="radio"/> 8. Not applicable |

1. Within the last 7 days

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**Operation data continued ...**

Chest opened by

select from list

IMA harvested by

select from list

Vein harvested by

select from list

Radial artery harvest by

select from list

Cannulation by

select from list

Chest closed by

select from list

Endoscopic vein harvest

☐ 0. No

☐ 1. Yes

☐ 2. Converted

Endoscopic radial artery harvest

☐ 0. No

☐ 1. Yes

☐ 2. Converted

Arterial cannulation

☐ 0. Not applicable

☐ 1. Ascending aorta

☐ 2. Arch

☐ 3. Axillary / subclavian

☐ 4. Femoral

☐ 5. Other

Venous cannulation

☐ 0. Not applicable

☐ 1. Right atrial

☐ 2. RA / IVC 2-stage

☐ 3. Bicaval

☐ 4. Femoral

☐ 5. Other

**Procedures classified by group**

Cardiac procedures

☐ 1. CABG alone

☐ 2. CABG & valve

☐ 3. CABG, valve & other

☐ 4. CABG & other

☐ 5. Valve alone

☐ 6. Valve & other

☐ 7. Other

Other cardiac procedures

☐ 0. None

☐ 1. LV aneurysmectomy

☐ 2. Acquired VSD

☐ 3. Atrial myxoma

☐ 4. Pulmonary embolectomy

☐ 5. Cardiac transplant

☐ 6. Pulmonary transplant

☐ 7. Cardiac trauma (not iatrogenic)

☐ 8. Epicardial pacemaker

☐ 9. Pericardiectomy

☐ 10. ASD closure

☐ 11. Other (for congenital condition)

☐ 12. Atrial ablation

☐ 19. Other procedure not listed above

Other thoracic & vascular  
procedures

☐ 0. None

☐ 1. Aortic

☐ 2. Peripheral vascular

☐ 3. Carotid endarterectomy

☐ 4. Other thoracic

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Coronary artery surgery

Number of DCAs<sup>1</sup>

|                               | Graft 1 | Graft 2 | Graft 3 | Graft 4 | Graft 5 | Graft 6 |           |
|-------------------------------|---------|---------|---------|---------|---------|---------|-----------|
| Graft site                    | code    | code    | code    | code    | code    | code    | see below |
| Coronary quality <sup>3</sup> | code    | code    | code    | code    | code    | code    | see below |
| Coronary lumen at anastomosis | code    | code    | code    | code    | code    | code    | see below |
| Graft conduit                 | code    | code    | code    | code    | code    | code    | see below |
| Conduit quality               | code    | code    | code    | code    | code    | code    | see below |
| Graft anastomosis             | code    | code    | code    | code    | code    | code    | see below |

CABG: Graft sites

- 1 → 1. Prox RCA
- 2 → 2. Mid RCA
- 3 → 3. Distal RCA
- 4 → 4. RCA-PDA
- 5 → 5. RCA-LV
- 6 → 6. LMS
- 7 → 7. Prox LAD
- 8 → 8. Mid LAD
- 9 → 9. Distal LAD
- 10 → 10. Diag 1
- 11 → 11. Diag 2
- 12 → 12. Prox Cx
- 13 → 13. Int
- 14 → 14. OM1
- 15 → 15. OM2
- 16 → 16. Distal Cx
- 15 → 17. Cx-PDA

CABG: Coronary quality

- 1 → 1. Good
- 2 → 2. Moderate / patchy disease
- 3 → 3. Severe / diffuse disease
- 4 → 4. Endarterectomy required

CABG: Coronary lumen

- 1 → 1. <1.5 mm
- 2 → 2. 1.5-2.0 mm
- 3 → 3. >2.0 mm

CABG: Graft conduits

- 1 → 1. Pedicle LIMA
- 2 → 2. Pedicle RIMA
- 4 → 4. Free LIMA
- 5 → 5. Free RIMA
- 7 → 7. Radial artery
- 8 → 8. Long SV
- 9 → 9. Short SV
- 11 → 11. Other artery
- 12 → 12. Other vein

CABG: Conduit quality

- 1 → 1. Good
- 2 → 2. Moderate
- 3 → 3. Poor

CABG: Graft anastomosis

- 2 → 2. End-to-side
- 3 → 3. Side-to-side

2. Distal coronary anastomoses

3. At and beyond the anastomosis

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Valve surgery

|                                   |   |   |   |   |
|-----------------------------------|---|---|---|---|
| Number replaced / repaired        | <input type="text"/>  |   |   |   |
|                                   | Aortic  | Mitral  | Tricuspid   | Pulmonary   |
| Haemodynamic pathology            | <input type="radio"/> 1. Stenosis<br><input type="radio"/> 2. Regurgitation<br><input type="radio"/> 3. Mixed   | <input type="radio"/> 1. Stenosis<br><input type="radio"/> 2. Regurgitation<br><input type="radio"/> 3. Mixed   | <input type="radio"/> 1. Stenosis<br><input type="radio"/> 2. Regurgitation<br><input type="radio"/> 3. Mixed   | <input type="radio"/> 1. Stenosis<br><input type="radio"/> 2. Regurgitation<br><input type="radio"/> 3. Mixed   |
| Native valve pathology            | code  | code  | code  | code  |
| Other native valve pathology      | text  | text  | text  | text  |
| Explant valve type                | <input type="radio"/> 1. Native valve<br><input type="radio"/> 2. Mechanical<br><input type="radio"/> 3. Biological<br><input type="radio"/> 4. Homograft<br><input type="radio"/> 5. Autograft<br><input type="radio"/> 6. Ring  | <input type="radio"/> 1. Native valve<br><input type="radio"/> 2. Mechanical<br><input type="radio"/> 3. Biological<br><input type="radio"/> 4. Homograft<br><input type="radio"/> 5. Autograft<br><input type="radio"/> 6. Ring  | <input type="radio"/> 1. Native valve<br><input type="radio"/> 2. Mechanical<br><input type="radio"/> 3. Biological<br><input type="radio"/> 4. Homograft<br><input type="radio"/> 5. Autograft<br><input type="radio"/> 6. Ring  | <input type="radio"/> 1. Native valve<br><input type="radio"/> 2. Mechanical<br><input type="radio"/> 3. Biological<br><input type="radio"/> 4. Homograft<br><input type="radio"/> 5. Autograft<br><input type="radio"/> 6. Ring  |
| Reason for repeat valve operation | <input type="checkbox"/> 1. Thrombosis<br><input type="checkbox"/> 2. Dehiscence<br><input type="checkbox"/> 3. Embolism<br><input type="checkbox"/> 4. Infection<br><input type="checkbox"/> 5. Intrinsic failure<br><input type="checkbox"/> 6. Haemolysis<br><input type="checkbox"/> 19. Other reason | <input type="checkbox"/> 1. Thrombosis<br><input type="checkbox"/> 2. Dehiscence<br><input type="checkbox"/> 3. Embolism<br><input type="checkbox"/> 4. Infection<br><input type="checkbox"/> 5. Intrinsic failure<br><input type="checkbox"/> 6. Haemolysis<br><input type="checkbox"/> 19. Other reason | <input type="checkbox"/> 1. Thrombosis<br><input type="checkbox"/> 2. Dehiscence<br><input type="checkbox"/> 3. Embolism<br><input type="checkbox"/> 4. Infection<br><input type="checkbox"/> 5. Intrinsic failure<br><input type="checkbox"/> 6. Haemolysis<br><input type="checkbox"/> 19. Other reason | <input type="checkbox"/> 1. Thrombosis<br><input type="checkbox"/> 2. Dehiscence<br><input type="checkbox"/> 3. Embolism<br><input type="checkbox"/> 4. Infection<br><input type="checkbox"/> 5. Intrinsic failure<br><input type="checkbox"/> 6. Haemolysis<br><input type="checkbox"/> 19. Other reason |
| Other reason for repeat           | text  | text  | text  | text  |
| Valve procedure                   | <input type="radio"/> 1. Replacement<br><input type="radio"/> 2. Repair   | <input type="radio"/> 1. Replacement<br><input type="radio"/> 2. Repair   | <input type="radio"/> 1. Replacement<br><input type="radio"/> 2. Repair   | <input type="radio"/> 1. Replacement<br><input type="radio"/> 2. Repair   |
| Valve repair procedures           | codes   | codes   | codes   | codes   |
| Valve implant type                | <input type="radio"/> 2. Mechanical<br><input type="radio"/> 3. Biological<br><input type="radio"/> 4. Homograft<br><input type="radio"/> 5. Autograft<br><input type="radio"/> 6. Annulopl. ring   | <input type="radio"/> 2. Mechanical<br><input type="radio"/> 3. Biological<br><input type="radio"/> 4. Homograft<br><input type="radio"/> 5. Autograft<br><input type="radio"/> 6. Annulopl. ring   | <input type="radio"/> 2. Mechanical<br><input type="radio"/> 3. Biological<br><input type="radio"/> 4. Homograft<br><input type="radio"/> 5. Autograft<br><input type="radio"/> 6. Annulopl. ring   | <input type="radio"/> 2. Mechanical<br><input type="radio"/> 3. Biological<br><input type="radio"/> 4. Homograft<br><input type="radio"/> 5. Autograft<br><input type="radio"/> 6. Annulopl. ring   |
| implant prosthesis name           | <input type="text"/>  |   |   |   |
| implant prosthesis model          | <input type="text"/>  |   |   |   |
| Implant prosthesis serial number  | <input type="text"/>  |   |   |   |
| implant prosthesis size           | mm  | mm  | mm  | mm  |

Valve surgery: native valve pathology

- 0 → 0. Native valve not present
- 1 → 1. Congenital
- 2 → 2. Degenerative
- 3 → 3. Active infective endocarditis
- 4 → 4. Previous infective endocarditis
- 5 → 5. Rheumatic
- 6 → 6. Annuloaortic ectasia
- 7 → 7. Calcific degeneration

8 → 8. Ischaemic

- 9 → 9. Functional regurgitation
- 19 → 19. Other native valve pathology

Valve surgery: valve repairs

- 1 → 1. Commisurotomy
- 2 → 2. Annuloplasty (ring)
- 3 → 3. Annuloplasty (suture)
- 4 → 4. Leaflet resection
- 5 → 5. Leaflet extension

6 → 6. Chordal transfer

- 7 → 7. Chordal shortening
- 8 → 8. Artificial chord
- 9 → 9. Papillary muscle repositioning
- 10 → 10. Decalcification / debridement
- 11 → 11. Leaflet patch
- 12 → 12. Sub-valvar release
- 13 → 13. Re-suspension
- 19 → 19. Other

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Cardiopulmonary support

|   |   |   |   |
|---|---|---|---|
| Cardiopulmonary bypass                      | <input type="radio"/> 0. No   | <input type="radio"/> 1. Yes  |   |
| Conversion to off pump                      | <input type="radio"/> 0. No   | <input type="radio"/> 1. Yes  |   |
| Predominant method of myocardial protection | <input type="radio"/> 0. Non-cardioplegic   | <input type="radio"/> 1. Cardioplegia   |   |
| Cardioplegia: solution                      | <input type="radio"/> 1. Blood  | <input type="radio"/> 2. Crystalloid  | <input type="radio"/> 8. Not applicable |
| Cardioplegia: temperature                   | <input type="checkbox"/> 1. Cold  | <input type="checkbox"/> 2. Warm  | <input type="radio"/> 8. Not applicable |
| Cardioplegia: infusion mode                 | <input type="checkbox"/> 1. Antegrade   | <input type="checkbox"/> 2. Retrograde  | <input type="radio"/> 8. Not applicable |
| Cardioplegia: timing                        | <input type="radio"/> 1. Intermittent   | <input type="radio"/> 2. Continuous   | <input type="radio"/> 8. Not applicable |
| Hot shot                                    | <input type="radio"/> 0. No   | <input type="radio"/> 1. Yes  |   |
| Non-cardioplegic myocardial protection      | <input type="radio"/> 1. Aortic cross clamping with fibrillation<br><input type="radio"/> 2. Fibrillation with perfusion<br><input type="radio"/> 3. Cross clamp with direct coronary perfusion<br><input type="radio"/> 4. Cross clamp and beating heart<br><input type="radio"/> 5. Beating heart without cross clamp |   |   |
| Chest opened by                             | select from list  |   |   |
| Lowest systemic temperature                 | <input type="text"/>  | °C  |   |
| Antegrade highest concentration             | <input type="text"/>  |   |   |
| Intra-aortic balloon pump used              | <input type="radio"/> 0. No<br><input type="checkbox"/> 1. Pre-operation  | <input type="checkbox"/> 2. Intra-operation<br><input type="checkbox"/> 3. Post-operation |   |
| Reason for IABP use                         | <input type="radio"/> 1. Haemodynamic instability<br><input type="radio"/> 2. Unstable angina   | <input type="radio"/> 3. CPB wean<br><input type="radio"/> 4. Prophylactic                |   |
| IABP serial number                          | <input type="text"/>  |   |   |
| Date IABP removed                           | dd / mm / yyyy  |   |   |
| Transamin                                   | <input type="radio"/> 0. No   | <input type="radio"/> 1. Yes  |   |
| Novo 7                                      | <input type="radio"/> 0. No   | <input type="radio"/> 1. Yes  |   |
| Filtration                                  | <input type="radio"/> 0. No   | <input type="radio"/> 1. Yes  |   |
| Volume filtered                             | <input type="text"/>  | ml  |   |
| Height                                      | <input type="text"/>  | cm  |   |
| Weight                                      | <input type="text"/>  | kg  |   |
| Cumulative bypass time                      | <input type="text"/>  | min   |   |
| Cumulative cross clamp time                 | <input type="text"/>  | min   |   |
| Total circulatory arrest time               | <input type="text"/>  | min   |   |

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Cardiopulmonary support continued ...

|                                  |                                    |                                     |
|----------------------------------|------------------------------------|-------------------------------------|
| Cerebral perfusion during HCA    | <input type="radio"/> 0. None      | <input type="radio"/> 2. Retrograde |
|                                  | <input type="radio"/> 1. Antegrade |                                     |
| Cell salvage used                | <input type="radio"/> 0. No        | <input type="radio"/> 1. Yes        |
| Volume heparinized saline        | <input type="text"/>               | ml                                  |
| Other volume (blower mister etc) | <input type="text"/>               | ml                                  |
| Blood from circuit               | <input type="text"/>               | ml                                  |
| Volume processed                 | <input type="text"/>               | ml                                  |
| Volume re-infused                | <input type="text"/>               | ml                                  |
| Perfusion notes                  | <input type="text"/>               |                                     |

Blood products used

|                 |                      |       |
|-----------------|----------------------|-------|
| Blood           | <input type="text"/> | units |
| Platelets       | <input type="text"/> | units |
| FFP             | <input type="text"/> | units |
| Cryoprecipitate | <input type="text"/> | units |

Post-operative course (CCU)

|  |  |   |
|--|--|---|
| PA catheter                              | <input type="radio"/> 0. No            | <input type="radio"/> 1. Yes              |
| Inotropes                                | <input type="radio"/> 0. None          | <input type="checkbox"/> 4. Noradrenaline |
|  | <input type="checkbox"/> 1. Dopamine   | <input type="checkbox"/> 5. Vasopressin   |
|  | <input type="checkbox"/> 2. Dobutamine | <input type="checkbox"/> 6. Milrinone     |
|  | <input type="checkbox"/> 3. Adrenaline | <input type="checkbox"/> 7. Enoxamine     |
| Inotropes >5 ml hour <sup>-1</sup>       | <input type="radio"/> 0. No            | <input type="radio"/> 1. Yes              |
| Vasoconstrictor >5 ml hour <sup>-1</sup> | <input type="radio"/> 0. No            | <input type="radio"/> 1. Yes              |
| Chest drainage (first 24 hours)          | <input type="text"/>                   | ml  |
| Date of discharge from CCU               | <input type="text"/>                   |   |

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Post-operative course

|  |  |   |
|--|--|---|
| Post-operative complications                   | <input type="radio"/> 0. No  | <input type="radio"/> 1. Yes  |
| Re-admission to CCU                            | <input type="radio"/> 0. No  | <input type="radio"/> 1. Yes  |
| Return to theatre                              | <input type="radio"/> 0. No re-operation necessary<br><input type="checkbox"/> 1. Re-operation for bleeding or tamponade<br><input type="checkbox"/> 2. Re-operation for valvular problems<br><input type="checkbox"/> 3. Re-operation for graft problems<br><input type="checkbox"/> 4. Re-operation for other cardiac problems<br><input type="checkbox"/> 5. Sternum resuturing (sterile)<br><input type="checkbox"/> 6. Surgery for deep sternal wound infection |   |
| Arrhythmias requiring intervention             | <input type="radio"/> 0. None<br><input type="checkbox"/> 1. Atrial fibrillation / flutter<br><input type="checkbox"/> 2. VT   | <input type="checkbox"/> 3. VF<br><input type="checkbox"/> 4. Heart block<br><input type="checkbox"/> 5. Other                            |
| Intervention                                   | <input type="checkbox"/> 1. Pharmacological<br><input type="checkbox"/> 2. Electrical cardioversion  | <input type="checkbox"/> 3. Permanent pacemaker<br><input type="checkbox"/> 4. Other  |
| Secondary airway support                       | <input type="radio"/> 0. None<br><input type="checkbox"/> 1. Mini-tracheostomy<br><input type="checkbox"/> 2. Facial CPAP  | <input type="checkbox"/> 3. Re-intubation<br><input type="checkbox"/> 4. Tracheostomy   |
| Pulmonary complications requiring intervention | <input type="radio"/> 0. None<br><input type="checkbox"/> 1. Chest infection<br><input type="checkbox"/> 2. Pleural effusion   | <input type="checkbox"/> 3. Pneumothorax<br><input type="checkbox"/> 4. Pulmonary embolus<br><input type="checkbox"/> 5. Other            |
| Infective complications                        | <input type="radio"/> 0. None<br><input type="checkbox"/> 1. Superficial sternal<br><input type="checkbox"/> 2. Deep sternal / mediastinal<br><input type="checkbox"/> 3. Pulmonary  | <input type="checkbox"/> 4. Leg or arm wound<br><input type="checkbox"/> 5. Septicaemia<br><input type="checkbox"/> 6. Other              |
| Post-operative fever                           | <input type="radio"/> 0. No  | <input type="radio"/> 1. Yes  |
| Gastro-intestinal complications                | <input type="radio"/> 0. None<br><input type="checkbox"/> 1. GI bleed<br><input type="checkbox"/> 2. Perforated peptic ulcer<br><input type="checkbox"/> 3. Ischaemic bowel  | <input type="checkbox"/> 4. Pancreatitis<br><input type="checkbox"/> 5. Ileus requiring intervention<br><input type="checkbox"/> 6. Other |
| Renal impairment                               | <input type="radio"/> 0. No  | <input type="radio"/> 1. Yes  |
| New HF / dialysis post-operatively             | <input type="radio"/> 0. No  | <input type="radio"/> 1. Yes  |
| Renal replacement therapy                      | <input type="radio"/> 0. No  | <input type="radio"/> 1. Yes  |
| Type of renal replacement therapy              | <input type="checkbox"/> 1. Peritoneal dialysis<br><input type="checkbox"/> 2. CWH   | <input type="checkbox"/> 3. HD  |
| Peak post-operative creatinine                 | <input type="text"/> $\mu\text{mol L}^{-1}$  |   |
| New post-operative stroke                      | <input type="radio"/> 0. None<br><input type="radio"/> 1. Yes (prophylactic)   | <input type="radio"/> 2. Yes (clinically indicated)   |
| Post-operative antibiotics                     | <input type="radio"/> 0. None<br><input type="radio"/> 1. Transient stroke   | <input type="radio"/> 2. Permanent stroke   |
| Complication notes                             | <input type="text"/>   |   |

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Discharge

Pre-discharge haemoglobin  g dL<sup>-1</sup>

Pre-discharge creatinine  μmol L<sup>-1</sup>

- Aspirin
- ☐ 0. Not given
  - ☐ 1. Given
  - ☐ 2. Contra-indicated

- ☐ 3. Other antiplatelet given
- ☐ 4. Unknown

- Statin
- ☐ 0. Not given
  - ☐ 1. Given

- ☐ 2. Contra-indicated
- ☐ 3. Unknown

Warfarin

- ☐ 0. No
- ☐ 1. Yes

Discharge destination from  
cardiothoracic ward

- ☐ 1. Home
- ☐ 2. Convalescence (Non acute Hospital)
- ☐ 3. Other hospital
- ☐ 4. Not applicable - patient deceased
- ☐ 5. Other specialty

Patient status at discharge

- ☐ 0. Alive
- ☐ 1. Dead
- ☐ 2. Dead (theatre)
- ☐ 3. Dead (ICU)
- ☐ 4. Dead (cardiothoracic ward)
- ☐ 5. Dead (other wards / hospital)

Date of discharge from CTS

dd / mm / yyyy

Date of discharge / death

dd / mm / yyyy

This form is designed so that questions requiring a single response-option are identified with round radio-buttons next to the options, whereas questions where more than one response option may be selected are identified by square tick boxes next to the options



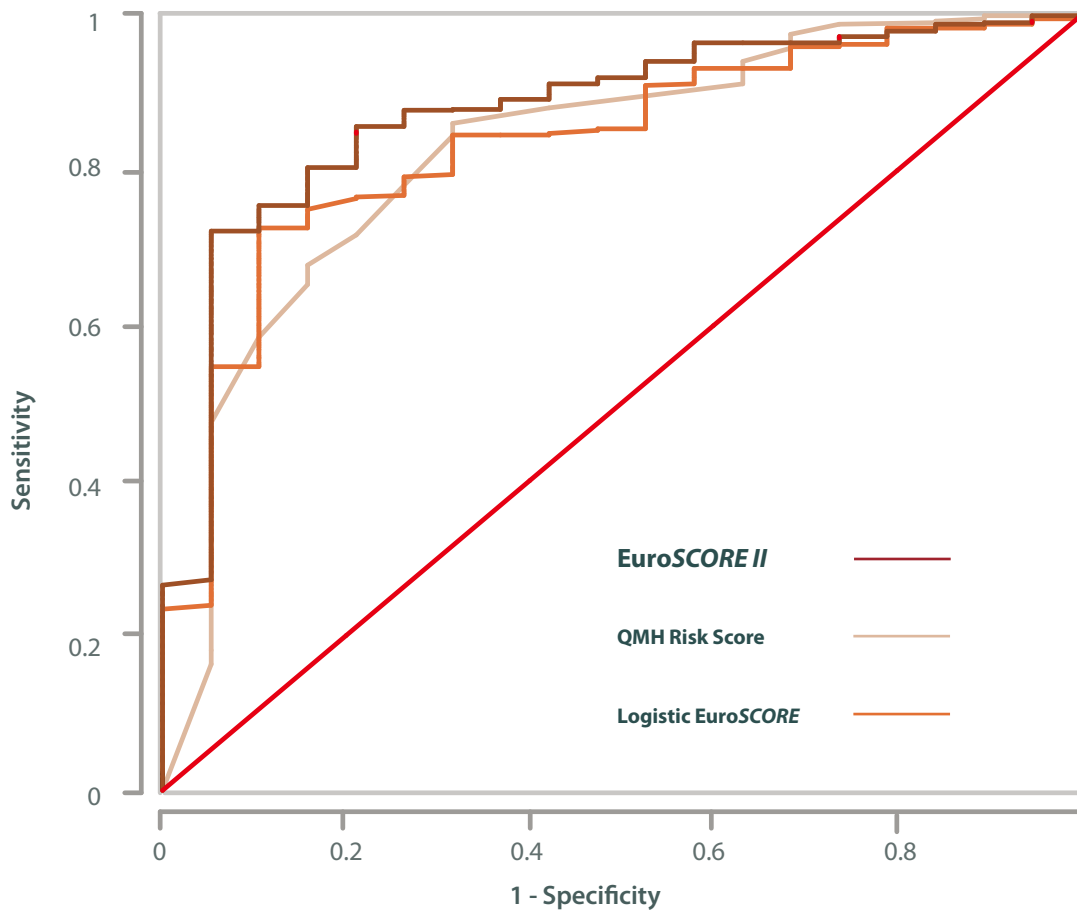
## QMH Risk Score for Adult CABG and Valve surgery (since 2010)

- Significant geographic and demographic differences between European and Asian patients.
- QMH Risk Score was developed to predict in-hospital mortality for patients undergoing CABG and valve surgery in Hong Kong.
- Between Nov 1999 and May 2006, 3156 adults undergoing CABG and valves surgery cases were prospectively collected.
- Age <18, congenital abnormalities, aortic surgery were excluded.
- Multivariate logistic regression analysis: 11 risk factors identified.
- Area under ROC curve = 0.756.
- Hosmer-Lemeshow goodness-of-fit test =  $p > 0.05$ .

QMH risk calculator

|              |                                   | Score |
|--------------|-----------------------------------|-------|
| Risk Factors | Age (years)                       |       |
|              | <60                               | 0     |
|              | 60-64                             | 2     |
|              | 65-69                             | 2.5   |
|              | 70-74                             | 3     |
|              | ≥ 75                              | 4     |
|              | Renal failure                     | 4     |
|              | EF<30%                            | 3     |
|              | Pre-operative Cardiac Conditions  | 2     |
|              | Transmyocardial infarction <48hrs | 3.5   |
|              | Congestive cardiac failure        | 1.5   |
|              | Endocarditis                      | 2.5   |
|              | Pulmonary hypertension            | 2.5   |
|              | Redo operation                    | 2     |
|              | Emergency surgery                 | 2     |
|              | Valves & CABG                     | 2     |

Comparing EuroSCORE II, QMH Risk Score and Logistic EuroSCORE Receiver Operating Curve (ROC) for adult cardiac surgery, 2014-2015



|                           |                    | Area under the curve | Asymptotic 95% Confidence Interval |             | Hosmer-Lemeshow statistics (P-value) |
|---------------------------|--------------------|----------------------|------------------------------------|-------------|--------------------------------------|
|                           |                    |                      | Lower Bound                        | Upper Bound |                                      |
| Risk stratification model | EuroSCORE II       | 0.877                | 0.802                              | 0.952       | 0.066                                |
|                           | QMH Risk Score     | 0.828                | 0.727                              | 0.928       | 0.834                                |
|                           | Logistic EuroSCORE | 0.836                | 0.752                              | 0.919       | 0.147                                |

Observed mortality 19/566= 3.56%

|                           |                    | Predicted mortality rate % (95%CI) | Observed/predicted ratio |
|---------------------------|--------------------|------------------------------------|--------------------------|
| Risk stratification model | EuroSCORE-II       | 4.18 (2.7-6.3)                     | 0.85                     |
|                           | QMH Risk Score     | 4.17 (2.6-6.1)                     | 0.85                     |
|                           | Logistic EuroSCORE | 9.33 (7.1-12.1)                    | 0.38                     |

## Appendix 2

### Congenital cardiac database

#### Nomenclature & Database

The International Congenital Heart Surgery Nomenclature and Database Project was started in 1998. A common nomenclature, along with a common core minimal data set, was adopted by the STS and EACTS and published in 2000. The International Paediatric and Congenital Cardiac Code (IPCCC) was finally presented<sup>1</sup> and published in 2005.

International Paediatric and Congenital Cardiac Code (IPCCC)

- Available *via* the Internet at [www.IPCCC.NET](http://www.IPCCC.NET)
- Assigned to 180 diagnoses, 257 Procedures
- Integrated in both the STS and EACTS Congenital Heart Databases

World's Largest Congenital Heart Databases

- EACTS Congenital Heart Database (since 1992)
- STS Congenital Heart Surgery Database (since 2002)

1. The Fourth World Congress of Pediatric Cardiology and Cardiac Surgery. Buenos Aires, Argentina. September 19, 2005.

## Risk Stratification: Aristotle Basic Complexity (ABC) Score and level<sup>1</sup>

Two methods of risk stratification are currently included in the EACTS Congenital Heart Database – The Aristotle Basic Complexity (ABC) Score and The STS-EACTS (STAT) Mortality and Morbidity Score. The former is used for the report in this book. The Aristotle Project was conceived in 1999, with input from members of the EACTS, the STS, the European Congenital Heart Surgeons, and the Congenital Heart Surgeons Society. The ABC Score was created by the International Aristotle Committee using the opinions of a panel of experts, made up of 50 congenital heart surgeons in 23 countries representing multiple societies. It is a concept to evaluate quality of care based on procedure complexity. It contains score values for single procedures. The ABC Score was originally assigned to 145 primary congenital cardiac procedures based upon mortality, morbidity, and technical difficulty. Each component receives a score of between 0.5 and 5 points. The ABC defined as the sum of the three components: overall ABC score = mortality component + morbidity component + technical difficulty component.

| Score    | Mortality | Morbidity | Difficulty |
|----------|-----------|-----------|------------|
| 1 point  | <1%       | ICU 0-24H | elementary |
| 2 points | 1-5%      | ICU 1D-3D | simple     |
| 3 points | 5-10%     | ICU 4D-7D | average    |
| 4 points | 10-20%    | ICU 1W-2W | important  |
| 5 points | > 20%     | ICU > 2W  | major      |

The overall ABC ranging from 1.5 to 15 points, 1 to 4 levels, with higher scores / levels indicating greater overall risk. 156 congenital cardiac procedures are assigned to the score currently.

| ABC Score | 1.5-5.9 | 6.0-7.9 | 8.0-9.9 | 10.0-15.0 |
|-----------|---------|---------|---------|-----------|
| Level     | 1       | 2       | 3       | 4         |

Since 2003, the EACTS and the STS incorporate the Aristotle Basic Complexity Score into their congenital heart databases. The accuracy of the ABC Score was validated using 3-year-data of 35,862 operations from both the STS and EACTS Databases. The results published in 2007 showed the ABC score generally discriminates between low-risk and high-risk congenital procedures making it a potentially useful covariate for case-mix adjustment in congenital heart surgery outcomes analysis<sup>2</sup>.

1. Lacour-Gayet *et al.* The Aristotle Score for congenital heart surgery. *Semin Thorac Cardiovasc Surg Pediatr Card Surg Annu* 2004;7:185–91

2. O'Brien SM, *et al.* Accuracy of the Aristotle Basic Complexity Score for Classifying the Mortality and Morbidity Potential of Congenital Heart Surgery Operations. *Ann Thorac Surg* 2007;84:2027–37

## Data Management & Reports

### Data collection and input

- The data of all the congenital cardiac operations was retrieved prospectively using a standard form by the first-line clinical staffs.
- The surgeons are responsible for the operative data, while paediatricians in charge of the ICU and the general ward are responsible for the pre- and postoperative data.
- The department research staffs are responsible for data collection and input the data into the local computer and submit to the online server of the EACTS Database.

### Data validation and verification

- The EACTS Database has intrinsic data validation rules (see next page) to ensure the internal data integrity in the process of data validation. The system reminds the user whenever there is an improper input of the data, which are corrected before final submission.
- Data verification is to compare the data collected in the database with the patient record in the hospital chart and the electronic medical system. This eliminates unintentional and organizational mistakes in the data, and is carried out by the department research staff.

### Local database and data analysis

- The complete export from EACTS database writes file in csv format which is imported into relational database, Microsoft Access. Tables are linked using unique identifiers and files are then imported into SPSS for further analysis.
- The local database gets updated automatically as new data is entered. A regular backup of the local database is done by our research staff.

### The central database & database online reports

- The central database is located in Warsaw, Poland. It contains the data gathered from the centers. The Software Development Team is working on the EACTS Database Software, maintaining the servers and this wiki website. The members of the database team can be contacted through email or phone.
- The ONLINE REPORT includes primary report and complication report of the whole database, gold standards report, basic score report and benchmark, quality of care benchmark report (bubble charts) and outcome prognosis report based on the primary diagnosis.



